

# DEDICATED SHORT RANGE COMMUNICATION for TRANSPORT INFORMATION AND CONTROL SYSTEMS ARIB STANDARD

# ARIB STD-T55 Version 1.0

ISSUED NOVEMBER 27, 1997

Association of Radio Industries and Businesses

### General notes for the English version of the ARIB Standard T55

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The original "ELECTRONIC TOLL COLLECTION SYSTEM (ARIB STD-T55)" is written in Japanese and has been approved by the 17<sup>th</sup> Standard Assembly Meeting (November 27, 1997).

The note about IPR (Industrial Property Rights) in the INTRODUCTION of the standard applies to the use of Essential IPR for the ARIB Standard in Japan. If the ARIB Standard is adopted outside Japan, Essential IPR will be treated in accordance with policies stated by each IPR owner. The IPR owners are, however, expected to apply the rules of the preface of the "Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard" (September 5, 1995, approved by the 1st Standard Assembly Meeting). In the preface of the Guidelines, it is stated that it is "desirable that the Essential IPR which relates to any or all parts of the contents of the ARIB Standard should be used free of charge by anyone and that it would not block the use of such Essential IPR in any other country where such an ARIB Standard is adopted."

# DEDICATED SHORT RANGE COMMUNICATION (DSRC) for TRANSPORT INFORMATION AND CONTROL SYSTEMS (TICS)

### INTRODUCTION

The Association of Radio Industries and Businesses (ARIB) has been investigating and summarizing the basic technical requirements for establishing standards. These will appear in the form of standards and specifications governing the use of radio transmission facilities and equipment. The standards are being developed based on the participation of and discussions with, the various radio equipment manufacturers, operators and users.

The standards and specifications contained herein will serve as guidelines for developing standards for private use based on the publicly established technical standards in Japan. Their purpose is to enable effective use of radio frequencies by avoiding interference among users, conflicts among the standards of individual operators, and so forth, so that all parties involved, including radio equipment manufacturers, users and others will be able to ensure the quality and compatibility of radio facilities and equipment.

These standards are being established principally for "DEDICATED SHORT RANGE COMMUNICATION (DSRC)". In order to ensure fairness and openness among all parties involved, during drafting stages, we invite radio equipment manufacturers, operators and users both domestically and overseas to participate openly in the activities of the Standard Assembly so as to develop standards with the total agreement of all parties involved.

The scope of application of these standards covers the minimum requirements for communications. They are designed to serve as practical guidelines for operators in developing original specifications and systems that fall within the scope of the standards.

We hope that the standards will aid all parties involved, including radio equipment manufacturers, operators, users, and others in the development of an excellent radio telecommunication system.

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### Chapter 1 General

### 1.1 Overview

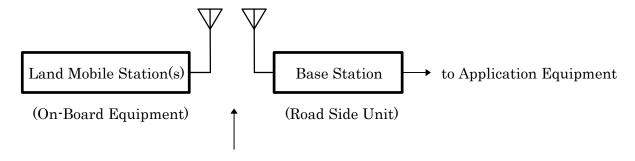
This standard specifies the radio communication interface for the electronic toll collection system in charge ways (written as "system" hereunder in this document).

The system shall be in accord with Article 49-26 (including related notifications) of Japanese Radio Facility Regulations when the system is used in Japan.

### 1.2 Scope of application

The system consists of a Road Side Unit (RSU) installed at the toll plaza (termed "Base Station") and On-Board Equipment (OBE) (termed "Land Mobile Station" or abbreviated "Mobile Station").

This standard specifies the radio communication interface as indicated in Fig. 1.1.



Interface specified point

Fig. 1.1 Configuration of the system

### 1.3 Scope of standardization

In terms of mutual connectivity and compatibility, this standard defines the minimum level of specifications required for basic connections and services as the mandatory requirement, and the specifications required for what free choice is permitted, such as protocols, as optional standard to provide for future expansion.

Further, in order to provide options and future expansion capabilities as much as possible, care has been taken not to place restrictions on non-standardized specifications.

Fig.1.2 outlines the relationship between standardized services and optional protocols used. The standard adopts the 3 Layer structure of the Open Systems Interconnection(OSI) basic reference model and the standardized objects are Layer 1, Layer 2 and Layer 7. As for func-

tions in Layer 3, Layer 4, Layer 5 and Layer 6 defined in the OSI basic reference model, they are specified in the Layer 7 if they are needed for the system, taking into account the fact that the transaction occurs in a short period each time a Mobile Station passes through a small communication zone of the Base Station.

Optional standards are apt to be dependent on the additional services (applications) and, hence, they are put aside as future subjects.

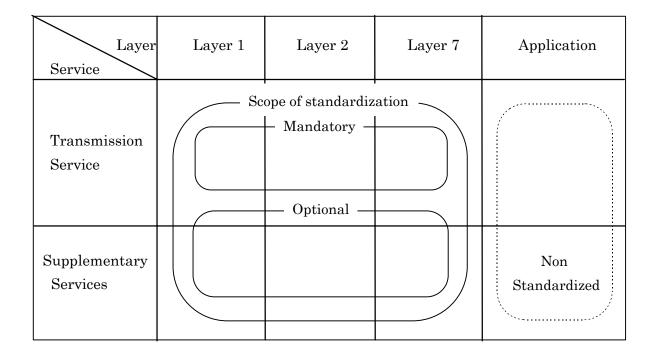


Fig. 1.2 Scope of standardization

### Chapter 2 System Overview

### 2.1 Configuration of the system

The system consists of a Road Side Unit (RSU) installed at the toll plaza (termed "Base Station" hereunder) and On-Board Equipment (OBE) (termed "Mobile Station").

### 2.1.1 Base Station (RSU)

Base Station performs land mobile radio communication with Mobile Station(s). The Base Station is composed of radio equipment with antenna(e), a transmitter and receiver, a control unit and a display unit.

Depending on the radio communication range, Base Station is classified as follows.

Class 1: radio communication range is below 10 m

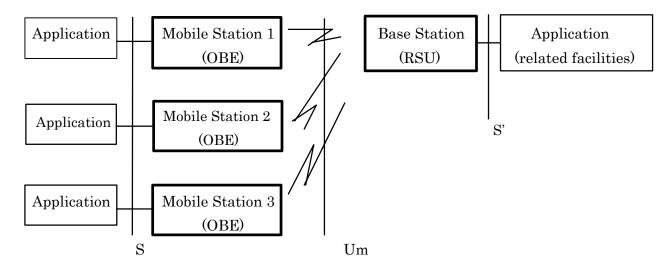
Class 2: radio communication range exceeds 10 m, but within 30 m

### 2.1.2 Mobile Station (OBE)

Mobile Station performs land mobile radio communication with Base Station. The Mobile Station consists of radio equipment with antenna(e), a transmitter and receiver, and optional equipment such as an IC card, a control unit and a display unit.

### 2.2 Definition of the interface

In the system, reference points for the interface are as shown in Fig. 2.1.



Um point : reference point for interface between Base Station and Mobile

Station ---- this standard to be applied

S and S' points: reference points for application interfaces with Base Station and

Mobile Station, respectively ---- out of scope of this standard (though

specified partly)

Fig. 2.1 Reference points for interfaces

### **2.3 Basic functions of the system (Example : ETC)**

The system which enables the electronic toll collection, is expected to relieve traffic congestion due to fare collection at a toll gate and achieves:

- a. saving of time for toll collection.
- b. reduced fuel consumption of vehicles and conservation of surrounding environments as a result of decreased congestion at toll plazas.
- c. enhancement of services to the users by a realized cash-less.
- d. minimization of operational costs for toll collection.

### 2.3.1 System requirements

The system requirements are described below.

### 2.3.1.1 Basic functions

- (1) The system has three basic concepts;
  - a. to ensure the toll collection in diverse and sure ways in crowded traffic.
  - b. to assure security and protect privacy for toll collection.
  - c. possesses the following functions.
    - i . suitable for various payment forms, such as, pre-paid, post- paid, etc.
    - ii. suited not only for the current fare system, but also for future ones.
    - iii. fast transaction of fare within a traffic lane at the toll gate.
    - iv. guidance to the dedicated lanes for ETC in the toll plaza.
    - v. equipped with two antennas at the toll gate for the accurate fare collection.
    - vi. adopts an international system for the indication of the information.
- (2) In the system, functions of the radio facility are as follows:
  - a. to ensure radio communication for all vehicles equipped with Mobile Stations.
  - b. A lane based antenna and an approach antenna shall be able to communicate with vehicles running 0 to up to 80 km/h and a navigation antenna installed at a mainline up to 180 km/h.
  - c. Communication error rate for a vehicle passing through a toll gate shall be no more than  $1x10^{-6}$  as a target.
  - d. to be equipped with security control (scramble).
  - e. A road-side antenna should be easily maintained and able to be erected in a place causing minimal traffic restriction.

### 2.3.2 Services provided by the system

### 2.3.2.1 Service features

The services provided by the system have the attributes listed in Table 2.1 below.

Table 2.1 Service attributes

Service attributes	Service items
Information transfer capability	unrestricted digital information
Information transfer rate	1024 kbps
Communication configuration	point-to-point, point-to-multipoint

### 2.3.2.2 Service types

### (1) Bearer services

The bearer services provided through the information transfer channel are listed below.

- a. Exchange of the information about the toll collection: It is an exchange of needed information about toll collection, and the reading /writing of the information are performed through the radio facility (lane based antenna) installed at the toll gate.
- b. Transmission of the guiding information about the lanes: It is an information transmission in order to guide vehicles equipped with OBE to the dedicated lane and to achieve a smooth operation of traffic lanes. The information is transmitted by the radio equipment (approach antenna) installed ahead of the toll gate.
- c. Notification of the route information: It is transmission of information about driven routes, necessary for writing on the through lanes. The information is read/written by radio equipment on the lane (Navigation antenna).

Refer to Fig. 2.2 and Fig. 2.3.

### (2) Supplementary services

Supplementary services are pending items for the future.

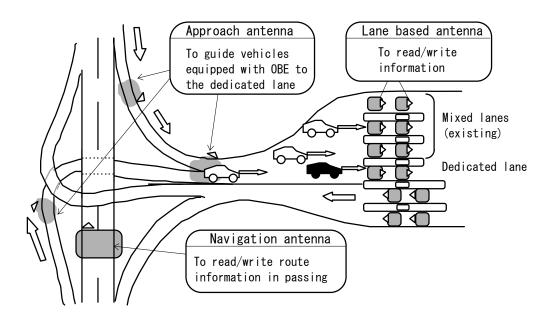
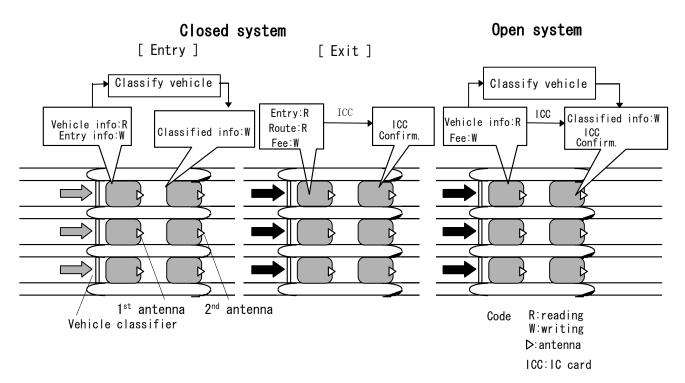


Fig. 2.2 Bearer services



Note: There is a case where there is just one antenna.

Fig. 2.3 Bearer services at the toll plaza

### 2.4 Access method

### 2.4.1 Type of transmission

The radio access method shall be TDMA-FDD as shown in Table 2.3.

**Table 2.3** Transmission parameters

Item	Parameters
Radio access type	TDMA-FDD
Multiplexed number of TDMA	less than 8 (2, 4 or 8, variable)
Carrier frequency difference between	40 MHz
transmission and reception	
Modulation method	ASK
Bit rate	1024 kbps
Medium access control method	adaptive slotted ALOHA

### 2.4.2 Radio channel control

The basic procedure for communication control shall be synchronous, adaptive slotted ALOHA which is suited to point-to-point, short time, two-way communication between a Mobile Stations and a Base Station. It is a full-duplex communication, which uses different transmission channels (frequencies) for uplink and downlink, respectively. In this standard, a communication control type which also allows half-duplex communication is specified. Fig. 2.4.2-1 and Fig. 2.4.2-2 show examples of communication. Mobile Stations and a Base Station establish the two-way communication in the communication zone.

Fig. 2.4.2-1 shows a communication frame of the half-duplex and the communication with two Mobile Stations.

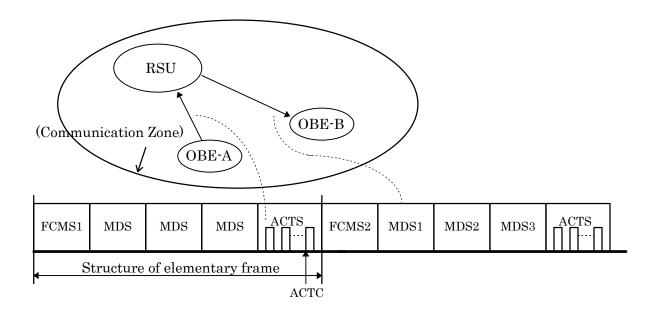


Fig. 2.4.2-1 Example of communication (Example of the half-duplex communication)

A communication frame consists of a frame control message slot (FCMS) which performs an allocation of slots, message data slots (MDS) for data transmission, activation slot (ACTS) for the association (link connection) to Base Station, and a wireless call number slot (WCNS) which transmits wireless ID code (Call sign). The field length of each slot is 100 octets, constant.

Fig. 2.4.2-2 shows an example of the frame structure, including 7 MDSs and a ACTS during the full-duplex communication mode in Base Station. Different transmission channels (frequencies) are used for up and down links, respectively and the data slot portion (MDS) are multiplexed. In the example in the figure, 4 OBE's (A, B, C, D) exchange the MDS's within the same frame during the data communication.

And the figure shows an example of data exchange in up and downlink channels by using different MDS's within the same frame. This operation mode assumes that the user could operate by taking into account the processing delay in the equipment, caused by a limited processing capability of the equipment and by data exchange types, etc. Accordingly, this standard which specifies "Radio communication interface" does not always guarantee the transmission/reception of signals between Mobile Stations in the same frame.

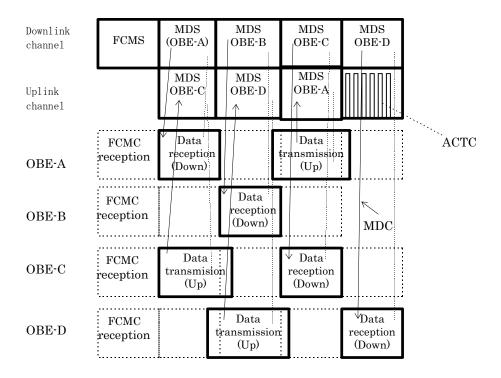


Fig. 2.4.2-2 Example of full-duplex communication

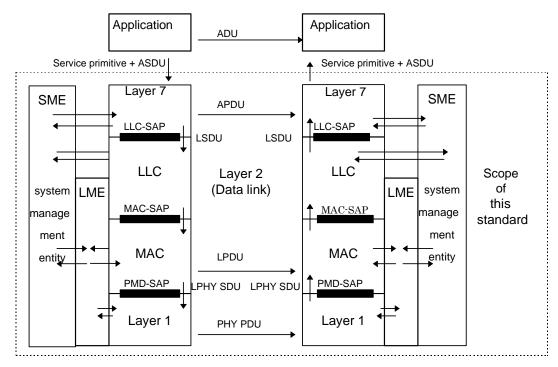
### 2.5 Basic rules for protocol

### 2.5.1 Model for protocol

Fig. 2.5.1 shows the signal structure of this standard. Each layer is defined in conformity with ISO 7498: 1994 (Information Technology - Open Systems Interconnection - Basic Reference Model). This standard adopts 3 layer-structure, namely, Layer 1 (Physical Layer: L 1), Layer 2 (Data Link Layer: L2) and Layer 7 (Application Layer: L 7). And it specifies the service primitives between application and Layer 7, etc.

Further, the Layer 2 is divided into the logical link control sublayer (LLC Sublayer) and the medium access control sublayer (MAC Sublayer). The LLC Sublayer is based on ISO/IEC 8802-2: 1994 (Information technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 2: Logical link control), and some parameters are modified to adapt to this standard.

MAC Sublayer, Layer Management Entity (LME) and System Management Entity (SME) of the Layer 1 are specified. They exchange and manage service primitives of each layer in order to work smoothly as a whole radio system.



APDU: Application Protocol Data Unit LPDU: Link Layer Protocol Data Unit LSDU: Link Layer Service Data Unit SAP: Service Access Point

PHY PDU: Physical Layer Protocol Data Unit

Fig. 2.5.1 Signal structure

### 2.5.1.1 Features of Layer 1

Layer 1 provides the radio communication media and performs a separation and configuration of data following Layer 2 or the system management entity. Further to that, Layer 2 or the system management entity conducts construction and separation of physical slot by adding the preamble and the unique word, etc.

### 2.5.1.2 Features of Layer 2

LLC Sublayer supports 2 types of communication services (Type 1 operation : Unacknowledged connectionless-mode services, Type 3 operation : acknowledged connectionless-mode services). MAC Sublayer supports the following service contents in order to perform communication management of radio channels.

- (1) Association (link connection)
- (2) Slot (Frame) control
- (3) Slot-by- slot data separation and processing
- (4) Control of request for partial resend in MAC Sub Layer level
- (5) Simplified security communication (scramble)

### 2.5.1.3 Features of Layer 7

Layer 7 provides the application with communication control tools. It provides the services to the application, and transmits and receives data via LLC sublayer in Layer 2. It can provide the services to more than one application (so-called multi-application). It conducts association process and application management through a linkage with Layer 2.

### 2.5.2 Communication Service

It is divided into two phases, i.e., the association phase and the communication phase. (By taking the example of Fig. 2.4.2-1, an operation example is shown in Fig. 2.5.2 for reference.)

### (1) Association phase

It is a phase where Mobile Station registers to Base Station (entry). It is generally divided into the phase of link channel establishment and the phase of service establishment.

a. The phase of link channel establishment

Mobile Station (OBE) receives FCMC to which communication control information about frame configuration, etc. sent from RSU are added, and, if needed, interprets the communication control information including protocol types.

- i . Mobile Station (OBE) judges the contents of FCMC (Frame Control Message Slot), chooses an ACTC (Activation channel) in ACTS randomly, adds the link address, transfers the ACTC (Activation channel) to Base Station (RSU) and performs a request for association.
- ii . In the following frame, Base Station (RSU) allocates a link address and a data slot to a Mobile Station, and transmits after adding information. Mobile Station receives a correct FCMS added with a proper link address, and by interpreting the information the phase of link channel establishment is completed.

After this, normally it proceeds to the phase of service establishment. There is a simplified association (connection) process by which the communication phase is commenced directly and, hence, omitting the phase of service (application) establishment. The operation of the normal phase of service establishment is described hereunder.

b. The phase of Service (Application) establishment

This phase establishes applications selectively, and it shall be decided that Mobile Station performs the communication with which Base Station (RSU) and by which

application.

- i. By adding to a Beacon Service Table (BST) and using a message data slot (MDS), Base Station (RSU) transmits parameters of applications, which are available from Base Station (RSU) by using message data slot (MDS).
- ii . Mobile Station (OBE) transmits the response, corresponding to the application in the BST, to Base Station (RSU) by using MDS as a vehicle service table (VST), establishes the application for communication and proceeds to the communication phase.

### (2) Communication phase

The Base Station allocates a communication slot (MDS) in the uplink or downlink to a requesting unit or plural groups of Mobile Stations and performs data exchange. After sending Ack/Nack by using an Ack channel (ACKC) within the same slot, request for resend control is performed either when Nack is received or Ack/Nack is not received in a limited period of time.

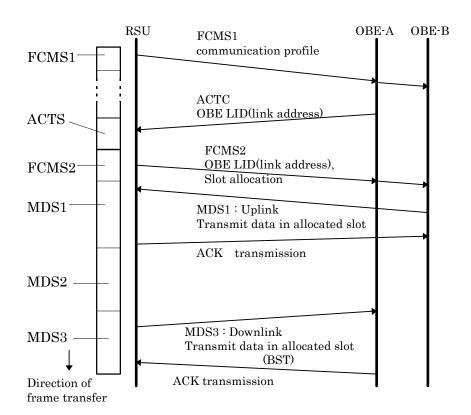


Fig. 2.5.2 Example of communication transaction

### 2.5.3 Numbering plan (Link address)

It is assumed that Link addresses for communication and the numbers of each type of equipment are different. In order to protect communication privacy, an address with a length of 4 octets, chosen by Mobile Station at random, is to be used as the link address. This address is commonly used as an ID number of SAP (Service Access Point) of Layer 1, Layer 2 and Layer 7 of Base Station and Mobile Station.

The details are defined in the subclause 4.2.4.2.1.8.2.

### 2.5.4 Other related rules

The SDL\* diagrams indicate typical functional states and flows, and a flow which differs from them is also acceptable if it results in equivalent functions.

\* SDL : Functional Specification and Description Language specified in ITU-T Z-series recommendations.

### 2.6 Type of secured communication

A simplified type of security communication is standardized.

### **Chapter 3** Technical Requirements for Radio Facilities

### 3.1 Overview

This chapter specifies the technical requirements for radio facilities and equipment which shall conform to Article 7, item14, Article 9, clause 2, item 1 and item 10, Article 14, Article 24, item 4, Article 49, clause 26, and Attached Table No.1 note 31-(14) and No.2, item 42, (including relevant notice) of the Radio Facility Regulation in Japan.

### 3.2 General conditions

The radio facilities and equipment shall include carrier frequency oscillator(s).

### 3.2.1 Radio frequency bands

The radio frequency bands to be used shall be 5.8 GHz bands.

### 3.2.2 Carrier frequency spacing

The carrier frequency spacing shall be 10 MHz.

### 3.2.3 Transmit-receive frequency separation

The transmit-receive frequency separation shall be 40 MHz.

### 3.2.4 Operating method and multiple access

The operating method shall be one-way operation, semi-duplex operation, or duplex operation. The multiple access shall be TDMA (time division multiple access).

### 3.2.5 Access method

The access method shall be TDMA-FDD (frequency division duplex).

### 3.2.6 Number of multiplexed circuits

The maximum number of multiplexed circuits on a TDMA channel shall be eight.

### 3.2.7 Data transmission method

The data transmission method shall be one-way, half duplex, or full duplex for base stations, one-way, or half duplex for mobile stations.

### 3.2.8 Modulation method

The modulation method shall be an ASK (amplitude shift keying).

### 3.2.9 Modulation signal

The bit rate shall be 1024 kbps. The data coding method shall be a split phase code. The modulation signal rate after coding shall be 2048 kbaud, and its accuracy shall be  $\pm 100 \times 10^{-6}$  or less.

### 3.2.10 Access control method

The access control method shall be an adaptive slotted ALOHA method.

### 3.2.11 Frame length and slot length

The frame length shall be 9 slots or less including FCMS (frame control message slot). The slot length shall be 100 octets (800 bits constant).

### 3.2.12 Wireless call number (call sign) memory and its identification equipment

The wireless call number (call sign) memory in a mobile station and its identification equipment shall conform to the following conditions.

### 3.2.12.1 Condition of wireless call number (call sign) memory

- a. The wireless call number (call sign) memory shall be able to store the wireless call number (call sign) in the way approved by the Minister of Posts and Telecommunications.
- b. The wireless call number (call sign) stored in the memory of a mobile station shall not be easily erased.
- c. The mobile station shall be able to emit radio waves only when the wireless call number (call sign) is stored.
- d. The wireless call number (call sign) memory shall not be easily removed from a mobile station.

### 3.2.12.2 Condition of identification equipment

The identification equipment shall be able to detect the wireless call number (call sign) from the received radio waves.

### **3.2.13** One cabinet

The radio facilities and equipment, except the following equipment, shall be installed inside one cabinet. The cabinet shall not be easily opened.

- a. Antenna(s)
- b. Displays for showing operational conditions of transmitter or receiver.
- c. Additional equipment for data processing and the similar equipment to this.

### 3.2.14 Security measures

The radio facilities and equipment should be designed taking into consideration the authentication and the scrambles (as specified in detail in chapter 4) to avoid a false charge or unfair use.

### 3.2.15 Countermeasures against electromagnetic interference

Vehicle-mounted mobile stations should be designed to prevent mutual electromagnetic interference between the mobile stations and electrical equipment for automobiles.

### 3.3Conditions for modulation method

### 3.3.1 Modulation method

### 3.3.1.1 Modulation method

The modulation method shall be ASK (amplitude shift keying).

Fig. 3.1 shows a schematic diagram which specifies the modulation method in this standard. (Informative)

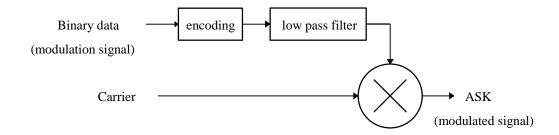


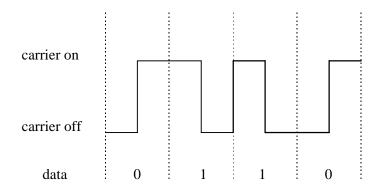
Fig. 3.1 Schematic diagram of ASK modulation circuit

### 3.3.1.2 Data coding method

The data coding method shall be the split phase code (manchester code) method for both base stations and mobile stations.

Data bit "1": The RF signal is sent in the first half of the bit duration and the RF signal is not sent in the latter half.

Data bit "0": The RF signal is not sent in the first half of the bit duration and the RF signal is sent in the latter half.



(Example: transmission data is 0110)

Fig. 3.1.1 Split phase code

### 3.4 Conditions relating to transmitter and receiver

### 3.4.1 Carrier frequencies and carrier numbers

The relationship between carrier frequencies and carrier numbers is listed in Table 3.1.

Table 3.1 Relationship between carrier frequencies and carrier numbers

Carrier number	Carrier frequency (MHz)	Application
D1	5795	Downlink
D2	5805	Downlink
U1	5835	Uplink
U2	5845	Uplink

A pair of carrier numbers D1 and U1 corresponds to A mode, and a pair of D2 and U2 corresponds to B mode. Refer to subclause 4.2.4.2.1.3 (3).

Downlink: Transmission from base station to mobile stations.

Uplink: Transmission from mobile stations to base station.

## 3.4.2 Transmission characteristics

# 3.4.2.1 Transmit power

- (1) Definition
  - a. Facilities and equipment having antenna connector : Power fed to antenna.
  - b. Facilities and equipment in which antenna is installed: Antenna radiation power measured at the test site or measured using a RF coupler which is calibrated at the test site.

*Note) The transmit power shall be expressed in the peak envelope power.* 

- (2) Specification
  - a. Base station

Maximum transmit power : Shall be 10 mW for class 1 base station,

300 mW for class 2 base station.

Transmission power accuracy: Shall be within +20 % and -50 %.

b. Mobile stations

Maximum transmit power : Shall be 10 mW.

Transmission power accuracy: Shall be within +50 % and -50 %.

# 3.4.2.2 Mobile station maximum e.i.r.p.

(1) Definition

Power supplied to an antenna multiplied by the absolute gain of the antenna in a given direction is called equivalent isotropic radiation power (e.i.r.p.) and an e.i.r.p. of the antenna directed to a maximum radiated power is called maximum e.i.r.p.

(2) Specification

The mobile station maximum e.i.r.p. shall be within 8.0 dBm e.i.r.p. and 14.8 dBm e.i.r.p.

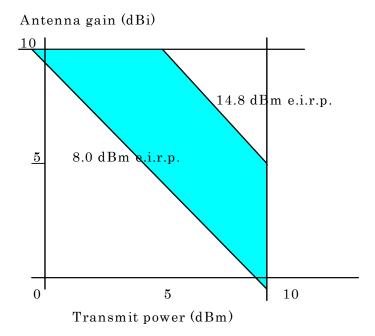


Fig. 3.1.2 Mobile station maximum e.i.r.p.

## 3.4.2.3 Transmission of wireless call number (call sign)

- (1) The signal of mobile station wireless call number (call sign) shall be 63 bits. The details are specified in Annex C.
- (2) The signal shall be transmitted in accordance with the frame structure and the slot structure specified in Annex C.

# 3.4.2.4 Adjacent channel leakage power

## (1) Definition

The adjacent channel leakage power shall be defined as the power (peak envelope power, refer to subclause 3.4.2.1) that is radiated within a bandwidth of  $\pm 4000$  kHz, of which center frequency is separated by 10 MHz from the subject carrier frequency when the subject carrier is modulated with the reference coded test signal at the same bit rate as that of transmission bit rate.

## (2) Specification

The adjacent channel leakage power shall be -40 dB or less for both base station and mobile stations.

# 3.4.2.5 Eye pattern

## (1) Definition

Free decision distance in width and height of a digital signal. An ideal digital signal has a decision height of 100 % which is equal to the difference of high level and low level. Eye pattern (amplitude) = 2B/(A+B).

where, A: max. amplitude, B: min. amplitude.

Eye pattern (time) = 2B'/(A'+B').

where, A': max. zero-cross time width,

B': min. zero-cross time width.

## (2) Specification

- a: The eye pattern (amplitude) shall be 80 % or more.
- b: The eye pattern (time) shall be 80 % or more.

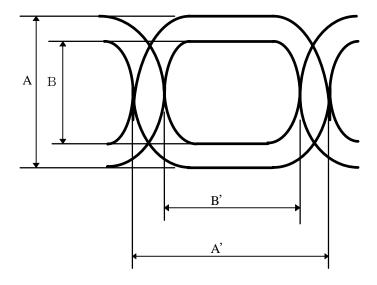


Fig. 3.2 Eye pattern

# 3.4.2.6 Burst transmission transient response time

## (1) Definition

The burst transmission transient response time for both base station and mobile stations shall be defined by the duration of a burst signal modulated by the digital bit stream;

to fall off from the average power over the transmit burst to LP

to rise from LP to the average power over the transmit burst.

Where, LP is the specification for leakage power during carrier off period (refer to Section 3.4.2.7).

## (2) Specification

a. Time characteristics :  $2|\triangle Tabs| + Tbst < 15.625 \mu S$ 

Where,  $\triangle$ Tabs : Refer to subclause 3.4.2.13

Tbst: Burst transmission transient response time.

b. The leakage power during carrier off period shall meet the specification of subclause 3.4.2.7.

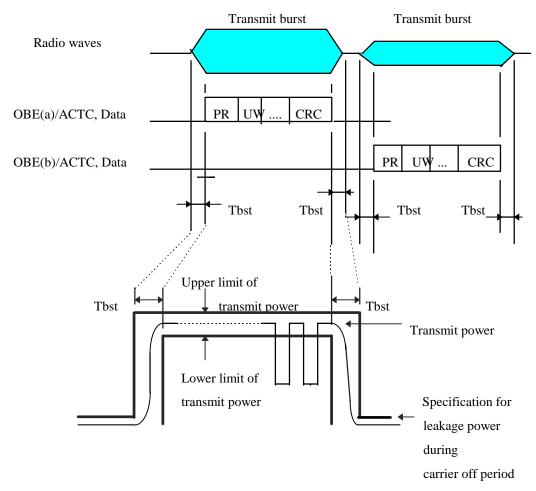


Fig. 3.3 Specification for burst transmission transient response time

## 3.4.2.7 Leakage power during carrier off period

## (1) Definition

The leakage power during carrier off period shall be defined as the power radiated in the occupied bandwidth of the subject carrier during carrier off period.

## (2) Specification

Base station :  $25 \mu W$  or less. Mobile stations :  $2.5 \mu W$  or less.

## 3.4.2.8 Transmission spurious

## (1) Definition

The transmission spurious shall be defined as the average power of spurious (note) emissions at the individual frequencies fed to the feeder.

#### Note:

Spurious emissions refer to radio wave emissions on a frequency or frequencies which are outside the necessary bandwidth and the level of which may be reduced without affecting the corresponding transmission of information. Spurious emissions include harmonic emissions at frequencies above and below the fundamental frequency, parasitic emissions, intermodulation products and frequency conversion products, but exclude

emissions at frequencies immediately outside the necessary bandwidth which result from the modulation process for transmission of information.

# (2) Specification

The transmission spurious shall be 25  $\mu W$  or less for both base station and mobile stations.

# 3.4.2.9 Occupied bandwidth

## (1) Definition

The occupied bandwidth shall be defined as the width of a frequency band such that, below the lower and above the upper frequency limits, the mean power emitted are each equal to 0.5 % of the total mean power of a given emission.

## (2) Specification

The occupied bandwidth shall be 8 MHz or less for both base station and mobile stations.

## 3.4.2.9 Frequency tolerance

# (1) Definition

The frequency tolerance shall be defined as the maximum permissible departure by the center frequency of the frequency band occupied by an emission from the assigned frequency. The frequency tolerance is expressed in parts per  $10^6$ .

# (2) Specification

Base station :  $\pm 20 \times 10^{-6}$  or less. Mobile stations :  $\pm 100 \times 10^{-6}$  or less.

#### 3.4.2.10 Modulation index

# (1) Definition

The modulation index shall be defined as the size of the variation of the modulation parameter (frequency, amplitude, phase) caused by the modulation signal (data signal). It is expressed as follows in ASK.

Modulation index = (Vmax-Vmin) / (Vmax+Vmin).

Where, Vmax: Crest of amplitude waveform after detection by diode.

Vmin: Bottom of amplitude waveform after detection by diode.

# (2) Specification

The modulation index shall be within 0.75 and 1.0 for both base station and mobile stations.

# 3.4.2.12 Cabinet radiation

a. Base station : 25 μW or less.
b. Mobile stations : 2.5 μW or less.

## 3.4.2.13 Allowable deviation of absolute signal transmission time

#### (1) Definition

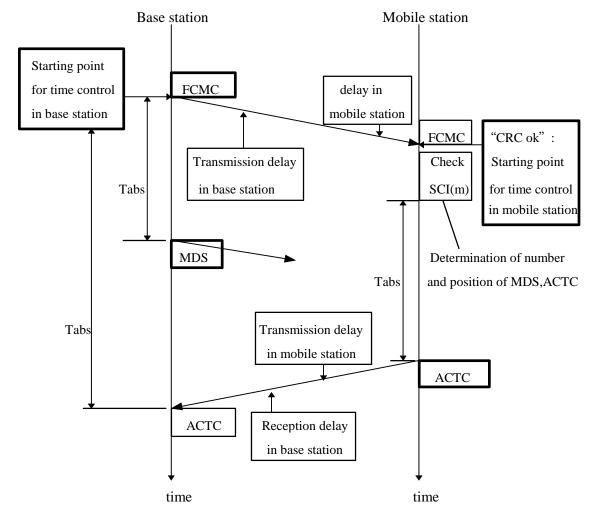
The allowable deviation of absolute signal transmission time shall be defined as the deviation of time after the start of base station control signal FCMC (Frame Control Message Channel) transmission until the end of each slot reception from the reference time. Refer to Fig. 3.4.

# (2) Specification

 $2|\triangle Tabs| + Tbst < 15.625 \mu S.$ 

Where,  $\triangle$ Tabs : Deviation of absolute signal transmission time.

Tbst: Burst transmission transient response time. Refer to subclause 3.4.2.6.



Tabs: Absolute signal transmission time

Fig. 3.4 Examples of absolute signal transmission time

## 3.4.3 Reception characteristics

## 3.4.3.1 Frequency tolerance of local oscillator

(1) Definition

The frequency tolerance of the local oscillator shall be defined by the maximum tolerance of the oscillator frequency.

(2) Specification

The frequency tolerance of the local oscillator is not specified in this standard.

## 3.4.3.2 Reception sensitivity

## (1) Definition

The reception sensitivity shall be defined by the incident power (dBm e.i.r.p.) or the receiver input level (dBm) which yields a bit error rate (BER) of  $1x10^{-5}$  when a signal with a length of  $1x10^{6}$  bits or more modulated by the pseudo random binary sequence.

# (2) Specification

# a. e.i.r.p. specification

The BER of mobile stations shall be  $1x10^{-5}$  or less for the incident power within - 60.5 dBm e.i.r.p. and -39.6 dBm e.i.r.p. at the direction of antenna bore sight.

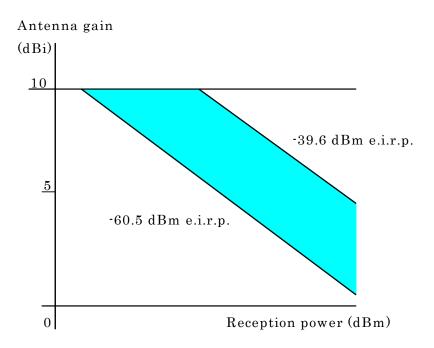


Fig. 3.4.1 Reception sensitivity of mobile stations

# b. No response specification

The mobile station shall not respond to the data transmission from a base station for the incident power of -70.5 dBm e.i.r.p. or less.

c. Reception sensitivity (Informative)

Base station : Class 1 : The reception sensitivity should be -65 dBm or less.

Class 2: The reception sensitivity should be -75 dBm or less.

Mobile stations: The reception sensitivity should be -60 dBm or less.

## (3) Definition of specified reception sensitivity

The specified reception sensitivity shall be defined as follows.

Base station : Class 1 : The specified reception sensitivity shall be -65 dBm.

Class 2: The specified reception sensitivity shall be -75 dBm.

Mobile stations: The specified reception sensitivity shall be -60 dBm.

## 3.4.3.3 Bit error rate performance

(1) Definition

The bit error rate performance shall be defined by the bit error rate measured with a signal modulated by a pseudo random binary sequence at the specified reception sensitivity.

(2) Specification

The bit error rate performance is not specified in this standard.

#### 3.4.3.4 Receiver bandwidth

(1) Definition

The receiver bandwidth shall be defined as the 3 dB bandwidth of the receiver.

(2) Specification

The receiver bandwidth is not specified in this standard.

(3) (Informative)

As informative value, the receiver bandwidth is 5 MHz for both base station and mobile stations.

## 3.4.3.5 Power limits within communication zone

(1) Definition

The power limits within communication zone shall be defined by the minimum and maximum values of incident power in front of the antenna. These two values also specify the dynamic range of the receiver. Power values are measured without any additional losses due to rain or misalignment.

(2) Specification

Base station

: For the receiver of class 1 base station :

The minimum incident power shall be -58 dBm e.i.r.p. The maximum incident power shall be -46 dBm e.i.r.p.

For the receiver of class 2 base station:

The minimum incident power shall be -72 dBm e.i.r.p. The maximum incident power shall be -48 dBm e.i.r.p.

Mobile stations: For the receiver of mobile station responding to class 1 base station:

The minimum incident power shall be -54 dBm e.i.r.p. The maximum incident power shall be -41 dBm e.i.r.p.

For the receiver of mobile station responding to class 2 base station:

The minimum incident power shall be -56 dBm e.i.r.p. The maximum incident power shall be -40 dBm e.i.r.p.

# 3.4.3.6 Adjacent signal selectivity

(1) Definition

The adjacent signal selectivity shall be defined as the level ratio of the interfering signal to the desired signal, specified by the following statement:

The level of desired signal shall be set to +3 dB higher than the level of the specified reception sensitivity\*. The level of interfering signal shall be the one yielding a bit error rate of  $1x10^{-5}$  on the desired signal. The interference signal shall be detuned by F Hz and modulated by a pseudo random binary sequence.

(\* Refer to Section 3.4.3.2 (3) for the specified reception sensitivity)

(2) Specification

The adjacent signal selectivity shall meet the following requirements for both base station and mobile stations.

a. F = 10 MHz off : 0 dB or higher. b. F = 30 MHz off : 20 dB or higher. c. F = 50 MHz off : 20 dB or higher.

# 3.4.3.7 Intermodulation performance

#### (1) Definition

The intermodulation performance shall be defined as the level ratio of the either of the two interfering signals to the desired signal.

(2) Specification

The intermodulation performance is not specified in this standard.

# 3.4.3.8 Spurious response rejection ratio

# (1) Definition

The spurious response rejection ratio shall be defined as the level ratio of the interfering signal to the desired signal, specified by the following statement:

The level of desired signal shall be set to +3 dB higher than the level of the specified reception sensitivity\*. The level of interfering signal shall be the one yielding a bit error rate of  $1x10^{-5}$  on the desired signal. The interference signal shall be detuned inside or outside the 5.8 GHz ISM band and modulated by a pseudo random binary sequence.

(\* Refer to subclause 3.4.3.2 (3) for the specified reception sensitivity.)

Where, Inside the 5.8 GHz ISM band : 5.725 - 5.875 GHz.

# (2) Specification

## a. Base station:

For the receiver of class 1 base station:

Inside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 23 dB or more.

Outside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 16 dB or more.

For the receiver of class 2 base station;

Inside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 30 dB or more.

Outside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 26 dB or more.

#### b. Mobile stations:

Inside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 24 dB or more.

Outside the 5.8 GHz ISM band : The spurious response rejection ratio shall be 18 dB or more.

Note: Image response is excepted.

## 3.4.3.9 Strength of secondary radio emissions

# (1) Definition

The strength of secondary radio emissions shall be defined as the strength of radio waves emitted from the antenna terminal when the stations are in the receive mode.

# (2) Specification

Base station : 25  $\mu$ W or less. Mobile stations : 2. 5  $\mu$ W or less.

# 3.4.3.10 Cabinet radiation

The cabinet radiation from the receiver is not specified in this standard.

## 3.4.4 Antenna

# 3.4.4.1 Classification of antenna [Informative]

(1) The classification of antenna is shown in Table 3.3.

Table 3.3 Classification of antenna

Class	Application	
Type 1	• Lane based antenna	
	• Shall be connected to class 1 base station	
Type 2	Navigation antenna ( Wide-area )	
	• Shall be connected to class 2 base station	
Type 3	Navigation antenna	
	• Shall be connected to class 2 base station	
Type 4	Approach antenna	
	• Shall be connected to class 2 base station	
Mobile antenna	Shall be connected to mobile station	

## (2) Installation condition of antenna (Informative)

Type 1 - 4 antennae are installed on a gantry or a single pole on the roadside.

Each antenna beam is directed downward to a predetermined communication zone.

Typical installation heights of antennae are as follows above the road surface.

Type 1 antenna : 5 m Type 2 and type 3 antennas : 6 m Type 4 antenna : 10 m

The mobile antenna is installed to a forward section inside or outside a vehicle and its beam is directed upward to receive the radio waves from or transmit to type 1 - 4 antennas.

The installation height of the mobile antenna is about 1 to 2 m above the road surface and different depending on the vehicle type.

- (1) Communication zone (Informative)
  - a. Communication zone of type 1 antenna

Examples of communication zone of type 1 antenna are shown in Fig. 3.5 and Fig. 3.6. The communication zone is about 4m in length along the road, about 3m in width and 1m in height above the road surface.

The figures on the lane width etc. are the representative value in Fig. 3.5 and Fig. 3.6 (this is the same as Fig. 3.7 through Fig. 3.9).

b. Communication zone of type 2 antenna

An example of communication zone of type 2 antenna is shown in Fig. 3.7.

c. Communication zone of type 3 antenna

An example of communication zone of type 3 antenna is shown in Fig. 3.8.

d. Communication zone of type 4 antenna

An example of communication zone of type 4 antenna is shown in Fig. 3.9.

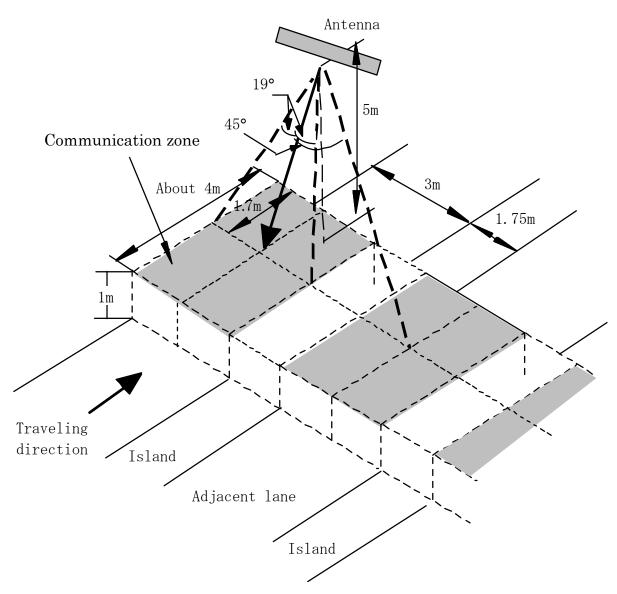


Fig. 3.5 Example of communication zone - Type 1 antenna

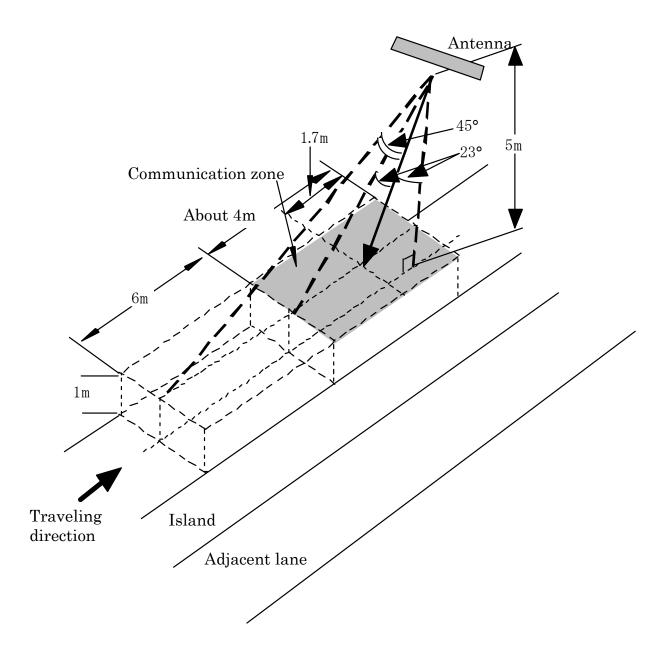


Fig. 3.6 Example of communication zone - Type 1 antenna

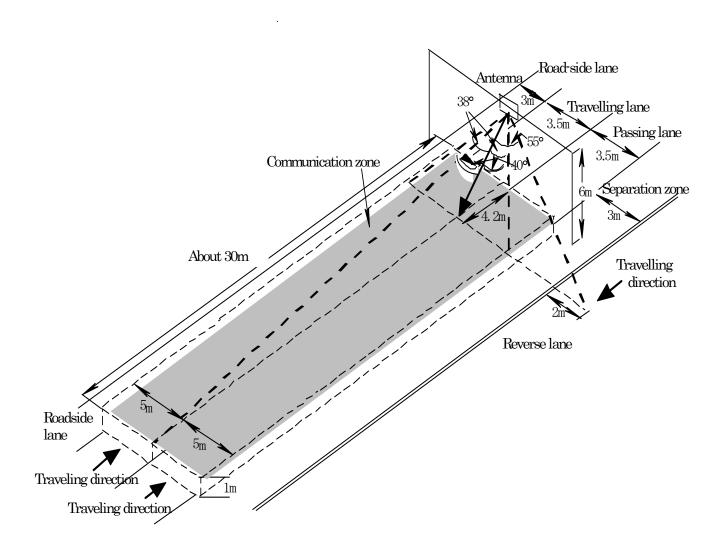


Fig. 3.7 Example of communication zone - Type 2 antenna

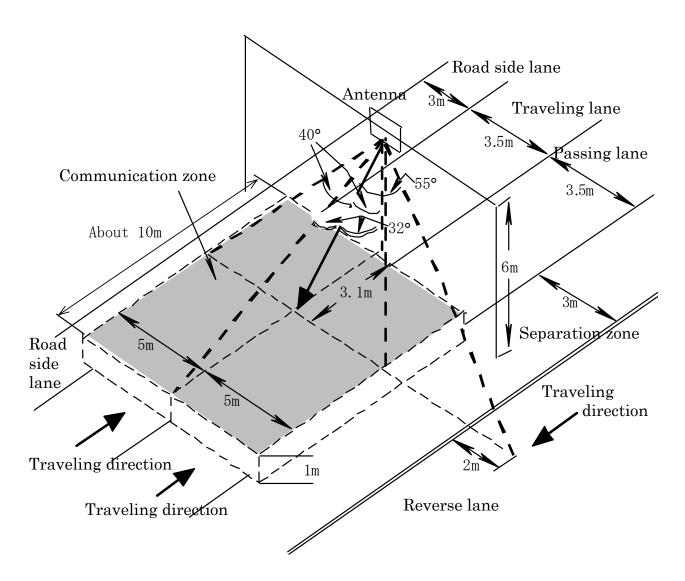
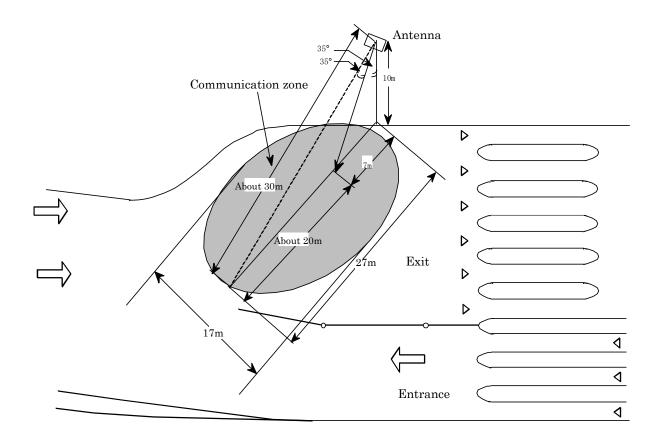


Fig. 3.8 Example of communication zone - Type 3 antenna



 $Fig.\ 3.9\quad Example\ of\ communication\ zone\ -\ Type\ 4\ antenna$ 

#### 3.4.4.2 Gain of antenna

## (1) Definition

The gain of antenna shall be defined as the ratio, usually expressed in decibels, of the power required at the input of a loss-free reference antenna to the power supplied to the input of the given antenna to produce, in a given direction, the same field strength or the same power flux-density at the same distance. When not specified otherwise, the gain refers to the direction of maximum radiation. The gain may be considered for a specified polarization.

The absolute gain shall be defined as the gain when the reference antenna is an isotropic antenna isolated in space.

## (2) Specification

- a. Base station : The antenna which is connected to the base station shall have the absolute gain of 20 dBi or less.
- b. Mobile stations: The antenna which is connected to the mobile station shall have the absolute gain of 10 dBi or less.

## **3.4.4.3 Directivity [Informative]**

## (1) Specification

a. Type 1 antenna

The directivity should be -20 dB or less : At the elevation angle  $\pm$  45 degrees.

The directivity should be -20 dB or less : At the azimuth angle  $\pm$  45 degrees.

b. Type 2, 3 and 4 antenna

The directivity is not specified for the elevation angle.

The directivity should be -17 dB or less: At the azimuth angle  $\pm$  55 degrees.

c. Mobile antenna

The directivity should be -5 dB or less : At the elevation angle  $\pm$  90 degrees.

The directivity should be -5 dB or less : At the azimuth angle  $\pm$  90 degrees.

The half-power bandwidth should be 60 degrees or more for both elevation and azimuth angle.

#### 3.4.4.4 Polarization

## (1) Definition

The right-hand (clockwise) polarized wave shall be defined as the elliptically- or circularly-polarized wave, in which the electric field vector, observed in any fixed plane, normal to the direction of propagation, whilst looking in the direction of propagation, rotates with time in a right-hand or clockwise direction.

The left-hand (anticlockwise) polarized wave shall be defined, by the same way, as the rotation in a left-hand or anticlockwise direction.

# (2) Specification

The polarization shall be the right-hand circular for both base station and mobile stations.

# **Chapter 4 Communication Control System**

#### 4.1 Overview

This chapter specifies the communication control system of the radio interface utilized for the of this radio communication system. Hereafter, the radio interface is specified regarding the protocol model described in chapter 2.

# 4.1.1 Outline of Relationship between Layers, Layer Managements and System Management

Fig. 4.1.1 indicates an OSI reference model of this radio communication system. An entity of each layer provides data transmission service for a higher layer. Each layer management entity has an inherent management information base (MIB) providing access service to the higher layer management entities or the system management entity. Furthermore, the layer 2 management entity provides services for the association control. The system management entity provides services for management information service users.

The layer 2 management entity has the MIB in the MAC sublayer and does not has any MIB in the LLC sub layer (Parameters utilized for the LLC sublayer is specified by the MIB in the MAC sublayer management.). Moreover, either a layer 1 or a layer 7 management entity is not specified.

Each MIB is the virtual information data base cosisted of variables or parameters utilized for elements of procedures of the each layer. Access to the same layer MIB is executed as direct reference and access to another layer MIB is executed as indirect reference using service primitives provided by each layer.

Service primitives and details of MIB for each layer and layer management entity are definitely specified in the layer standards.

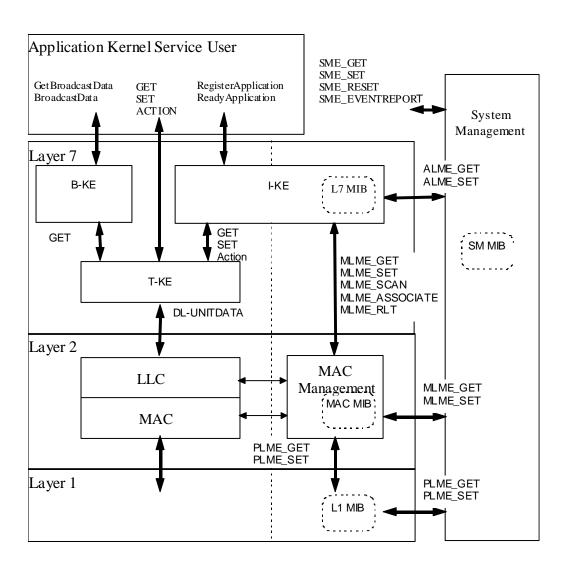


Fig. 4.1.1 Outline of Relationship between Layers, Layer Managements and System Management

## 4.2 Layer 1 Standards

## 4.2.1 Overview

This subclause specifies the physical portion of the radio communication system, including the structure of the frame, the structure of slots, the assignment of slots, different channel types, the structure of channels and the structure of signals, etc.

## 4.2.2 Mobile Station types and base station types

# **4.2.2.1** Mobile Station Types

Not specified.

# 4.2.2.2 Base Station Types

Depending on the maximum transmit power (defined in subclause 3.4.2), two classes of the base station are specified. Furthermore, two types of communication mode, that is full duplex or half-duplex communication mode, are defined.

#### 4.2.3 Service Characteristics

## 4.2.3.1 Outline

The layer 1 provides services for the layer 2 and the system management entity and in turn, receives services from layer 2 and the system management entity. The layer 1 has the inherent management information base (physical management information data base; L1 MIB).

# 4.2.3.2 Service Access points

Service access points that exist between the layer 1 and the layer 2 and interface with transmission service are defined as units of link adresses.

## 4.2.3.3 Services provided by the layer 1

## 4.2.3.3.1 Facilities of transmission

The layer 1 provides the transmission function using the frame control channel (FCMC) and message data channels (MDC), time function and synchronization function for the association and the data transmission.

## 4.2.3.3.2 Channel Activate / Deactivate

The layer 1 provides signal transmission functions and procedures turning the frame control channel, message data channels and activation channels Activate / Deactivate controlled from applications (terminals).

# 4.2.3.3.3 Maintaining the radio link

The layer 1 provides the functions and procedures for maintaining the radio link (Channel signal level measurement etc.).

#### 4.2.3.3.4 Maintenance and state indication

The layer 1 provides signal transmission functions, procedures and the layer 1 functions required for maintainance functions. The layer 1 also provides indication functions of the state of the layer 1 to the layer management entity and/or system management entity.

# 4.2.4 Communication (TDMA) Frame

## 4.2.4.1 The structure of the communication (TDMA) Frame

Transmission frame shall comprise a set of variable subslots; a frame control message slot (FCMS), message data slot (s) (MDS (s)), or Wireless Call Number slot (WCNS) having wireless call number channel (WCNC; call sign) or activation slot (s) (ACTS (s)). The field length of the entire slot including FCMS shall be equal and 100 octets.

Furthermore, the communication profile and the frame structure are multiplexed by the control information multiplexed on FCMS, and the details are defined in subclause 4.2.4.1.

## 4.2.4.1.1 Half-duplex Frame Format

The frame format of half-duplex mode shall be set as illustrated in Fig. 4.2.4.1.1. The number of MDSs shall be n1 and the number of ACTS shall be k1. A half-duplex mode frame format may also be as a communication frame dedicated to one-way communication from the base station to the mobile station besides two-way communications. In this case, both WCNS and ACTSs may be eliminated. The maximum number of variable slots shall be  $n1+k1 \le 8$  (when the ACTS are applied) or  $n1 \le 8$  (having no activation slots).



Fig. 4.2.4.1.1 Half-duplex Frame Format

## (1) Frame control message slot (FCMS)

This FCMS provides control information. The FCMS shall be located at the head of the frame. A FCMC (Frame control message channel) contained frame control information and slots assignment information is multiplexed on this slot. It is downlink dedicated, and the base station performs its transmission.

## (2) Message data slot (MDS)

This MDS is a message multiplexed slot. One or more slots are allocated per frame following after FCMS. This slot is used in two-way communication. The base station multiplexes a message on the downlink, and the mobile station multiplexes a message on the uplink. The maximum number of slot n1max shall be 8. This slot also comprises a set of MDCs (Message Data Channels) and ACKCs (Ack Channels) used for uplink or downlink frame.

## (3) Activation slot (ACTS)

This is an Activation channel multiplex slot. Zero or more slots per frame are allowed. This slot shall be uplink dedicated. The windows for 6 ACTCs (Activation channel) per one ACTS are allocated for the association of mobile stations with the base station. At the link establishment phase, a mobile station transmits one ACTC using one of windows selected from these slots. The maximum numbers of slot k1max shall be 3.

## (4) Wireless call number slot (WCNS)

The WCNC is multiplexed on this slot. The WCNC contains the peculiar number (call sign) of the mobile station. This slot shall be uplink dedicated, and a mobile station transmits a WCNC on the indication from the base station using the assigned WCNS.

# **4.2.4.1.2** Full-duplex Mode Frame Format

The frame format of full-duplex mode shall be set as illustrated in Fig. 4.2.4.1.2. The frame consists of n2 of MDS and k2 of ACTS with its length variable. A part of message data slot of the uplink is also used as ACTS (Activation slot). The interpreting of attributes of the slot is made by the control information that is multiplexed on the FCMC, and the details are defined

in subclause 4.2.4.2.1.5 and 4.2.4.2.1.8.

The maximum number of slots, which are variable, in the full-duplex communication frame format shall be  $n2+k2 \le 4$ .

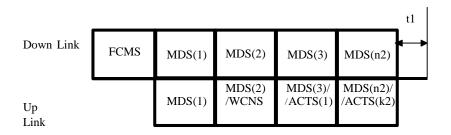


Fig. 4.2.4.1.1 Full-duplex (RSE) Frame Format

# (1) Frame control message slot (FCMS)

This FCMS provides control information. The FCMS shall be located at the head of the frame. A FCMC (Frame control message channel) contained frame control information and slots assignment information is multiplexed on this slot. It is downlink dedicated, and the base station performs its transmission.

## (2) Message data slot (MDS)

This MDS is a message multiplex slot. One or more slots are allocated per frame following after FCMS. This slot is used in two-way communication. This slot also comprises a set of MDCs (Message Data Channels) and ACKCs (Ack Channels) used for uplink or downlink frame. The base station multiplexes a message on the downlink, and the mobile station multiplexes a message on the uplink. The maximum number of slots n2max shall be 4.

## (3) Activation slot (ACTS)

This is an Activation channel multiplex slot. Zero or more slots per frame are allowed. This slot shall be uplink dedicated. The windows for 6 ACTCs (Activation channel) per one ACTS are allocated for the association of mobile stations with the base station. At the link establishment phase, a mobile station transmits one ACTC using one of windows selected from these slots. The maximum locatable number of slot k2max shall be 3.

## (4) Wireless call number slot (WCNS)

The WCNC is multiplexed on this slot. The WCNC contains the peculiar number (call sign) of the mobile station. This slot shall be uplink dedicated, and a mobile station transmits an inherent WCNC on the indication from the base station using the assigned WCNS.

# 4.2.4.2 Channel types and the relationshop between slot types

Channel types and the relation with the slot are shown in Fig. 4.2.4.2. The detail of each channel is specified in the following subclause.

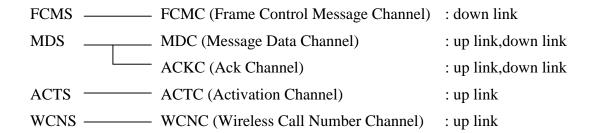


Fig 4.2.4.2 Configuration of the layer 1 channels and slots

# **4.2.4.2.1 Frame Control Message Slot (FCMS)**

The subfield format within an FCMS shall be set as illustrated in Fig. 4.2.4.2.1. FCMS contains the FCMC which has frame control information and slot assignment information and, it is transmitted from the base station. The FCMC shall consist of the following subfields: two octets of an information field SIG (Signaling) for the layer 1, one octet of an identification number subfield FID (Fixed Equipment ID) of the base station, one octet of a frame structure information field FSI (Frame Structure identifier), one octet of a release timer information field RLT (Release Timer Information field), 7 octets of a service application information field SC (Service Code) of the base station, and a slot control information field SCI (Slot Control Identifier) for allocation of communication slots.

The SCI shall consist of one octet of the control information subfield CI (Control Information) and four octets of a link address subfield LID (Link address). The number of assigned slots following after the FCMS (m) shall be defined in the FSI field. Therefore, the number of valid SCIs (n) is equal to m ( $m_{max}$  is equal to  $n1_{max}$ ) in the half-duplex mode. In the case of full duplex mode, the number of valid SCIs (n) is equal to 2m ( $n_{max}$  is equal to  $2m_{max}$ ). The mobile station can distinguish of the number of slots by interpreting the FSI field. The details of the FSI field are defined in subclause 4.2.4.2.1.5.

Two octets of a preamble signal PR, four octets of a unique word signal UW1 and two octets of an error check sequence field CRC shall be added to these signals. Guard time t0 and t2 shall be set before and after the FCMC.

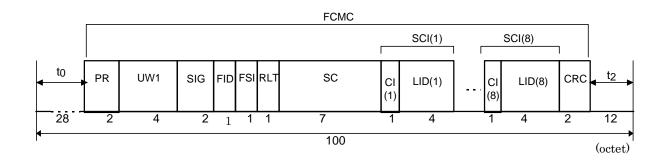


Fig 4.2.4.2.1 FCMS format

## **4.2.4.2.1.1 Preamble (PR)**

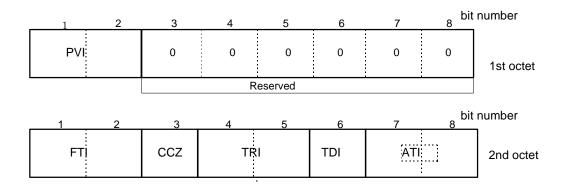
A preamble shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

## **4.2.4.2.1.2** Unique Word (UW1)

A unique word is used for TDMA frame synchronization. An UW1 shall be 32 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

## 4.2.4.2.1.3 Transmission Channel Control Field (SIG)

A transmission channel control field shall be two octets in length and indicates the attributes of the layer 1. The sub-field format of SIG shall be set as illustrated in Fig. 4.2.4.2.1.3. The bit numbers 3 through 8 (b3 to b8) of the first octet are reserved for the future system and shall be set to zero.



**Fig 4.2.4.2.1 SIG format** 

# (1) Protocol Version Identifier (PVI)

A PVI indicates the protocol version of the base station defined in this standard. If it indicates the first version of protocol defined by version 1.0, the PVI (b1, b2) shall be set to "00". Other codes are reserved for future systems.

The PVI subfield shall be invariant in size and placement across all revision of this standard. A device which receives a frame with higher revision level than it understands shall discard the frame without indication to the LLC sublayer except that device of source and destination have a compatibility between each version.

bit number Description
b1 b2
0 0 version 1.0
others Reserved

Table 4.2.4.2.1.3-1 PVI

Note 1) The basic underlying assumption is that device implemented new protocol version is able to communicate device implemented previous protocol version.

Note 2) Definition of protocol version codes is outside of the scope of this standard. However, it is indicated in Annex J for reference only.

# (2) Operation mode (Frequency Type) Identifier (FTI)

A FTI subfield indicates the Frequency Type of the base station. The FTI may be used as an Operation Mode Identifier. If the mode (frequency) is A, the FTI (b2, b3) shall be set to "00", and if the mode (frequency) is B, the FTI (b3, b2) shall be set to "11" as shown in Table 4.2.4.2.1.3-2. Others codes are reserved for the future system.

*Note) Refer to Table 3.1 in subclause 3.4.1.* 

**Table 4.2.4.2.1.3-2 FTI (Operation Mode)** 

Bit number	Description
b2b3	
00	A (Frequency) Mode
11	B( Frequency) Mode
Others	Reserved

# (3) Continuous Communication Zone (CCZ)

This bit number 3 (b3) in 2nd octet indicates the allocation of different base stations (different FIDs) in the traveling direction. In the case where these base stations are linked to each other in any way, the CCZ may be set to "1". If it indicates usual stand-alone type communication zone, the CCZ shall be set to "0". In the case where a TRI is "01" or "10" (TRI is not equal "00" or "11"), it is specific to exceptional communication zone and expects particular processing in the layer 2. This exceptional communication zone is specified with subclause 4.2.4.2.1.3(4)

## (4) Transmitter / Receiver Identifier (TRI)

A Transmitter/Receiver Identifier (TRI) is the identifier for the distinction between base stations allocated in the traveling direction of the continuous communication zone. The subfield of TRI shall be set as shown in Table 4.2.4.2.1.3-3.

In the case where the TRI is "00", the definition of the CCZ="1" shall be ignored. The code "11" is reserved for future systems. In the case where the TRI is "10" or "01" and of CCZ= "1", the transmitter / receiver are defined by TRI.

**Table 4.2.4.2.1.3-3 TRI** 

Bit n	umber	Description
b4	b5	
0	0	stand alone
1	0	Front(first) transmitter/receiver in the traveling direction
0	1	Rear(2nd) transmitter/receiver in the traveling direction
1	1	Reserved

In the case of CCZ= "0", TRI="01" or "10":

One base station has two transmitter / receivers (antennas) located in the traveling direction and performs transmission / reception frames in communication zone of each transmitter / receiver (antenna). If the frame is a transmission / reception frame from a transmitter / receiver that is

located relatively in the front compared to another transmitter/receiver in the traveling direction, the TRI shall be set to "10". If a transmission / reception frame with a transmitter / receiver that is located relatively in the rear compared to another transmitter/receiver in the traveling direction, the TRI shall be set to "01". The codes "01" and "10" should be used as a pair of codes. In the case of the TDI="1", it indicates the communication frame is transmitted or received from each transmitter/receiver (base station) in time division.

Note 1) The underlying assumption is that two transmitter/receivers designated TRI="01" or "10" are allocated close to each other and a chain of application transactions is performed while a mobile station communicates with the transmitter/receiver (antennas) passing the each communication zone in sequence.

# In the case of CCZ="1", TRI="01" or "10":

Two different base stations are allocated in the traveling direction and they each perform communication transactions in the communication zones by thenselfs. If the frame with a base station (transmitter / receiver) is located relatively in the front compared to another base station (transmitter / receiver) in the traveling direction, the TRI shall be set to "10". If the frame with a base station (transmitter / receiver) is located relatively in the rear compared to another the base station (transmitter / receiver) in the traveling direction, the TRI shall be set to "01". The codes of "01" and "10" should be used as a pair of codes. In the case of the TDI= "1", it indicates the communication frame is transmitted or received from each base station in time division.

Note 2) The underlying assumption is that diffrent base stations defined TRI="01" or "10" are allocated close to each other. And a chain of application transaction is performed while a mobile station is provided one application from the first base station, hereafter provided another application from the 2nd base station passing the each communication zone in sequence.

Note 3) Relation between the CCZ and the TDI and communication environment is indicated in Annex B for reference only.

## (4) Time Division Identifier (TDI)

The bit number 6 (b6) is a Time Division Identifier (TDI). This identifier indicates whether or not the base stations transmit / receive of frames in time division. When communication transaction is performed in time division, the TDI shall be set to "1". When communication transaction is not performed in time division, the TDI shall be set to "0".

Bit number	Description	
b7 b8		
0 0	Class 1 (Small area zone)	
1 1	Class 2 (Wide area zone)	
Others Reserved		

Table 4.2.4.2.1.3-4 ATI Communication Area Mode

## (5) Area type identifier (ATI)

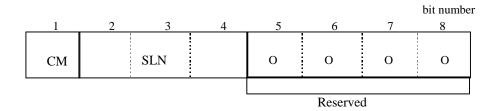
This subfield indicates the type of communication area (zone) (transmission output power) of the base station. If it indicates a small area communication zone (class "1" defined in subclause 2.1.1), the ATI subfield (b7, b8) shall be set to "00". If it indicates a wide area communication zone (class 2 defined in subclause 2.1.1), the ATI shall be set to "11" as shown in Table 4.2.4.2.1.3-4. Others codes are reserved for future systems.

# 4.2.4.2.1.4 Fixed Equipment ID (FID)

The length of the fixed equipment ID shall be one octet. The FID number shall be generated by modulus 256. The establishment of the FID is outside of the scope of this standard. The FID is indicated in Annex M for reference only.

# 4.2.4.2.1.5 Frame Structure Identifier (FSI)

The subfield format of a Frame Structure Identifier (FSI) shall be set as illustrated in Fig. 4.2.4.2.1.5. The bit numbers 5 through 8 (b5-b8) are reserved for future systems and shall be set to zero.



**Fig 4.2.4.2.5 FSI format** 

# (1) Communication mode (CM)

The bit number 1 (b1) is the information field for setup of the communication mode. If the half-duplex mode is adopted, the CM shall be set to "1", and if the full duplex mode (the base station) is adopted, the CM shall be set to "0".

## (2) Slot Number (SLN)

A SLN (m) indicates the number of assigned slot(s) following after the FCMS. The subfield of b2, b3, b4 shall be coded as shown in Table 4.2.4.2.1.5. The number of valid SCIs is equal to m in the half-duplex mode (b1="1") and equal to twice m in the full duplex mode (b1="0"). In the case of the subfield of b4="1", the subfield of the SLN is invalid.

**Table 4.2.4.2.1.5 Slot Number** 

SLN	Number of assigned slots	
b2 b3 b4	CM (b1)=1	CM(b1)=0
	(half-duplex)	(full-duplex)
0 0 0	1	1(2)
1 0 0	2	2(4)
0 1 0	3	3(6)
1 1 0	4	4(8)
0 0 1	5	invalid
1 0 1	6	invalid
0 1 1	7	invalid
1 1 1	8	invalid

## **4.2.4.2.1.6** Release Timer Information field (RLT)

It indicates the timer for the restriction of the re-link entry (re-association) to the base station from the mobile station that has once accomplished the communication transaction. The parameter shall be set as shown in table 4.2.4.2.1.6. The bit number 1 (b1) is a validation bit and the bit numbers 2 through 8 (b2-b8) are a release timer field.

The release timer is a kind of timer which is set in the layer 7 of the mobile station after the accomplishment of the association (initialization procedures) with the base station on receiving the FCMC. This release timer activates after the accomplishment of the communication transaction.

When the release timer is activating at the time of receiving a FCMC, the validation bit indicates whether or not the restriction of the associtaion would continue.

If it indicates that the release timer field is valid and the restriction of the association procedures would continue when the release timer is activated at the timing receiving a FCMC, the validation bit shall be set to "1" (b1="1"). On receiving a FCMC in which the validation bit is "0" (b1="0"), the mobile station could cancel the restriction of the associtaion. The time caluculation according to the release timer field shall be made by multiplying the RLT value (b4~b8: it indicates that the range of timer values is 0 through 31) by the RLT unit values (b2~b3) which are shown in Table 4.2.4.2.1.6.

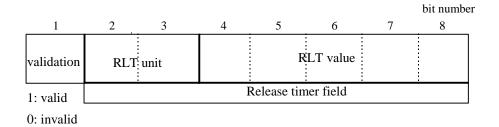


Fig 4.2.4.2.1.6 RLT format

Note) The definition of the completion condition of application (association) and the ways of management or action of the release timer are refereed to layer 7 standards.

bit number Description b2 b3 0 0 0.2 second 0 1 2 second 0 1 20 second 1 1 200 second

**Table. 4.2.4.2.1.6 Unit of RLT** 

## **4.2.4.2.1.7** Service Application Information field (SC)

A SC indicates the outline of application services provided from a base station. The SC is used for the comparison with applications possessed by a mobile station for the prevention of congestion of communication traffic. Furthermore, it indicates the identification of the association procedures (a normal association (initialization) procedures or a simplified association (initialization) procedures) in the layer 7.

The field format shall consit of 1 octet of the initialization mode identifier field (IMI) and 6 octets of the application identifier field (API) as shown in Fig. 4.2.4.2.1.7.1(a).

If it indicates that the layer 7 of the base station provides a normal association (initialization) procedures, the IMI bit (b1 of the first octet) shall be set to "0". If it indicates that the layer 7 of the base station provides a simplified association (initialization) procedures, the IMI (b1 of first octet) shall be set to "1" as shown in Fig. 4.2.4.2.1.7.1(b).

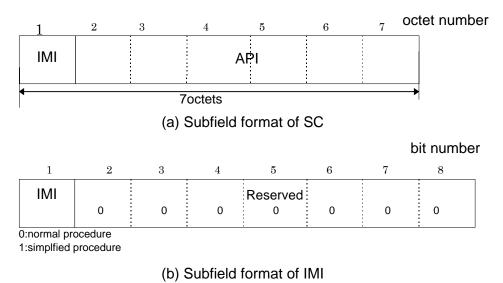
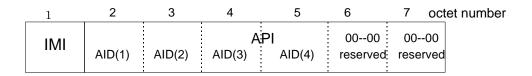


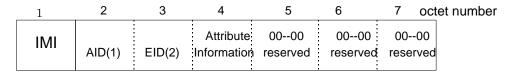
Fig. 4.2.4.2.1.7.1 SC Format (1)

For reference, the example of the construction of the SC field is shown in Fig. 4.2.4.2.1.7.2 This figure shows the configuration of the SC in both cases of a normal association (initialization) procedures and a simplified association (initialization) procedures. Hence, APIs are application identifiers specified by applications and EIDs are element identifiers specified according to an AID. Either an AID field or an EID field has the length of 7 bits. The first bit of each EID or AID is an extender. In the case where a following octet is valid, the extender is set to "0". In the case where a following octet is invalid, the extender is set to "1". However, EIDs are not definitely specified in general, when the simplified association (initialization) procedures is adopted, it assumes that a specified EID is defined common in a base station and mobile stations that have the function of this procedures.

In the case where there is no matched application between the base station and the mobile station, the association procedures is not performed so as to prevent congestion of communication traffic.



(a) Field format of the SC in the normal association (initialization) mode



(b) Field format of the SC in the simplified association (initialization)

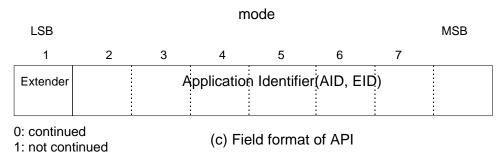


Fig. 4.2.4.2.1.7.2 SC Format (2)

Note 1) Definition of the AIDs and EIDs used for API are indicated in Annex I. Note 2) The association procedures of the layer 7 is referred to the layer 7 standards.

## 4.2.4.2.1.8 Slot Control Identifier (SCI)

A SCI shall consist of one octet of the control information subfield CI as slot assignment information and 4 octets of the link address subfield LID.

If the communication mode is the half-duplex mode, the slots, which follow FCMS, shall correspond to the SCIs in the sequence shown in Fig. 4.2.4.2.1.8-1.

If the communication mode is the full-duplex mode, odd-numbered SCI (1), SCI (3) ... shall indicate downlink information, and even-numbered SC I (2), SCI (4) ... shall indicate uplink information as shown in Fig. 4.2.4.2.1.8-2.

The assignment of the WCNS as shown in Fig. 4.2.4.2.1.8-1 and Fig. 4.2.4.2.1.8-2 are examples of the assignment and the base station indicates it. The available number of slots following after the FCMS are 2,4,8 in the half-duplex mode and 2,4 in the full-duplex mode in general rules defined in subclause 4.2.4.2.1.5(2).

*Note) It shall not assign the ACTS at the first slot following aftet the FCMS.* 

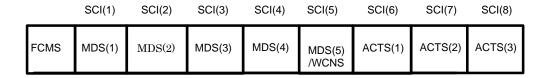


Fig. 4.2.4.2.1.8-1 Example of frame format (Half-duplex mode)

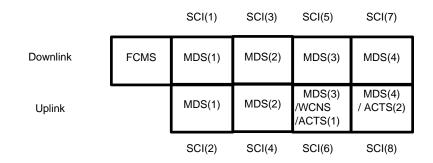


Fig. 4.2.4.2.1.8-2 Example of frame format (Full-duplex mode)

# 4.2.4.2.1.8.1 Control Information Identifier of SCI field (CI)

This is a control field for the assignment of slots. The subfield format of Control information Identifier (CI) shall be set as illustrated in Fig. 4.2.4.2.1.8.1. (Three types of subfield formats depending on the value of slot identifier (SI) are specified.). The bit number 3,4 (b3, b4) within the MDS control field, bit numbers 3,4,5 (b3, b4, b5) within the ACTS control field and bit numbers 3 through 8 (b3~b8) within the WCNS control field are reserved for the future system and shall be set to zero.

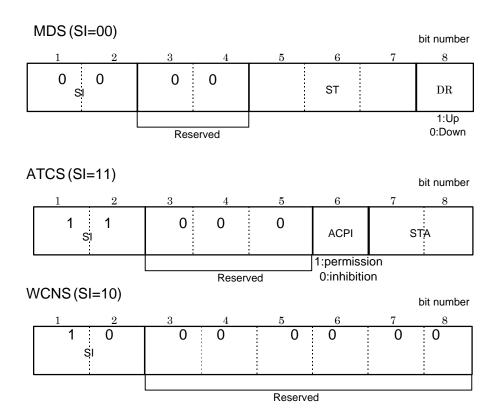


Fig. 4.2.4.2.1.8.1 CI format

## (1) Slot Identifier (SI)

A SI indicates the attributes of the slot. The subfield format shall be coded as shown in Table 4.2.2.8.1-1. If the slot is assigned as a MDS, the bit numbers 1,2 (b1, b2) of the SI shall be set to "00". If the slot is assigned as an ACTS, it shall be set to "11" and if the slot is assigned as a WCNS, it shall be set to "10".

In the case where the SI indicates an activation slot, the subsequent LID can be ignored.

Bit number Description b2 **b**1 0 Assigned for MDS 0 1 Assigned for ACTS 1 1 0 Assigned for WCNS 0 1 Reserved

Table 4.2.4.2.1.8.1-1 Contents of Slot identifier

## (2) Status of data field (ST)

The bit numbers 5,6,7 (b5, b6, b7) shall be coded as shown in Table 4.2.4.2.1.8.1-2. The ST

indicates the contents of the MDC. If it indicates that the MDC is a normal data channel, the bit numbers 5,6,7 (b5, b6, b7) of the ST shall be set to "111". If it indicates that the MDC is an empty data channel, it shall be set to "011". If it indicates that the MDC is an idle signal channel, it shall be set to "100", and if it indicates that the MDC is a data channel assigned with priority, it shall be set to "000".

For the idle signal channel, all of the LPDU fields of the MDC shall take the value of "0". For the non-data channel, the LID of the SCI and the data of corresponding MDC are invalid.

Bit number		ber	Description
b:	b5 b6 b7		•
1	1	1	Normal data channel
0	1	1	empty data channel
1	0	1	Reserved
0	0	1	Reserved
1	1	0	Reserved
0	1	0	Reserved
1	0	0	Idle signal channel
0	0	0	Data channel assigned with
			priority

Table 4.2.4.2.1.8.1-2 ST

## (3) Direction (DR)

A DR bit indicates the direction of transmission of the MDC. If the transmission is made in the uplink direction (from the mobile station to the base station), the DR shall be set to "1", and if transmission is made in the downlink direction (from the base station to the mobile station), the DR shall be set to "0".

## (4) Activation possibility identifier (ACPI)

An ACPI bit is information field of ACTS transmission operation. If the base station allows mobile stations to make an attempt to associate with the base station communication link by transmitting an ACTC, the ACPI shall be set to "1". If the transmission of the ACTC is inhibited, the ACPI shall be set to "0". This indication shall be set to the same value over ACTSs within one frame.

Table 4.2.4.2.1.8.1-3 STA

Bit number	Activation probability	
b7 b8		
0 0	100 - 50%	
1 0	Less than 50 - 25%	
0 1	Less than 25 - 12.5%	
1 1	less than 12.5%	

### (5) State of acceptance of ACTCs (STA)

The subfield of STA (b7, b8) broadcasts the activation (acceptance) state of the ACTC at the base station. It prevents deadlocking of the traffic caused by excessive associations (link entries).

Table 4.2.4.2.1.8.1-3 indicates the relationship between the STA and the activation (acceptance of ACTCs) probability at the base station. It indicates that the smaller the value of start probability, the larger the traffic. This indication shall be set to the same value over ACTSs within one frame.

#### **4.2.4.2.1.8.2** Link Address Field (LID)

Link address LID is available in three types.

- (1) A private link address for the point to point two-way communication between a mobile station and a base station.
- (2) A broadcast link address for the transmission of data, etc., in broadcast type from a base station to plural mobile stations.
- (3) A multicast (group) link address for the transmission/reception of data, etc., from a base station to plural groups of mobile stations.

This LID shall be a link address that is common to the base station and the mobile station, and the same link address shall be used in the layer 1, layer 2 (the MAC sublayer and the LLC sublayer) and the layer 7 for identification of the service access points. The same LID shall be used while the communication is continuously performed. Establishment of numbers to LIDs is described in subclause 4.3.2. The subfield format of LID shall be set as illustrated in Fig. 4.2.4.2.1.8.2. The bit number 1 (b1) of each octet shall use an extender. When the first bit of octet is "0", the subsequent octet shall be an extension of the address field. An octet having the address field "1" in the bit number 1 (b1) shall terminate. However, since the SCI is defined in fixed length of five octets, LID is of fixed length of four octets (32 bits). Therefore, the first bit (b1) of the 2nd and 3rd octet is always "0". Where the first octet only is valid (first bit (b1) of

first octet is "1"), the other octets cannot be deleted. All subfield of the other octets shall be set "0" in this case.

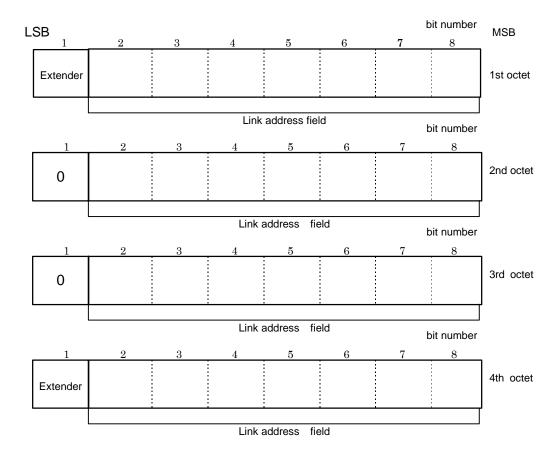


Fig. 4.2.4.2.1.8.2 LID format

The 1st octet address of eight "1" bits ("11111111") shall be reserved as the broadcast link address. All single octet addresses except for the broadcast link address ("1xxx xxxx") shall be reserved for the multicast (group) link address.

Note 1) A private link address shall be used the 28 bits of bit No. 2 - 8 of four octets and the first bit (b1) of 4 th octet shall be set to "1".

Note 2) Allocation of multicast (group) link addresses are outside of the scope of this standard.

# **4.2.4.2.1.9** Cyclic Redundancy Error Check Sequence (CRC)

A 16-bits CRC code is used for data check sequence for error detection purposes. The contents of the FCMC field excluding the PR and the UW1 field shall be contained in the calculation of the FCMC field. The generator polynomial shall be as follows.

Generator polynomial: X<sup>16</sup>+X<sup>12</sup>+X<sup>5</sup>+1

### 4.2.4.2.2 Message Data Slot (MDS)

The subfield format within a message data slot (MDS) shall be set as illustrated in Fig. 4.2.2.2. The MDS shall consist of the following subfield format: a message data channel (MDC) for data transmission and an acknowledgment channel (ACKC) that indicates the transmission originating station whether or not the received the MDC was correct. The guard times t3 and t4 shall set before and after the ACKC.

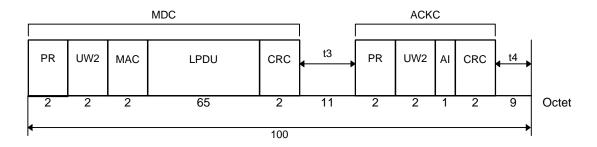


Fig. 4.2.2.2 MDS format

### 4.2.4.2.2.1 Message Data Channel (MDC)

The subfield format within the message data channel (MDC) shall be set as illustrated in Fig. 4.2.4.2.2.1. The MDC shall contain an LPDU of 65 octets, a MAC control field (MAC) of two octets and a preamble signal PR, a unique word signal UW2 and a CRC code. Each length of field is 2 octets.

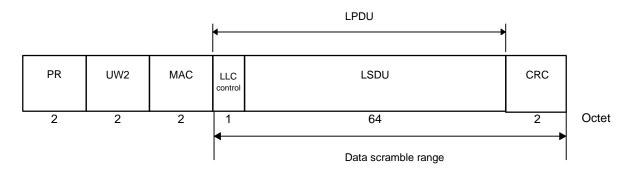
The LPDU, which is delivered from the LLC sublayer, should be have been aligned in octet units, and unaligned data shall be discarded. The LPDU having a length of over 65 octets shall be fragmented into 65-octet units in the MAC sublayer, and will be transmitted using plural frames. In the case where the data length is less than 65 octets, zeros shall be added in the MAC sublayer up to 65 octets, and the data is a unit of 65 octets.

Furthermore, the data scrambling (simple encrption system) showed in subclause 4.2.6 should be adapted to the LPDU and CRC.

The LPDU has an LLC control field and an LSDU (link service data unit). The format of the LLC control field is specified in detail in subclause 4.3.4.4.1.

The PR, the UW2 and the CRC code that each length of field is 2 octets are further added to these signals, and transmission is made in the physical medium channel in the layer 1.

In the case of where an LPDU is fragmented, the LLC field is only contained in the first MSDU.



**Fig. 4.2.2.2.1 MDC format** 

## 4.2.4.2.2.1.1 Preamble (PR)

A preamble shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

# 4.2.4.2.2.1.2 Unique Word (UW2)

A unique word is used for TDMA frame synchronization. The UW2 shall be 16 bits in length described as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

### 4.2.4.2.2.1.3 MAC control field (MAC)

The subfield format within the MAC control field shall be set as illustrated in Fig. 4.2.4.2.2.1.3. The bit number 3 (b3) of the first octet and the bit number 8 (b8) of the second octet are reserved for future systems and shall be set to zero. Each subfield is specified as follows;

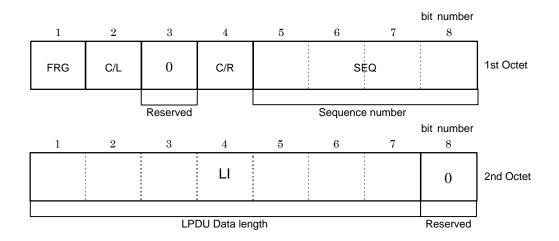


Fig. 4.2.4.2.2.1.3 MAC Control field format

# (1) Fragmentation (FRG)

This subfield of a FRG is used for the identification of whether or not the received message was fragmented. If it is indicates that fragmentation is made, the FRG shall be set to "1", and if it indicates that fragmentation is not made, the FRG shall be set "0".

# (2) Continuous/Last (C/L)

A C/L bit is used for identification of whether or not a message is continued after the received message. If it indicates that the MDC to be transmitted continuously occures, the C/L shall be set to "1", and if it indicates that the corresponding MDC is the last MDC, the C/L shall be set to "0". If the data (LPDU) transmission is performed using one MDC, it shall be set to "0".

#### (3) Command/Response (C/R)

The bit number 4 (b4) of the 1st octet is command/response identifier of an LPDU. Where the LPDU is a command LPDU, the b4 shall be set to "0" and where the LPDU is a response LPDU, the b4 shall be set to "1"

### (4) Sequence Number (SEQ)

The bit numbers 5 through 8 (b5, b6, b7, b8) of the 1st octet shall indicate the sequence number that indicates fragmented data transmission sequence. The sequence number SEQ shall be created by modulus 16. This SEQ is used to prevent receipt of the duplicated message and also make the fragmentation / de-fragmentation of data in the MAC sublayer.

The least significant bit shall be b5.

#### (5) Length indicator information field of LPDU (LI)

The subfield of LI shall indicate valid data length of LPDU. The unit of L1 shall be octet.

#### 4.2.4.2.2.1.4 Cyclic Redundancy Error Check Sequence (CRC)

A 16-bit CRC code shall be used for data check sequence for error detection purposes. The contents of the MDC field excluding a PR and an UW2 field shall be contained in the calculation of MDC field. The generator polynomial shall be as follows.

Generator polynomial: 
$$X^{16}+X^{12}+X^{5}+1$$

# **4.2.4.2.2.2 ACK channel (ACKC)**

The sub-field format within an ACKC shall be set as illustrated in Fig. 4.2.4.2.2.2. It is an acknowledgment subfield AI of one octet only. A preamble signal PR of two octets, a unique word signal, an UW2 of two octets and a CRC code of two octets shall be added to these signals, and transmission is made in the physical medium channel of the layer 1.

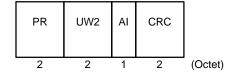


Fig. 4.2.4.2.2.2 ACKC format

#### **4.2.4.2.2.1 Preamble (PR)**

A preamble shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

# 4.2.4.2.2.2 Unique Word (UW2)

An unique word is used for TDMA frame synchronization. An UW2 shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

# 4.2.4.2.2.3 Acknowledgment Identifier (AI)

The subfield format within an acknowledgment identifier (AI) shall be set as illustrated in Fig. 4.2.4.2.2.3. The bit numbers 1 through 7 (b1-b7) are reserved for future system improvement and shall be set to "0". If an Ack signal that indicates that data was correct, the AK shall be set to "1". If a Nack signal that indicates that data was not correct, the AK shall be set to "0".

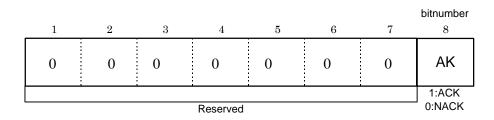


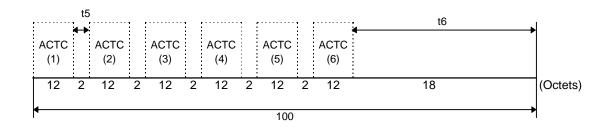
Fig. 4.2.4.2.2.3 AI format

### 4.2.4.2.2.4 Cyclic Redundancy Error Check Sequence (CRC)

A 16-bit CRC code shall be used for data check sequence for error detection purposes. The contents of the ACKC field excluding a PR and an UW2 field shall be contained in the calculation of ACKC field. The generator polynomial shall be as follows.

### 4.2.4.2.3 Activation Slot (ACTS)

The activation slot shall contain windows for the ACTC (Activation Channel). The window format of ACTS shall be set as illustrated in Fig. 4.2.4.2.3. A mobile station transmits an ACTC using one window selected at random in the association procedures. If plural ACTSs exist, the mobile station shall select one ACTS at random. Guard times t5 and t6 shall be set between windows and after last windows (ACTC (6)). The procedures for trasmission of the ACTC specified in the layer 2 standards.



**Fig. 4.2.4.2.3 ACTS format** 

### **4.2.4.2.3.1** Activation Channel (ACTC)

The subfield format within the ACTC shall be set as illustrated in Fig. 4.2.4.2.3.1. It shall consist of the following subfields: a link request (association request) subfield LRI for the ACTC (one octet in length), a link address of four octets and identification number FID of the base station. A preamble signal PR (two octets in length), an unique word signal UW2 (two octets in length) and a error check signal CRC code (two octets in length) are further added to these signals, and transmission is made in the layer 1.

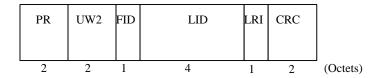


Fig. 4.2.4.2.3.1 ACTC Format

### 4.2.4.2.3.1.1 Preamble (PR)

A preamble shall be 16 bits in length described below. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

### 4.2.4.2.3.1.2 Unique word (UW2)

An unique word is used for TDMA frame synchronization. An UW2 shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

# **4.2.4.2.3.1.3** Fixed Equipment ID (FID)

The length of a FID shall be one octet in length. The FID contained within the FCMS transmitted from the base station is multiplexed as it is.

## 4.2.4.2.3.1.4 Link Address Field (LID)

The link address LID is a private link address for making point to point two-way communication between the mobile station and the base station.

The sub-field format of LID shall be as illustrated in Fig. 4.2.4.2.1.8.2. The private link address shall use the 28 bits of bit number 2 through 8 of four octets. The bit number 1 (b1) of 1 st octet shall be set to "0" and the bit number 1 (b1) of 4 th octet shall be set to "1".

### 4.2.4.2.3.1.5 Link Request Information field (LRI)

A link request (association request) information field LRI is used for link request (association request) from the mobile station. The LRI shall be set as illustrated in Fig. 4.2.4.2.3.1.5.

The bit number 1 (b1) is the identifier whether or not the association request of the MDS assignment with priority is made. If the mobile station requests association with priority, it shall be set to "1". If the mobile station requests association without priority, it shall be set to "0". When a request, the bit of which is "1", is detected, the MDS is assigned in the uplink with the highest priority at the earliest opportunity. The mobile station may use this bit only when the conditions for assignment with priority are satisfied.

The bit number 2 (b2) is a response information field associated with the IMI of the SC. Where the base station set the IMI= "1" and a mobile station intends to associate using a simplified association (initialization) procedures indicated by the layer 7, it shall be set to "1". If the mobile station can not or does not want to use a simplified association (initialization) procedures, it shall be set to "0"

The bit numbers 3,4 (b3, b4) is a response information field for the PVI in the SIG. Using this subfield, the mobile station responds whether protocol version indicated by the base station is available or not. The definition of response parameter is to be developed at the time of the revision of the protocol defined in this standard. Therefore, it shall be set to "00".

The bit numbers 5 through 8 (b5~b8) are application identifier flags. This subfield is used for the indication of comparison results of available applications and applications indicated by the base station. The comparison results are registered in the subfield of bit 5 through bit 8.

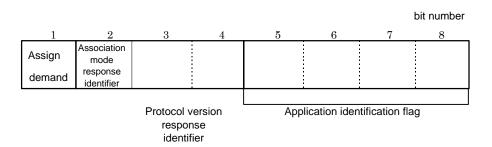


Fig. 4.2.4.2.3.1.5 LRI format

*Note1) Underlying assumption is as follows.* 

The number of applications defined in Fig. 4.2.4.2.1.7.2(a) is 4. In the case of the normal association procedures, the bit number 5 (b5) is a registration field for an application (AID) indicated in the 2nd octet defined in Fig. 4.2.4.2.1.7.2(a). In the same manner, the bit number b6 corresponds to an application (AID) of the third octet, the bit number b7 corresponds to an application (AID) of the fourth octet and the bit number b8 corresponds to an application (AID) of the fifth octet. When the comparison result shows correspondence, it is set to "1". When comparison result does not show correspondence, it is set to "0".

Note2) The bit number 1 (b1) is specified for use at the time of emergency reporting from a mobile station to a base station. The conditions for assignment with priority are to be defined elsewhere, but it is outside of the scope of this standard.

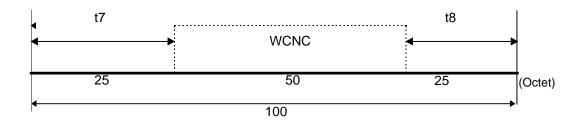
# 4.2.4.2.3.1.6 Cyclic Redundancy Error Check Sequence (CRC)

A 16-bits CRC code shall be used for data check sequence for error detection purposes. The contents of the ACTC field excluding PR and UW2 field shall be contained in the calculation of ACTC field. The generator polynomial shall be as follows.

Generator polynomial: 
$$X^{16}+X^{12}+X^{5}+1$$

### 4.2.4.2.4 Wireless Call Number slot (WCNS)

The sub-field format of the WCNS (Call sign) shall be set as illustrated in Fig. 4.2.4.2.4. This WCNS contains a window for a WCNC (wireless call number channel). A wireless call number is an approval number granted from the government certification office as an inherent wireless mobile terminal. WCNC shall transmit using specified window according to indication from the base station. Guard times t7 and t8 shall be set before and after the window. The format of WCNC (Call sign) shall conform to Annex C.



**Fig. 4.2.4.2.4 WCNS format** 

### 4.2.4.3 Frame Check Sequence

A 16-bit CRC code shall be used for data check sequence for error detection purposes. This error check sequence shall apply to each channel. The field for the CRC calculation is specified in subclause corresponding to each channel.

This CRC shall be conform with 16 bits frame check sequence (FCS) as defined according to ITU-R recommendation. The generator polynomial shall be the expression as follows, and initial value used shall be FFFF (all "1"). The ones complement of the remainder shall be transmitted as the 16 bits FCS. The CRC field shall be transmitted commencing with the coefficient of the highest order term.

#### 4.2.5 Bit Order

Each sub-field shall be transmitted least significant bit (LSB) first, i.e. low order bit first (the first bit of transmitted data has a weight of 2<sup>0</sup>). However, the protocol data unit consisting of MDC's MAC control field and LPDU is defined in subclause 4.3.

# 4.2.6 Simple Encryption System

This standard specifies this communication system using a simple encryption system (data scramble system) with an encryption key delivery in the layer 2 as means for the privacy protection and countermeasures against the radio interception at the minimum level. Fig. 4.2.6 shows an outline of the encrypion. Data scramble shall be adopted only with MDC.

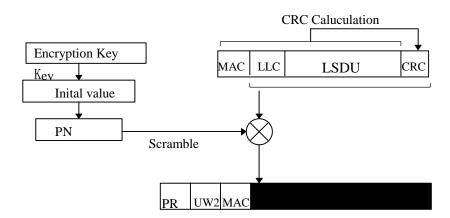


Fig 4.2 Overview of data scramble system

## 4.2.6.1 Encryption Key

An encryption key is used for the initial values of shift register for scramble. The key length is two octets (16 bits).

The encryption key makes use of the link address exchanged in the communication establishment phase of the base station and the mobile station. The same conversion table prepared previously at the base station and the mobile station shall be used for converting this link address and generating the encryption key.

The method for generation of the conversion table is specified in Annex D.

# 4.2.6.2 Data Scramble System

A data scramble shall be adopted only with MDC.

The encryption key determines the initial value of the shift register for scramble.

A PN pattern of 16 stages, an M series is used for scramble. The generator polynomial shall be as follows.

Generator polynomial:  $X^{16} + X^{12} + X^{3} + X + 1$ 

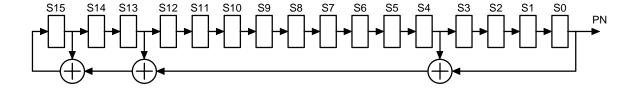


Fig. 4.2.6.2 PN Pattern Generation

A typical PN pattern generation circuit (shift resistor composition) for the scramble shall be used as shown in Fig. 4.2.6.2. The scramble is of initial value set type with which the initial value of the shift register is set for each communication between the base station and the mobile station.

### 4.2.6.3 Relationship between Scramble and Error Check Code (CRC)

A CRC calculated itself of the MDC is described in subclause 4.2.4.2.2.1.4. The sequence of

the scramble generation procedures, the CRC calculation and the addition are specified in this subclause.

### 4.2.6.4 Sequence of CRC Calculation and Scramble

The processing procedures at the time of transmission are specified as follows.

The receiver side is of the sequence opposite to this sequence.

- (1) CRC calculation is performed and the result (CRC) is added after LSDU.
- (2) Execution of scramble
- (3) Transmission

### 4.2.6.5 Range of CRC Calculation and of Scramble

The scope of application of the CRC calculation and of the scramble shall be as follows;

- (1) CRC calculation ... From the bit next to UW2 to the last bit of LSDU.
- (2) Scramble ... From the bit next to MAC control field to the last bit of CRC.

Note) Where an LPDU is fragmented, the LLC field is only contained in the first MSDU.

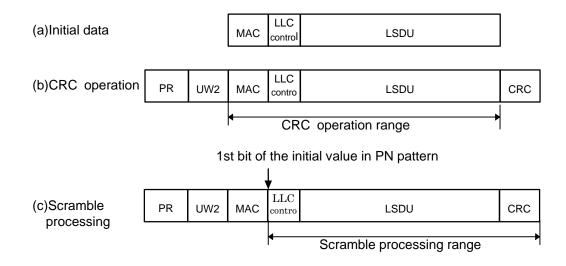


Fig 4.2.6.5 Processing of Data Scramble

### 4.2.7 Guard time

The guard times of the basic frame or channels shall be set as shown in Table 4.2.7 by octet length. Guard time is the calculation of the multiplied of the indicated units by  $7.8125 \,\mu s$ .

These values shall be adpted conformable to the following specifications.

- (1) Accuracy of the modulation signal rate (subclause 3.2.9)
- (2) Burst transmission transient response time (subclause 3.4.2.6)
- (3) Allowable deviation of absolute signal transmission time (subclause 3.4.2.13)
- (4) Transmission / reception switching time (subclause 4.2.10)

**Table 4.2.7 Guard Time of Basic System** 

Parameter	Set time (octet)	Remarks	
t0	28	See subclause 4.2.4.2.1	
t1	0	See subclause 4.2.4.1	
t2	12	See subclause 4.2.4.2.1	
t3	11	See subclause 4.2.4.2.2	
t4	9	See subclause 4.2.4.2.2	
t5	2	See subclause 4.2.4.2.3	
t6	18	See subclause 4.2.4.2.3	
t7	25	See subclause 4.2.4.2.4	
t8	25	See subclause 4.2.4.2.4	

#### 4.2.8 Channel selection procedures on the mobile station

### (1) Definition

This is the procedures when a mobile station selects one correct frequency channel from specified plural channels on receiving the radio signal and from a base station in a defined radio communication zone.

#### (2) Recommend procedures

Base station:

Previously, one frequency transmission channel shall be preset.

#### Mobile station:

On receiving the FCMC signal with signal level higher than the specified level, the FCMC may execute error detection procedures using CRC field. (On receiving different frequency channel signals simutaneously, it is desired that the mobile station determines, which signal level is higher, if possible.) Where it does not show an error in corresponding FCMC, the mobile station may set one radio frequency channel according to FTI within the corresponding FCMC.

#### (3) Procedures of the mobile station

(a) It is desired that the mobile station select one radio frequency channel after error checking if plural FCMCs are received continuously.

Firstly, after the FCMC has selected correctly, the mobile station sets one radio frequency channel according to FTI within the corresponding FCMC. Secondly, using following FCMC, the mobile station transmits an ACTC. Adopting this procedures, it is the same as executing error detection procedures of FCMC twice.

- (b) Ways of setting radio frequency channel in the mobile station
  - 1) Two channel reception way (Two receiver blocks exist for the reception for FCMCs. After justification, one frequency channel is selected.)
  - 2) Broad IF band way.
  - 3) Searching signals way (FCMC is selected by fast switching the receiving channel alternately. After justification, the correct radio frequency channel is set.)
  - 4) Preset way, indicated from previous communication transaction with the base station. (For example, a lane based comunication zone that is constructed from the 1st communication zone and the  $2^{nd}$  communication zone. In this case, the mobile satation may be able to skip this process.)
  - 5) Other way
- (c) Setting window of the mobile station.

Considering the mobile station which cannot previously know a period of transmission of FCMC from the base station, in the case of adopting a searching signals way, it may be suitable for the mobile station to use a window (time slot) for the channel selection procedures.

#### 4.2.9 Channel selection time of the mobile station

# (1) Definition

A channel selection time specifies the time that the mobile station complets its channel selection procedures. When the wake-up process is adopted, it assumes that a channel selection time contains the wake-up process time. Furthermore, adopting switching antenna method, switching time is also included in the channel selection time.

### (2) Specification

It shall be less than the time of 9-frame in lengths.

# (3) Frame length and Channel selection time

The number of MDSs is basically classified in series 2,4,8. According to the number of MDSs, frame classes are classfied as shown in Table. 4.2.9. In addition, the absolute channel selection time (9-frame lengths) according to the frame classes are shown in this figure.

Table. 4.2.9 Realtionship between frame length and channel selection time

Frame Class	Frame length	Frame Period	Selection Time	zone
	(unit:slot)	(ms)	(ms)	
A	1FCMS+2MDS=	3 x 0.78125ms	21.09375	Lane based (narrow
	3 slots	=2.34375		area) etc.
В	1FCMS+4MDS=	5 x 0.78125ms	35.15625	Free flow (wide
	5 slots	=3.90625		area) etc.
С	1FCMS+8MDS=	9 x 0.78125ms	63.28125	Free flow (wide
	9 slots	=7.03125		area) etc.

*Note)* 1slot = 100 octets. It is equal to 0.78125 ms in data transmission rate of 1024 kbps.

# 4.2.10 Transmission / Reception Switching time

#### (1) Definition

A transmission / reception switching time specifies the transient time that the mobile station moves its state from reception state to transmission state or from transmission state to reception state.

This specification does not apply to the base station, since the base station may operate in full-duplex mode.

The burst transient time may be contained in this transmission / reception switching time.

### (2) Specifications

1) The time taken for the mobile station to switch time from reception state to transmission state shall be less than  $64 \mu s$ .

*Note)* A channel selection time shall not be included in this transmission / reception switching time.

2) The time taken for the mobile station to switch from transmission rtate to reception state shall be less than  $64 \mu s$ .

### (3) Description

A transmission / reception switching time shall contain the data processing time in the layer 1 and the layer 2.

The layer 7 or applications (service user) may elapse more time than specified time in this subclause, since the data could not process smoothly by any reason. The layer 2 management entity has a timer (maximum number of frames) for the rapid re-association, when the communication transaction fails. After elapse of this timer, the communication transaction would be cancelled.

If the cancellation is not desired, the mobile station may need temporal data transmission including any idle message.

### 4.2.11 Wake-up processing of the mobile station

### (1) Definition

This processing specifies that the mobile station is able to communicate in a defined radio communication zone, or the transient of the mobile station move state from a standby state (sleep mode state) to an operation state. The latter standby state is the function of an energy management to avoid wasting the battery energy in the mobile station.

### (2) Specification

- 1) The wake-up process shall apply to the normal type of data transaction.
- 2) The mobile station may not adopt the Wake-up process.

### 4.2.12 Maxiumum start-up time of the mobile station

#### (1) Definition

A maximum start-up time is specifies the time for the mobile station to complete preparation of the receiving, after receiving the minimum length of downlink data (message) which enables the trigger of transition.

## (2) Specifications

Maxiumum start-up time of the mobile station shall be less than 5 ms.

#### 4.2.13 Layer 1 Management service interface.

#### 4.2.13.1 Overview of Interactions

The layer 1 management entity (PLME) provides the following primitives to a MAC sublayer management entity in the layer 2 or a system management entity (SME).

PLME-GET.request PLME-GET.confirm PLME-SET.request

#### PLME-SET.confirm

The management information specific to the layer 1 is represented as a layer 1 (Physical Medium Layer) Management Information Base (MIB). The PLME-GET.request primitive is passed to the layer 1 management entity (PLME) to request from the user entity (the SME or the MAC sublayer management entity (MLME)) to get the value of the MIB attribute. The PLME-GET.confirm is passed from the PLME to convey the results of the previous action associated with the PLME-GET.request primitive. The PLME-SET.request primitive is passed to the PLME to request that the user-entity (the SME or the MLME) set the value of the MIB attribute. The PLME-SET.confirm is passed from the PLME to convey the results of the previous action associated with the PLME-SET.request primitives.

Details of the variable definition of the MIB are shown in Annex A.

# 4.2.13.2 Service specification

This subclause describes in detail the primitives and parameters associated with the service specified in subclause 4.2.13.1. The parameters are abstractly described, and specified in view of the necessity for the receive entity. A specific implementation is not constrained in the method of making this information available. Figure 4.2.13.2. shows the logical relationship of primitives.

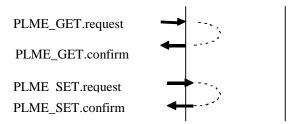


Fig.4.2.13.2 Time-sequence diagram

#### 4.2.13.2.1 PLME-GET.request

(1) Function

This primitive is to request for the MIB access service.

(2) Semantics of Service Primitive

The primitive parameters shall be as follows:

PLME-GET.request (MIB-attribute)

The MIB-attribute parameter is specific to the attribute of the MIB.

# (3) When Generated

This primitive is generated by the SME or the MLME to request for getting the MIB attribute of the PLME and is passed to the PLME.

#### 4.2.13.2.2 PLME-GET.confirm

### (1) Function

This primitive is to report the results of the action associated with the PLME-GET.request.

### (2) Semantics of Service Primitive

The primitive parameters shall be as follows:

PLME-GET.confirm (status, MIB-attribute, MIB-attribute-value)

The status parameter indicates the success or the failure of the MIB-attribute reading requests. The MIB-attribute parameter is specific to the attribute provided by the PLME-GET.request. The MIB-attribute-value is specific to the value of the attribute itself.

*Note 1) If a type of invalid attribute is specified, the status will indicate the failure.* 

*Note 2) If the status indicates the failure, the MIB-attribute-value will not assure validity.* 

#### (3) When Generated

This primitive is generated by the PLME to report the results of the previous action provided by the PLME-GET.request primitives and is passed to the SME or the MLME.

### 4.2.13.2.3 PLME-SET.request

#### (1) Function

This primitive is to request for the MIB access service.

#### (2) Semantics of Service Primitive

The primitive parameters shall be as follows:

PLME-SET.request (MIB-attribute, MIB-attribute-value)

The MIB-attribute parameter specifies the attribute of the MIB.

The MIB-attribute-value is specific to the value.

### (3) When Generated

This primitive is generated by the SME or the MLME to request for writing the MIB attribute of the PLME and is passed to the PLME.

### 4.2.13.2.4 PLME-SET.confirm

### (1) Function

This primitive is to report the results of the action associated with the PLME-SET.request.

# (2) Semantics of Service Primitive

The primitive parameters shall be as follows:

PLME-SET.confirm (status, MIB-attribute)

The status parameter indicates the success or the failure of the setting MIB-attribute request provided by PLME-SET.request.

The MIB-attribute parameter is specific to the attribute provided by PLME-SET.request.

*Note) If a type of invalid attribute is specified, the status will indicate failure.* 

### (3) When Generated

This primitive is generated by the PLME to report the results of the previous action provided by the PLME-SET.request primitives and is passed to the SME or the MLME.

### 4.3 Layer 2 Standards

### 4.3.1 Outline of the layer 2

#### **4.3.1.1** Overview

The layer 2 has the same meaning as the data link layer. The layer 2 is further divided into two distinct layers, the logical link control sublayer (LLC sublayer) and medium access control sublayer (MAC sublayer).

The LLC sublayer performs data exchange between LLC sublayers and provides its service for the layer 7.

The MAC sublayer also performs data transmission between MAC sublayers using the bit transmission function of the layer 1 (physical layer). The MAC sublayer manages the bit order data reception/transmission using physical medium channel cooperating with the MAC sublayer management entity (MLME). The MAC sublayer also performs the association (data link connection) cooperating with the functions of the layer1, the layer7 and the system management entity (SME).

This subclause specifies frame structure, elements for procedures and procedures for performing the above operations.

### 4.3.1.2 Overview of Services

The layer 2 standard is divided in two parts, the MAC sublayer and the LLC subalyer. Each sublayer is specified as below.

#### **4.3.1.2.1 MAC Sublayer**

The MAC sublayer is responsible for controlling the physical medium by the MAC entity. The contents of the service are as follows.

(1) Association

Associates with the base station from the mobile station.

(2) Frame control

Manages frames such as slot assignment.

(3) Fragmentation

Fragment a LPDU in MSDUs and defragment MSDUs.

(4) MAC level acknowledgment

Actions regarding error control of the transmission MPDU in MAC sublayer.

(5) Simple encryption

Performs simple encryption to MDCs LPDU and CRC field.

# **4.3.1.2.2 LLC Sublayer**

The LLC sublayer is specified by the identification protocol procedures used for the transfer of information and control between a pair of data link service access points between a base station and a mobile station. Data transfer, retransmission control, etc are performed in accordance with the LLC control field. Operations of two types indicated below are defined in the LLC procedures.

- (1) Type I operation: Unacknowledged connectionless-mode services
- (2) Type 3 operation: Acknowledged connectionless-mode services.

Note) Type 3 data transfer service is reserved for furture systems at this time. The implementation of Type 3 in future systems will be considered

#### 4.3.1.3 Protocol Data Unit

A protocol data unit of the layer 2 is specified in this subclause.

#### 4.3.1.3.1 Protocol Data Unit

The protocol data unit of the layer 2 consists of a MAC control field and a link protocol data unit (LPDU) as shown in Fig. 4.3.1.3.1. The length of LPDU shall be integral multiples of 1 octet. The link address is not contained in the protocol data unit, since the link address is contained within the FCMC.

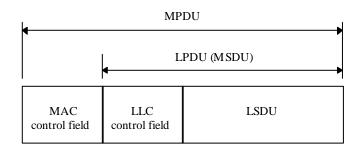


Fig. 4.3.1.3.1 Field format of Protocol Data Unit of the Layer 2

### 4.3.1.3.2 The relationship between Frame Format and Physical channel

The relationship between the protocol data unit of the layer 2 and frame format of the layer 1

is shown in Fig. 4.3.1.3.2. The protocol data unit of the layer 2 is multiplexed at the position of the MDC in the MDS, with the PR, UW2 and CRC added to it, at the time of delivery to the layer 1.

In the case of the downlink transmission from a base station to a mobile station, the base station registers the link address received from the mobile station at the SCI of the FCMC. The protocol data units of the layer 2 contained the data from the service access point indicated by this link address is previously multiplexed by the procedures stated at the MDS that corresponds to the position of the SCI, and is transmitted to the mobile station. The mobile station compares the link address used at the time of association request with the link address contained in the SCI of the FCMC. When the SCI contained the same address is detected; the data is received from the MDS of the corresponding position, and passes the data to the service access point indicated by the link address, which was held by it.

In the case of the uplink transmission from the mobile station to the base station, the base station registers the link address received from the mobile station at the SCI of the FCMC. The mobile station compares the link address used at the time of link request with the address contained in the SCI of the FCMC and identifies the position of the MDS. The protocol data unit of the layer 2 contained the data from the service access point indicated by this link address is multiplexed at the identified MDS by the procedures described. The base station receives the data from the MDS which corresponds to the SCI, at which link address was registered, and passes the data to the service access point indicated by this link address. Where the LPDU from the LLC sublayer exceeds 65 octets, sequence numbers are added to plural MDSs by LPDUs of 65-octet units, and thus data is transmitted fragmented.

The MAC sublayer that received the data performs linking processing of these data and then passes the data to the LLC sublayer. The details of these procedures are specified in subclause 4.3.3.4 and 4.3.3.5.

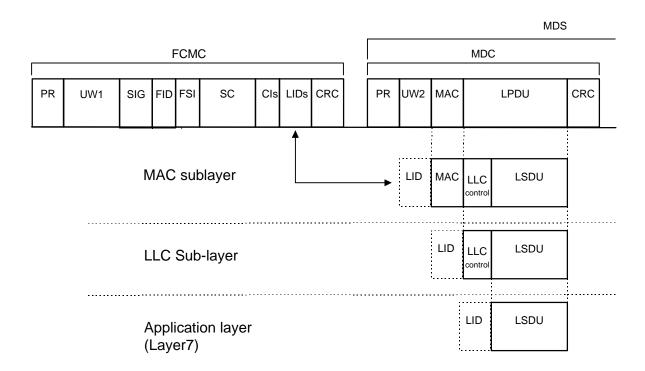


Fig. 4.3.1.3.2 Protocol Data Unit and Frame (the case where the length of LPDU is up to 65 octets)

# 4.3.2 Link Address (LID)

The link address format is defined in subclause 4.2.4.2.1.8.2. Link address is generated in the layer 7 of the mobile station and used for the association with the base station. This LID shall be a link address that is common to the base station and the mobile station, and the same link address shall be used in the Layer 1, Layer 2 (MAC sublayer and the LLC sublayer), layer 7, each layer magement entity and system management entity.

Link address LID is available in three types.

- (1) A private link address for the point to point two-way (selective) communication between a mobile station and a base station.
- (2) A broadcast link address for the transmission of data, etc., in broadcast type from a base station to plural mobile stations.
- (3) A multicast (group) link address for the transmission/reception of data, etc., from a base station to plural groups of mobile stations.

#### 4.3.2.1 Restraint of link address usage

An overview of link addresses is shown in Fig. 4.3.2.1. At the point to point communication, the private link address shall be used. The mobile station should at least be capable of dealing with

private link address and broadcast link address. Depending on the type of the mobile station, the multicast (group) link addresses are available. The mobile station should use the private link address at the time of uplink transmission to the base station. The same LID shall be used while the communication is continuously executing.

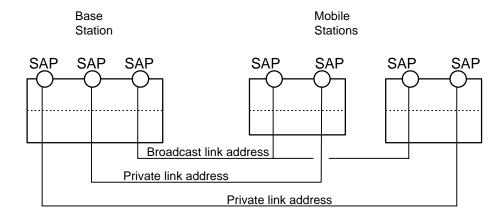


Fig. 4.3.2.1 Overview of Link Addresses

### 4.3.2.2 Service access point (SAP)

- (1) The mobile station shall use a new private link address to associate with the communication link of the base station. This private link address shall be a random address to secure the discrimination among mobile stations.
- (2) The base station shall accept the association (data link connection) request from the mobile station, and when it is able to associate with the base station (communication link), it newly opens a service access point (SAP) by the link address reported by an ACTC.

The broadcast link address for the broadcast link and the multicast (group) link address for group link shall be indicated by the base station.

#### 4.3.3 Medium Access Control (MAC) Sublayer

### 4.3.3.1 Overview of MAC Sublayer

The MAC sublayer is responsible for controlling the use of layer 1 (physical medium channel) by the MAC sublayer entity residing in a base station and a MAC sublayer entity of a mobile station. This subclause specifies the MAC sublayer operations.

The medium access control is unbalanced. The base station always controls access to physical medium channels, granting access to the physical medium to either, including the mobile station, and the principal functions of the MAC sublayer are as follows.

- (1) Generation of communication frame
- (2) Establishment of association (data link connection)
- (3) Transmission/reception of PDU and acknowledgment
- (4) Addition and inspection of CRC
- (5) Simple encryption/decryption (Scramble/descramble)
- (6) MAC level acknowledgment

### 4.3.3.2 Specification for Interface Service of MAC Sublayer

#### 4.3.3.2.1 MAC Data Service

#### 4.3.3.2.1.1 Overview of Interactions

The MAC sublayer provides the following primitives to the LLC sublayer.

MA-UNITDATA.request MA-UNITDATA.indication

MA-UNITDATA.request is passed to the MAC sublayer from the LLC sublayer to request transmission of MSDU. MA-UNITDATA.indication is passed to the LLC sublayer from the MAC sublayer to indicate arrival of MSDU.

### 4.3.3.2.1.2 Service Specification

This subclause describes in detail the primitives and parameters associated to the service specified in subclause 4.3.3.2.1.1. The parameters (excluding "link\_addresses") are described in an abstract way, and the information required on the receiver entity is specified. A specific implementation is not constrained in the method of making this information available.

The "link\_address" parameter provides SAPs of the own stations of the MAC sublayer and the LLC sublayer and also SAPs of the remote mobile station. The "link\_address" parameter has the format defined in subclause 4.2.4.2.1.8.2. The "data" parameter may be provided by actually passing the MSDU, by passing a pointer, or by other means. The "data" parameter permits use of null characters.

Figure 4.3.3.2.1.2 shows the logical relationship of primitives.

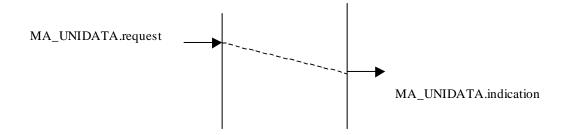


Fig.4.3.3.2.1.2 Time sequence diagram

# 4.3.3.2.1.2.1 MA-UNITDATA.request

### (1) Function

This primitive shall be passed from the LLC sublayer to the MAC sublayer to request that an LPDU be transmitted of the MAC service data unit (MSDU).

### (2) Semantics of Service Primitive

The primitive shall provide prameters as follows:

In the MAC sublayer of a mobile station MA-UNITDATA.request (link\_address, data, cr)

The link\_address parameter of the mobile station shall be a private link address. The data parameter specifies the MSDU transmitted by the MAC entity. A cr parameter specifies the C/R identifier defined in the LLC sublayer and it shall be set to the same value of the bit number 4 (b4) within the MAC control field.

In the MAC sublayer of a base station
MA-UNITDATA.request (link\_address, data, response\_request, cr)

The link\_address parameter of the base station may be the private, multicast (group) link and broadcast link addresses. The data parameter specifies the MSDU transmitted by the MAC entity. The response\_request (RR) indicates that the MAC assigns a MDS in immediate frame. A cr parameter specifies the C/R identifier defined in the LLC sublayer and it shall be set to the same value of the bit number 4 (b4) within the MAC control field.

*Note)* The parameters of response\_request are specified as follows.

(a) The response\_request of the base station set to "0" shall indicate that the base station only transmits an MSDU, which is simultaneously passed from the LLC, to the mobile station as indicated by the link\_address.

If the PDU is Type 1 and the response\_request is set to "0" at the layer 7, the LLC

shall set the same value.

- (b) The response\_request of the base station set to "1" shall indicate that the base station transmits an MSDU, which is simultaneously passed from the LLC, to the mobile station as indicated by the link\_address. Hereafter, the base station assigns the uplink MDS with the same link\_address.
  - If the PDU is Type 1 and the response\_request is set to "1" at the layer 7, the LLC shall set the same value.
- (c) The response\_request of the base station set to "2" shall indicate that the MAC sublayer assigns the uplink MDS with the same link\_address. The MSDU shall be discarded.
  - If the PDU is Type 1 and the response\_request is set to "2" at the layer 7, the LLC shall set the same value.
- (d) The response\_request of the base station set to "3" shall indicate that the base station transmits an MSDU, which is simultaneously passed from the LLC, to the mobile station as indicated by the link\_address. Hereafter, the base station assigns the uplink MDS with the same link\_address
  - In the case of passing the Type 3 Command PDU to the MAC sublayer, the LLC shall set this value.
- (e) The response\_request of the base station set to "4" shall indicate that the base station assigns the downlink MDS with the same link\_address.

  In the case of passing the Type 3 Response PDU to the MAC sublayer, the LLC shall set this value.

#### (3) When Generated

This primitive is passed to the MAC entity from an LLC entity to request to transmit data.

### 4.3.3.2.1.2.2 MA-UNITDATA. indication

### (1) Function

This primitive shall be passed from the MAC sublayer to the LLC sublayer to indicate the successful reception of a valid LPDU.

### (2) Semantics of Service Primitive

The primitive shall provide prameters as follows:

# MA-UNITDATA indication (link\_address, data, cr)

The link\_address parameter of the mobile station shall be a private link address.

The link\_address parameters of the base stationare private, multicast (group) link and broadcast link addresses. The data parameter specifies the MSDU received by the MAC entity. A cr parameter specifies the C/R identifier defined in the LLC sublayer and it shall be set to the same

value of the bit number 4 (b4) within the MAC control field.

#### (3) When Generated

The MA-UNITDATA primitive is passed from a MAC entity to an LLC entity to indicate that a data has arrived at the MAC entity.

### 4.3.3.2.2 MAC Management Service Interface

#### 4.3.3.2.2.1 Overview of Interactions

The MAC sublayer layer management entity (MLME) provides the following primitives to a layer 7 or a system management entity (SME).

#### (1) MIB access service

The primitives associated with the MIB access service are as follows.

MLME-GET.request MLME-GET.confirm

MLME-SET.request

MLME-SET.confirm

The MLME-GET.request primitive is passed to the MLME to request that the user-entity (the SME or the layer 7) is able to get the value of the MIB attributes that are stored in a Management Information Base (MIB) of the MLME. The MLME-GET.confirm is passed from the MLME to convey the results of the previous action associated with the MLME-GET.request primitive. The MLME-SET.request primitive is passed to the MLME to request that the user-entity (the SME or the layer 7) is able to set the value of the MIB attribute. The MLME-SET.confirm is passed from the MLME to convey the results of the previous action associated with the MLME-

SET.request primitives.

# (2) Association service

The primitives related with the association service are as follows.

MLME-SCAN.request

MLME-SACN.confirm

MLME-ASSOCIATE.request

MLME- ASSOCIATE.confirm

MLME- ASSOCIATE indication

MLME-RLT.request

MLME-RLT.confirm

The MLME-SCAN.request primitive is passed from the layer 7 of a mobile station to request the detection of a communication zone (the detection of the physical medium channel). The MLME-SCAN.confirm is passed to the layer 7 of a mobile station to report the detection of communication zone. The MLME-ASSOCIATE.request primitive is passed from the layer 7 of the mobile station to the MLME to demand an association with a base station. The ASSOCIATE.confirm primitive is passed from the layer 7 of a mobile station to the MLME to convey the results of the previous association with the MLME-ASSOCIATE.request primitive. The MLME-ASSOCIATE.indication is passed from the MLME of the base station to the layer 7 of the base station to indicate the acceptance of an association request from the mobile station.

The MLME-RLT.request primitive is passed from the layer 7 of the mobile station to the MLME to request the detection of an invalid state within a release timer information field (RLT information field). The MLME-RLT.confirm primitive is passed from the MLME of the mobile station to the layer 7 to report the invalid state of the validation bit within a release timer information field (RLT).

### 4.3.3.2.2.2 Service Specification

This subclause describes in detail the primitives and parameters associated with services specified in subclause 4.3.3.1. The parameters are abstractly described, and specified in view of the necessity for the receive entity. A specific implementation is not constrained in the method of making this information available. Figure 4.3.3.2.2.2-1 and Figure 4.3.3.2.2.2-2 shows the logical relationship of primitives.

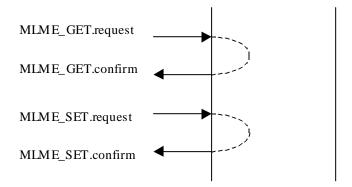


Fig. 4.3.3.2.2.1 Time-sequence diagram of the MIB access Service

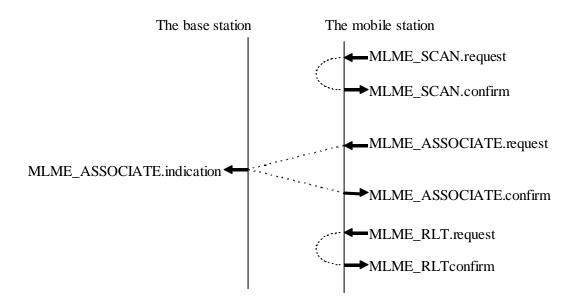


Fig. 4.3.3.2.2-2 Time-sequence diagram of the Association Access Service

### **4.3.3.2.2.2.1 MLME-GET.request**

#### (1) Function

This primitive is to request for the MIB access service.

### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-GET.request (MIB-attribute)

The MIB-attribute parameter specifies the attribute of the MIB.

Note) MIB attributes are defined in annex A.

# (3) When Generated

This primitive is generated by the SME or the layer 7 to request for getting the MIB attribute of the MLME and is passed to the MLME.

#### **4.3.3.2.2.2.2 MLME-GET.confirm**

## (1) Function

This primitive is to report the results of the action associated with the MLME-GET.request.

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### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-GET.confirm (status, MIB-attribute, MIB-attribute-value)

The status parameter indicates the success or the failure of the MIB-attribute reading requests.

The MIB-attribute parameter specifies the attribute provided by the MLME-GET.request.

The MIB-attribute-value specifies the value of the attribute itself.

*Note 1) If a type of invalid attribute is specified, the status will indicate failure.* 

Note 2) If the status indicates failure, the MIB-attribute-value will not be assured valid.

Note 3) MIB attributes are defined in annex A.

### (3) When Generated

This primitive is generated by the MLME to report the results of the previous action provided by the MLME-SET.request primitives and is passed to the SME or the layer 7.

## **4.3.3.2.2.2.3** MLME-SET.request

### (1) Function

This primitive is to request for the MIB access service.

### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-SET.request (MIB-attribute, MIB-attribute-value)

The MIB-attribute parameter specifies the attribute of the MIB.

The MIB-attribute-value specifies the value.

*Note) MIB attributes are defined in annex A.* 

### (3) When Generated

This primitive is generated by the SME or the layer 7 to request for writing the MIB attribute of the MLME and is passed to the MLME.

#### **4.3.3.2.2.2.4 MLME-SET.confirm**

#### (1) Function

This primitive is to report the results of the action provided by the MLME-SET.request.

### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-SET.confirm (status, MIB-attribute)

The status parameter indicates the success or failure of the setting MIB-attribute request provided by MLME-SET.request.

The MIB-attribute parameter specifies the attribute provided by MLME-SET.request.

*Note1) If a type of invalid attribute is specified, the status will indicate failure.* 

Note2) MIB attributes are defined in annex A.

### (3) When Generated

This primitive is generated by the MLME to report the results of the previous action provided by the MLME-SET.request primitives and is passed to the SME or the layer 7.

### **4.3.3.2.2.2.5** MLME-SCAN.request

### (1) Function

This primitive is to request for the association service in the mobile station.

# (2) Semantics of Service Primitive

The primitive parameter has none.

MLME-SCAN.request ()

### (3) When Generated

The layer 7 generates this primitive to request for the detection of the communication zone.

*Note) this primitive is only used on the mobile station side.* 

### 4.3.3.2.2.2.6 MLME-SCAN.confirm

### (1) Function

This primitive is to report the results of the action associated with the MLME-SCAN.request.

#### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-SCAN.confirm (service-code, release-time, ccz-status, tri-status)

The service-code parameter specifies the value of the service application information (SC) field contained within the FCMC. The release-time parameter specifies the value of the release timer information (RLT) field contained within the FCMC. The ccz-status parameter specifies the identifier of the continuous communication zone (CCZ) subfield in the SIG within the FCMC. The tri-status parameter specifies the value of the Transmitter / Receiver Identifier subfield (TRI) in the FSI within the FCMC.

### (3) When Generated

This primitive is generated by the MLME to report the detection of the communication zone provided by the MLME-SCAN.request and is passed to the layer 7.

*Note) this primitive is only used on the mobile station side.* 

# 4.3.3.2.2.7 MLME-ASSOCIAITE.request

### (1) Function

This primitive is to request for the association service in the mobile station.

## (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-ASSOCIATE.request (link-address, initialization-mode, application-id, priority)

The link-address parameter specifies the private link address.

The initialization-mode parameter specifies whether the simplified association (initialization) procedure is available in the mobile station. If it is available, the initialization-mode parameter shall be set to "1" and if it is not, its parameter shall be set to "0". This parameter is the same value of the IMI within the link request information field (LRI).

The application-id parameter specifies the application identification flag within LRI for multiplexing on the ACTC. The priority parameter specifies the assign demand field within the LRI for multiplexing on the ACTC. If the mobile station intends to demand the association request without priority, the priority parameter shall be set to "0" and if the mobile station demand the association request with priority, it shall be set to "1".

### (3) When Generated

The layer 7 to demand the association with the base stationand be passed to the MLME generates this primitive.

*Note) this primitive is only used on the mobile station side.* 

### 4.3.3.2.2.8 MLME-ASSOCIATE.confirm

#### (1) Function

This primitive is to report the results of the action provided the ASSOCIATE.request.

### (2) Semantics of Service Primitive

The primitive parameter is as follows:

# MLME- ASSOCIATE.confirm (status)

The status parameter indicates the success or failure of the action provided by the ASSOCIATE.request.

### (3) When Generated

This primitive is generated by the MLME to report the accomplishment of the association procedure and is passed to the layer 7.

*Note) this primitive is only used in the mobile station.* 

#### 4.3.3.2.2.2.9 MLME-ASSOCAITE.indication

#### (1) Function

This primitive is to indicate the association service in the base station.

### (2) Semantics of Service Primitive

The primitive parameters are as follows:

### MLME-ASSOCIATE.indication

(link-address, initialization-mode, application-id, priority)

The link-address parameter specifies a private link address within the ACTC.

The initialization-mode parameter specifies the IMI within the link request Information field (LRI).

The application-id parameter specifies the application identification flag within LRI within the ACTC. The priority parameter specifies the assign demand field within the LRI within the ACTC.

#### (3) When Generated

This primitive is generated by the MLME to indicate the acceptance of the association demand from the mobile station and is passed to the layer 7.

*Note) this primitive is only used on the base station side.* 

### 4.3.3.2.2.2.10 MLME-RLT.request

#### (1) Function

This primitive is to request for the association service in the mobile station.

# (2) Semantics of Service Primitive

The primitive parameter has none.

### MLME-RLT.request ()

### (3) When Generated

This primitive is generated by the layer 7 to detect the invalid state within a release timer information field (RLT) and is passed to the MLME.

### 4.3.3.2.2.2.11 MLME-RLT.confirm

#### (1) Function

This primitive is to report the results of the action associated with the MLME-RLT.request.

#### (2) Semantics of Service Primitive

The primitive parameters are as follows:

MLME-RLT.confirm (service\_code, release\_time, ccz\_status, tri\_status)

The service\_code parameter specifies the value of the service code (SC) field contained within the FCMC. The release-time parameter specifies the value of the release timer information (RLT) field contained within the FCMC. The ccz-status parameter specifies the identifier of the continuous communication zone (CCZ) subfield within the SIG contained within the FCMC. The tri-status parameter specifies the value of the Transmitter / Receiver Identifier subfield within the FSI contained within the FCMC.

### (3) When Generated

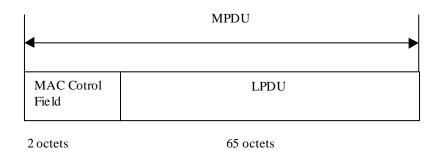
This primitive is generated by the MLME to report the detection of the invalid status of the validation bit of RLT and be passed to the layer 7.

*Note) this primitive is only used on the mobile station side.* 

#### 4.3.3.3 Field Format of Protocol Data Unit (PDU)

### 4.3.3.3.1 Field Format of Protocol Data Unit

The PDU of the MAC sublayer shall consist of a MAC control field and a link protocol control data unit (LPDU) as shown in Fig. 4.3.3.3.1. As the link address is contained in FCMC, it is not attached in the PDU.



**Fig. 4.3.3.3.1 MPDU format** 

### 4.3.3.3.2 PDU Elements of the MAC Sublayer

#### 4.3.3.2.1 Format of the MAC control field

The details of the MAC control field are defined in subclause 4.2.4.2.2.1.3. Fig. 4.3.3.3.2.1 shows its format for reference. The MAC control field comprises a set of subfields as follows. The length of field is 2 octets.

- (1) Fragmentation information field (FRG): The FRG set to "1" indicates fragmented PDU, the FRG set "0" indicates non-fragmented PDU.
- (2) Continuation information (Continuous/Last (C/L)): The C/L set to "0" indicates that the corresponding MDC is the last MDC and The C/L set to "1" indicates that the data (LPDU) transmission is performed using one MDC or last MDC.
- (3) Command/Response (C/R): This field value is set according to the LLC control field of an LPDU.
- (4) Sequence number (SEQ): The sequence number of fragmented MPDUs. The sequence number is generated by modulus 16.
- (5) Length indicator of LPDU (LI): The valid data length of LPDU. Its length is 2 octets.

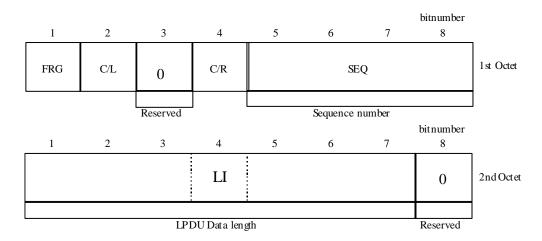


Fig. 4.3.3.3.2.1 Field Format of MAC control field

### 4.3.3.3.2.2 Field Format of LPDU

The LPDU shall consist of 65 octets. The LPDU is defined by encoding described in clause 4.3.4.2.

#### 4.3.3.3.2.3 Bit Order

Each sub-field shall be transmitted least significant bit (LSB) first, i.e. low order bit first (the first bit of transmitted data has a weight of  $2^0$ .) Furthermore, a LPDU is transmitted in the bit sequence received from the LLC sublayer and is delivered to the LLC sublayer in the received bit sequence.

#### **4.3.3.3.2.4** Transparency

A LPDU having a length of over 65 octets is fragmented multiple of 65-octet units in the MAC sublayer, and is transmitted using multiple frames (MDSs). Where the data length is less than 65 octets (including the case where it occurred as a result of fragmentation), zeros ("0") shall be inserted in the MAC sublayer up to 65 octets, and the data be unit of 65 octets.

The information of fragmentation shall be multiplexed on the MAC control field, and be added to each fragmented data. The fragmented data is defragmented according to the information within the MAC control field.

When the data length is less than 65 octets, the data is taken out in the length that is indicated in the second octet of the MAC control field, and inserted zeros ("0") shall be removed.

### **4.3.3.3.2.5 Invalidity of MPDU**

The MAC sub-layer shall inspect all received MPDUs to access their validity.

An invalid MPDU shall be defined as one that meets at least one of the following conditions:

- (a) Length of MPDU is not 67 octets.
- (b) The FRG of the MAC control field is "0" and the C/L is "1".
- (c) The LI of the MAC control field is "0" (null of the LLC control field) or is a value more than 66 octets.
- (d) The link address of the MPDU received by the base station is a broadcast link address. If the frame received is invalid MPDU, it shall be discarded.

#### 4.3.3.4 MAC Elements of Procedures

#### 4.3.3.4.1 FCMC variables

This variable manages the frame structure information comprised a set of SIG, FID, FSI, RLT and SCI. The information according to FCMC variable is transmitted using an FCMC. Detail of FCMC variable refers to subclause 4.2.

### 4.3.3.4.2 Assignment request variables (ASGN)

A variable ASGN for slot assignment request has a form of a structure, and its members consist of an address variable ASGN.LID, a transmission direction variable ASGN.DIR, a response state variable ASGN.RS and a priority variable ASGN.PR.

The ASGN.LID stores the link address assigned to the LID of the SCI. The ASGN.DIR field has "1" and "0" state; and "1" shall be set in the case of uplink transmission, and "0" shall be set in the case of the downlink transmission.

The ASGN.RS field has "1" or "0" state; and "1" shall be set in the transmission with response, and "0" shall be set in the transmission without response.

The ASGN.PR field has "1" or "0" state; and "1" shall be set where the assignment with priority is requested, and "0" shall be set where the normal assignment is requested.

These variables are used on the base station side and shall be genrated each time slot assignment is generated.

## **4.3.3.4.3** Transmission sequence state variable (TSQ)

The MAC sublayer shall be able to maintain one transmit sequence state variable TSQ for each unique SAP which is identified as the destination address with the transmission of an MPDU. This variable shall take on the values of 0 thorough 15 and shall be incremented by modulo 16 at the time of receiving the MSDU from the service access point (SAP). The variable TSQ that has

incremented shall set in the SEQ field within the MAC control field that is added to the corresponding MSDU. When a new private link address is established, the TSQ shall be generated and set at initial value "15" at the same time.

# 4.3.3.4.4 Receive sequence state variable (RSQ)

The MAC sublayer shall be able to maintain one receive sequence state variable RSQ for each unique SAP which is identified as the destination address with the transmission of an MPDU. This variable specifies the value of the SEQ field within the MAC control field that is added to receiving MPDU associated with a link address. The variable insures the MAC sublayer to detect the reception of duplicated MPDU. When a new private link address is established, the RSQ shall be generated and set at initial value "15" at the same time.

# 4.3.3.4.5 Retry counters of the base station (NFR1, NFR2, NFR1max, and NFR2max)

These counters are used to set the number of times of retransmission of MDC in the MAC sublayer on the base station side.

A NFR1 is used at the time of the downlink data transmission, and shall be initialized at the time of the first transmission. The NFR1 shall be incremented when the MPDU was transmitted from the base station to the mobile station and an Ack was not received with an ACKC of the corresponding slot.

A NFR2 is used at the time of the uplink data transmission, and shall be initialized at the time of the first transmission. The NFR2 shall be incremented each time when the base station did not correctly receive the MPDU from the mobile station and a Nack was returned. This is the same function as that of the retry counter of the mobile station. It is used for the base station to watch the state of retrying from the mobile station.

The NFR1 and the NFR2 exists by the number of slots assigned as the MDS.

The NFR1max and the NFR2max represent maximum counter values.

#### 4.3.3.4.6 Retry counter of the mobile station (NMR, NMRmax)

A NMR is used to set the number of times of retransmission of the MDC at the MAC sublayer level on the mobile station side.

The NMR is used at the time of the uplink data transmission, and shall be initialized at the time of the first transmission. The NMR shall be incremented when the MPDU was transmitted from the mobile station to the base station and the Ack was not received with the ACKC of the corresponding slot.

A NMRmax represents the maximum number of times of the retransmission.

### 4.3.3.4.7 Link request counter (NRQ, NRQmax)

This counter (NRQ) is used on the mobile station side. This counter counts the number of times of transmission of the ACTC. The NRQ field shall be set to "1" at the time of first transmission. The NRQ field shall be incremented each time ACTC is transmitted, until a link address is set at the SCI in the FCMC. The NRQ shall be created when ACTC transmission conditions are satisfied, and shall be deleted at the time of the accomplishment of the association.

NRQmax represents the maximum number of times of transmission.

### 4.3.3.4.8 Re-link Entry request restriction Counter (NRT)

This counter is used that sets the standby time until a re-association (re-link entry) request is made, where the MDS is not assigned for own private link address, on the mobile station side. The NRT shall be set at the initial value after transmission of the ACTS, and shall be decrement "1" at the time when FCMC is detected. The initial value shall be set according to the STA field in the SCI.

The NRT shall be created when ACTC transmission conditions are satisfied, and shall be deleted at the time of the accomplishment of the association.

### 4.3.3.4.9 Base station connection variables (NUMLINK, MAXLINK)

The NUMLINK shall be the current number what the base station has accomplished the association with mobile stations.

The MAXLINK shall be the maximum number of the NUMLINK that indicates available number of adresses holding for communication. Comparing the NUMLINK and the MAXLINK, it is able to judge the traffic congection or the posibility of reception of ACTCs. The number of the NUMLINK shall be incremented by "1" each time the layer 7 has accepted an association request and be decremented by "1" each time the layer 7 has released a communication link. Using services provided by the MLME makes revision of the number of the NUMLINK.

#### 4.3.3.4.10 Base station assignment variable (ASL, ASLmax)

The ASL is the number of ACTSs per frame, which the base station could assign. The number of the ASL is 1 through ASLmax. This variable is used on the base station side.

The ASLmax shall be the maximum available number of assignment slots per frame.

### 4.3.3.4.11 WCNC transmission counter (WTC, WTCmax)

The WTC is the counter that counts the number of transmission the WCNC. The WTC shall be set to initial value "0" when the mobile station enter the newly communication zone. The WTC shall be incremented by "1" when of the transmission of the WCNC has been accomplishd.

The initial value is "0".

The WTCmax is the maximum number of transmissions.

### **4.3.3.4.12** Slot assignment state variable (SLT\_STATUS)

The SLT\_STATUS indicates the state of the slot assignment on the base station side. When there are non-processing ASGN variables, the SLT\_STATUS indicates the admission of the transmission immeadiately (in\_time). When there is ASGN variable waiting for processing, the SLT\_STATUS indicates the restriction of the transmission immeadiately (out\_time).

Using this variable, the layer 7 performs the data transmission procedures by layer management services provided by the MLME.

# 4.3.3.4.13 Transmission state variables (TR\_STATUS, NUMQ, FQBUSY, MQBUSY)

The TR\_STATUS is a variable, which indicates the state of the waiting for transmission. The NUMQ is a variable, which indicates the number of the MPDUs that are waiting for transmission.

The FQBUSY is threshold value for the judgement of the base station transmission state.

The MQBUSY is threshold value for the judgement of the mobile station transmission state.

On the base station side, when the NUMQ, which indicates the number of the MPDUs that are waiting for transmission, is larger than the FQBUSY, the TR\_STATUS shall indicate the busy state, and if it is smaller than the FQBUSY, it shall indicate the idle state.

On the mobile station side, when the NUMQ, which indicates the number of the MPDUs that are waiting for transmission, is larger than the MQBUSY, the TR\_STATUS shall indicate the busy state, and if it is smaller than the MQBUSY, it shall indicate the idle state.

Using these variables, the layer 7 performs the data transmission procedures by layer management services provided by the MLME.

### 4.3.3.4.14 Management Information Base (MIB)

A MIB is the database constructed for management of layer consisting of variables which determine frame structure such as the FCMC information, control variables, etc. The layer management entity of the MAC sublayer only allows access this MIB directly. Furthermore, another layer management entity allows access to the MIB indirectly using services between layer management entities.

The management information base (MIB) of this item is defined in Annex A.

### 4.3.3.5 Procedures for the MAC Sublayer

The procedures for the MAC sublayer are described as divided into the base stationand the mobile station. The state machine that corresponds to these procedures is appended to Annex F for reference.

### 4.3.3.5.1 Frame Management

### 4.3.3.5.1.1 Frame Management of the base station

On the base station side, using MIB access sevices, the frame structure that corresponds to the operation configuration shall be registered in the MIB (management information base) constructed for the layer management entity previously.

#### **4.3.3.5.1.1.1** Generation of Frames

### (1) Generation of the FCMS

The parameters of the control field consisting of the SIG, FID, FSI, RLT,SC and SCI are defined as variables in the MIB. The FCMC shall be generated according to the time base of the frame, with reference made to this information.

#### (2) Generation of the MDS

A MDS is the slot which the SI (b1, b2) of the SCI defined in the MIB is "00". Reading the information of the SI in time series according to the transmission sequence of SCIs in slot units, in the case of the half-duplex mode it shall indicate the slot position of generation of the MDS. In the case of the full-duplex mode (the base station), the slot positions of MDSs of downlink and uplink are indicated by sequentially reading odd-numbered SCIs and even-numbered SCIs. Furthermore, referencing the DR control field simultaneously controls the transmission and the reception.

### (3) Generation of the ACTS (link request slot)

An activation slot (ACTS) is a slot referenced in which the SI (b1, b2) of the SCI defined in the MIB is "11". The position of generation of the link request slot shall be indicated by reference information of the SI in time series according to the transmission sequence of SCIs in slot units, in the case of the half-duplex mode. In the case of the full duplex mode, the positions of the ACTS are indicated by sequentially reading SCIs of even numbers. The slot indicated as the link request slot is dedicated to uplink.

# (4) Generation of the WCNS

A WCNS is a slot referenced in which the SI (b1, b2) of the SCI defined in the MIB is "10". The position of generation of the WCNS (Call sign) shall be indicated by reference information of the SI in time series according to the transmission sequence of SCIs in slot units, in the case of the half-duplex mode. In the case of the full duplex mode, the position of WCNS is indicated by sequentially reading SCIs of even numbers. The slot indicated as WCNS is dedicated to uplink.

## 4.3.3.5.1.1.2 Transmission/Reception Procedures

### (1) Transmission of the FCMC

The PR and UW1 are added to the first of the FCMC, which is generated according to the time base of the frame. Furthermore, the 16-bits CRC calculated from the data field except the PR and UW1 is added to the end of the FCMC, before being delivered to the layer 1.

# (2) Reception of the ACTC

A comparison shall be made between a unique remainder value and a result, which is calculated, from the serial incoming bits of the data, except the PR and UW2, passed from the layer 1 to the position of the ACTC of the slot indicated by the information of the MIB.

Where it shows equality, portions of the FID, LID and LRI shall be taken out and be passed to the procedure of association, which is described in detail in subclause 4.3.3.5.1.1.3.

Where it shows inequality, the corresponding ACTC shall be discarded, and portions of the FID, LID and LRI shall not transfer to the association procedures.

Note) the CRC procedure is specified in compliance with ITU-R recommendations. However, another procedure may be adopted if it could check errors correctly.

# (3) Reception of WCNC

The reception of the WCNC is not specified.

### 4.3.3.5.1.1.3 Association

### 4.3.3.5.1.1.3.1 Reception of Association Request

The base station shall receive ACTCs and process these, when the ACPI bits of the SCI in all ACTSs are set to "1".

Where the LID of the received ACTC is not a private link address, the corresponding ACTC is ignored. The corresponding ACTC is ignored also where the priority assignment bit of the ACTC is "0" (association request without priority) and valid flag is not set in the LRI (application identification flags).

Valid ACTCs are processed in the reception sequence procedures. Where the current connection variable NUMLINK set in the MIB does not exceed the maximum connection variable MAXLINK when an ACTC has been received, the base station shall generate MLME-ASSOCIATE.indication primitive. Hereafter, it shall report the layer 7 reception of ACTC and it is regarded as reception of an association request (acceptance of an ACTC or link request) from a newly arrived mobile station.

However, when the priority assignment bit of the ACTC is set to "1" (association request with priority), an association request shall be received unless all of MDSs are assigned priority assignment (slot in which the ST of the SCI is not "000" exists) and the MLME-ASSOCAITE.indication shall be generated.

*Note) The layer 7 judges whether or not the association request passed from the layer 2 accepts.* 

#### 4.3.3.5.1.1.3.2 Assignment for Normal MDSs

Where data transmission request occurs, the assignment request variable ASGN for requesting slot assignment shall be generated.

### (1) Generation of Assignment Request

If an LPDU is received from the LLC sublayer of the base station, its link address shall be set in ASGN.LID field and the ASGN. PR field shall be set to "0" (normal type of assignment). Other parameters are specified as follows.

- (a) Where the response-request parameter within the MA-UNITDATA.request passed from the LLC sublayer is "0" or "4", the ASGN.RS field shall be set to "0" (without response) and the ASGN.RS field shall be set to "0" (downlink).
- (b) Where the response-request parameter within the MA-UNITDATA.request passed from the LLC sublayer is "1" or "3", the ASGN.RS field shall be set to "1" (with response) and the ASGN.RS field shall be set to "0" (downlink).
- (c) Where the response-request parameter within the MA-UNITDATA.request passed from the

LLC sublayer is "2", the ASGN.RS field shall be set to "0" (without response) and the ASGN.RS field shall be set to "1" (uplink).

*Note) Refer to subclause 4.3.3.2.1.2.1 for parameters of MA-UNITDATA.request.* 

## (2) Assignment Procedures

Assignment procedures of the MDS shall be performed each time the generation of the ASGN is detected. Slots able to be assigned are MDSs that the SCI indicate as empty channels or set as a WCNC. When an unassigned SCI (ST (b5, b6, b7) is "011") exists, the assignment procedures is performed in the following manner.

The ASGN.LID shall be set to the LID of the SCI defined in the MIB. Furtheremore, the ASGN.DIR shall be set to the DR bit of the SCI, and ST bit of the SCI shall be set to "111" (normal data channel).

Assignment procedure of the ASGN, the ASGN.PR of which was set at "0", is kept holding where all the SCIs have been assigned or during FCMS transmission period.

After the accomplishment of the assignment of MDSs, the ASGN shall be deleted if the ASGN.RS is "0". Furthermore, it shall be not deleted when the ASGN.RS is "1", but the ASGN.DIR shall be replaced by its complement value, and it is regarded as a new assignment request variable.

In the half duplex-mode, an MDS for the uplink transmission shall be assigned in the same slot.

In the full duplex-mode, it shall set the ASGN.RS to "0" and it is regarded as a newly assigned request variable and it shall find an available slot for assignment. In this case, the slot searching procedure should assign in respect with maintaining slot distance of 1 slot in length from corresponding downlink slot.

### 4.3.3.5.1.1.3.3 Priority MDS Assignment Procedures

### (1) Generation of Assignment Request

Where the priority assignment bit of the ACTC is "1" (association request with priority), the ASGN shall be generated in the following manner.

The link address transmitted by the ACTC shall be set to the ASGN.LID, and the ASGN.DIR shall be set to "1" (uplink transmission), and the ASGN.RS shall be set to "0" (without response), and the ASGN.PR shall be set to "1" (priority assignment).

### (2) Assignment procedure

If the ASGN.PR of which was set at "1", the ASGN shall be set the ASGN.LID at the LID of

the SCI, set the ASGN.DIR at the DR bit of the SCI and set "000" (data channel with priority assignment) at the ST bit for assignment. The procedure of assignment shall be performed in the following manner.

- (a) If there is any ASGN, assignment of, which was kept suspended, the assignment shall be made with precedence over such an ASGN.
- (b) Where no allocable slot was found, the SCI of a slot which is not possessed by priority assignment (the ST bit of the SCI is not "000") shall be saved and made the assignment. The saved SCI shall be returned with priority when an empty slot becomes available.

Assignment procedure with priority should be accomplished in the frame in which ASGN has been generated.

ASGN shall be deleted after accomplishment of assignment of MDS.

## 4.3.3.5.1.1.3.4 Termination of Assignment of MDS

The assignment shall terminate under the following conditions.

- (1) The link address is a multicast (group) or broadcast address.

  The C/L bit of the MAC control field of MPDU for transmission is "0".
- (2) I the case of that the link address is a private address.
- (a) The C/L bit of the MAC control field of MPDU for reception is "0" and the Ack was received in the received ACKC, or the NFR1 exceeded the NFR1max.
- (b) The C/L bit of the MAC control field of an MPDU for transmission is "0" and the Ack is transmitted in the ACKC, or NFR2 exceeded NFR2max.

The subfield of the ST bit field (b5, b6, b7) shall be set to "011" (empty data channel) after the accomplishment of the assignment. At this time, the LID of the subject SCI shall not change.

### **4.3.3.5.1.1.4** Traffic flow control

(1) Flow control parameter setting

Traffic control information, state of acceptance of ACTCs (STA) and activation possibility identifier (ACPI), that are multiplexed on an FCMC, are specified using the connection variables (NUMLINK, MAXLINK).

The STA shall have 4 level threshold values in the range of "0" through MAXLINK. The STA shall decide by comparing with these threshold values and the current NUMLINK. However, these threshold values are not defined in these standards, since it is a matter of implementation.

If the current NUMLINK is less than MAXLINK, it shall set the ACPI to "1". If the current NUMLINK is the same of MAXLINK, the processing ability has reached its limit. Hereafter,

the ACPI shall be set to "0" (inhibition of transmission of an ACTC) for avoidance of traffic congestion.

*Note 1) refer to subclause 4.3.3.4.8 for connection variables.* 

Note 2) Threshold values for determining the STA are shown in annex A for reference.

Note 3) if plural ACTSs are assigned in the same frame, the STA value or the ACPI value of each slot shall be set to the same value.

### (1) The assignment number of ACTSs

The assignment number of ACTSs (ASLN) per one frame is from "0" to ASLNmax at will, according to the state of connection variable. The ASLN should be determined as the following manner.

Threshold values have some levels in the range of "0" through MAXLINK and the assignment number of ACTSs is determined by comparing with these threshold values and the current NUMLINK.

However, these threshold values are not defined in this standarad, since it is a matter of implementaion.

Note) the assignment of ACTSs is performed according to the procedure specified in subclause 4.3.3.5.1.1.6.

### 4.3.3.5.1.1.5 Management of the trasmission state

The MAC sublayer shall manage the state of transmission for supporting the efficienly transmission procedures in the layer 7. For that, the MAC sublayer of the base station shall manage the slot assignment state variable (SLT\_STATUS) and the transmission request state variable (TR\_STATUS).

Note) refer to subclause 4.3.3.4.11 for the SLT\_STATUS and refer to subclause 4.3.3.4.12 for the TR\_STATUS.

#### 4.3.3.5.1.1.6 ACTS and WCNS assignment procedures

The assignment of ACTCs and WCNSs should be performed during a certain period (from the head of FCMS to the end of the SC of the FCMC), since the assignment procedure for MDSs is prohibited during this period.

### (1) Assignment of ACTSs

The ACTSs, with numbers previously determined according to the traffic flow control procedures defined in subclause 4.3.3.5.1.1.4, are assigned for slots that the SCI indicates as empty channel MDSs (the SI (b1, b2) is "00" and the ST (b5, b6, b7) is "011").

The slot searching for the empty channel MDSs should be made over SCI fields in due order from the end of SCIs (from a field corresponded to the end of the frame). If an empty channel MDS is available, the SI of SCI of corresponding slot shall set to "11", hereafter, the ACTS shall be assigned.

If there is assignment for ACTSs in remainder slots, the assignment of subject slots shall be reset. This reset shall set the SCI corresponding slot to an empty channel MDS. In this case, the LID of the subject SCI shall set to a broadcast link address and it shall set the DR to "1" (uplink). (With this, it is able to avoid the assignment for a WCNS.)

*Note) the number of assigned ACTSs may be less than the ASLN.* 

### (2) Assignment of WCNSs

The WCNS assignment shall be available for slots that the SCI indicates an empty channel MDS and the LID of it is a private link address. Since the identification of a mobile station for the WCNC is made by using the LID within the empty channel MDS. If an empty channel MDS is available, the SI (b1, b2) of corresponding slot's SCI shall be set to "10", hereafter, the WCNS shall be assigned.

### 4.3.3.5.1.1.7 Assignment of Idle channel MDS

The assignment of an idle channel MDS shall be performed for a slot that indicates an empty channel MDS or a WCNS. Its procedure is not defined in this standard.

### 4.3.3.5.1.2 Frame Management of the mobile station

The mobile station registers the frame structure information transmitted from the base station at the MIB constructed of the MLME, to maintain the compatibility with communication parameters of the base station.

### 4.3.3.5.1.2.1 Regeneration of Frames

(1) Judgement of the communication zone and regeneration of the FCMC information On the receiving the MLME\_SCAN.request primitive passed from the layer 7, the MLME of the mobile station shall performs the judgement procedures for a type of communication zone and a frequency type (FTI) of physical medium channel. This judgement procedures is specified in subclause 4.2.8.

After accomplishment of the judgement procedures for communication zone, where the FCMC

is acknowledged as a valid frame by the inspection of CRC field, the FCMC information (SIG, FID, FSI, RLT and SC) shall be registered at the MIB. After the registration of these variables, the MLME shall generate MLME-SCAN.confirm primitives of which the parameters are set from the MIB and pass this primitive to the layer 7.

After registration at the MIB, the MLME shall generate the MLME\_SCAN.confirm primitive, which is consited of a set of prameters defined according to that registration information, and pass it to the layer 7.

The information that has been registered once at the MIB shall not be revised until MLME-SCAN.request primitive is passed from the layer 7. (When the layer 7 moves to the state of association (initialization) waiting procedures), it shall pass the MLME-SCAN.request primitive to release the inhibiting state for revision of the MIB information. The subfield of SCI in FCMC shall not adopted these procedure defined above.

Where the FCMC is acknowledged as a valid frame by the inspection of the CRC field and the FID of corresponding FCMC is the same FID that is previously registered at the MIB, the subfields of SCI shall be registered at the MIB one by one.

### (2) Generation of the MDS

A valid MDS of the mobile station shall be a slot referenced as follows. The SI bit of the SCI is "00", and the ST bit (b5, b6, b7) is not "011" (empty data channel), and the address indicated by LID is a private link address which has passed from the layer 7 to the MLME, link address broadcast or multicast (group) link address.

Reading out of the MIB the LIDs of the SCI, in which the SI (b1, b2) is "00" and the ST bit (b5, b6, b7) is not "011", in slot units corresponding with the SCI transmission sequence, the MDS positions shall be indicated by comparing them with that addresses in the case of half-duplex mode.

In the case of full-duplex mode, the positions of MDSs of downlink and uplink shall be indicated by sequentially reading odd-numbered SCIs and even-numbered SCIs and by comparing them with those addresses. Furthermore, reading the DR bit simultaneously shall control transmission and reception.

# (3) Generation of the ACTS

An ACTS shall be a slot referenced in which the SI bit of the SCI registered in the MIB is "11". The positions of assignment of link request slots shall be indicated by reading the information of the SI bit (b1, b2) in time series corresponding with the SCI transmission sequence in slot units, in the case of half-duplex mode. In the case of full-duplex mode, the positions shall be indicated by sequentially reading even-numbered SCIs. The slots indicated as link request slots should be

dedicated to transmission.

## (4) Generation of the WCNS (Call sign)

A WCNS is a slot referenced in which the SI (b1, b2) of the SCI registered at the MIB is "10". The position of generation of the WCNS shall be indicated by referencing the information of the SI in time series corresponding with the transmission sequence of SCIs in slot units, in the case of the half-duplex mode. In the case of the full duplex mode, the position of WCNS is indicated by sequentially reading SCIs of even numbers.

### 4.3.3.5.1.2.2 Transmission/Reception Procedures

### (1) Reception of FCM

The mobile station shall interpret slot positions from FCMS beginning at UW1 of FCMC, and reproduces the time base of the frame. A comparison shall be made between a unique remainder value and a result which is calculated from the serial incoming bits of the data, except the PR and UW1, passed from the layer 1 to the position of the FCMS indicated by the time base of the frame.

The FCMC shall transfer to the layer management if the comparison shows equality. If the comparison shows inequality, the corresponding frame is regarded as an invalid frame and shall be not processed.

#### (2) Transmission of ACTC

PR and UW2 shall add FID, LID and LRI generated according to an association (initialization) request specified in subclause 4.3.3.5.1.2.3 at the head. Furthermore, the 16 bits CRC calculated from data codes except PR and UW2 shall be added to the end and delivery is made to the layer 1.

## (3) Transmission of WCNC (Call sign)

Where a WCNS indicated by its own link address is assigned, it shall inspect whether the current WTC value is less than the WTCmax registered at the MIB or not. If it is less than the WTCmax, the WCNC shall be generated in the format (refer to annex C) in comliance with the registered information at the MIB in the position of the WCNC window, which is specified in subclause 4.2.4.2.4. This WCNC is delivered to the layer 1 as it is.

If the current WTC exceeds the WTCmax, it shall be not made the WCNC transmission.

The value of WTC shall be incremented by "1" each time of a WCNC transmission.

#### 4.3.3.5.1.2.3 Normal Association

The normal association (initialization) procedure is performed when the MLME has received the MLME-ASSOCIATE.request primitive and the priority parameter indicated the normal

association procedure.

### (1) The generation of the MLME-ASSOCIATE.request

On the generating the MLME-ASSOCIATE.request primitive, the layer 7 of a mobile station shall meet the following conditions,

- (a) The layer 7 has received the MLME-SCAN.confirm primitive from the MLME.
- (b) One of applications registered in the layer 7 is available for an application notified from the base station using the SC field.

#### (2) FID field

The FID specifies the value of the MIB, which has been registered after the justification procedures of the communication zone.

#### (3) LID field

The MLME shall use a private link address within the MLME-ASSOCIATE.request primitive psssed from the layer 7 for the association request.

If it is a private link address, the MLME shall register it the LID field for the ACTC of the MIB. If it is a braodcast address or multicast address, the MLME shall not register it and ignore the MLME-ASSOCIATE.request primitive.

### (4) Link request information (LRI) field

The LRI parameter shall use the parameter contained within the MLME-ASSOCIATE.request primitive passed from the layer 7. This parameter shall be registered in the LRI field within the ACTC of the MIB and the ACTC shall be transmitted using this LRI parameter.

The initialization\_mode parameter within the MLME\_ASSOCIATE.request primitives shall correspond to the IMI (the initialization mode identifier). The application-id parameter shall correspond to the API (the application identifier).

The protocol version identifier (PV I) specifies the value previously registered in the MIB.

#### (5) Transmission of ACTC

The transfer of an ACTC is allowed using one of ACTSs that are referenced where the SI bit (b1, b2) of the SCI registered in the MIB is "11". However, if one of ACTSs in the same fame set the ACPI "0", the transmission of the ACTC shall be suspended.

One ACTC could be transmitted using an arbitrary position (window) out of ACTC positions (windows) illustrated in Fig. 4.2.4. This position shall be selected at random for each transmission.

On receiving the MLME-ASSOCIATE.request primitive, link request counter NRQ and relink entry request constraint counter NRT shall be generated, and the NRQ shall be set at

initial value "1" at this time. The NRQ shall be incremented by "1" and the NRT shall be set at the initial value after accomplishment of transfer of the ACTC. (The NRT shall be decremented by "1" at the time when the FCMS is indicated.)

Where a transmitted link address was detected out of SCI in the next frame or subsequent of the ACTC transfer frame, the transmission of the ACTC shall terminate. At the same time, the NRQ and the NRT shall be deleted and the MLME\_ASSOCIATE.confirm primitive with status parameter indicating "success" shall be generated and be passed to the layer 7.

Where a transmitted link address was not detected out of SCI in the next frame or subsequent of the ACTC transfer frame, an ACTC shall be transferred again at the time when the value of NRQ becomes "0".

Transmission of ACTC shall be stopped when NRQ exceeds NRQmax as a result of retransmission and the MLME\_ASSOCIATE.confirm primitive with status parameter "failure" shall be created and be passed to the layer 7.

The initial value of the NRT shall be determined according to the traffic flow control information set at the STA of the FCMC.

*Note) the conditions for the determination of the NRT value are shown in annex A for reference.* 

### 4.3.3.5.1.2.4 Association request with Priority

Only when the priority parameter of the MLME-ASSOCIATE.request primitive passed from the layer 7 is "1", which means demanding the MDS assignment with priority, the priority assignment bit of the ACTC may be set to "1". In this case, if the communication is in progress, the communication should be quickly interrupted and the ACTC should be transmitted. The transmitting operation is performed for each frame until the MDS is assigned. (The initial value of the NRT is "1".) Furthermore, repeating transmission operation shall not be constrained by the NRQmax.

Note) The condition for the priority assignment, furthere definition is required elsewhere. However, it is outside of the scope of this standard.

#### 4.3.3.5.1.2.5 Management of transmission state

Giving support to the efficient transmission procedure in the Layer 7, the MAC sublayer should manage the transmission state. On the mobile station side the MAC sublayer should manage transmission state variables.

#### 4.3.3.5.2 Procedures for Transfer PDUs

### 4.3.3.5.2.1 Transfer Procedures at the base station

# 4.3.3.5.2.1.1 MAC Data Service Procedures

On receiving the MA-UNITDATA.request primitive of the base station from the LLC sublayer, the MAC control field shall be generated and the state variable TSQ shall be incremented by "1". The SEQ field shall be set to this TSQ. The variable ASGN shall be created according to the received MA-UNITDATA.request primitive and be passed to the MLME. This MAC control field and an LPDU shall be once held and the transfer procedure defined in detail in subclause 4.3.3.5.2.1.2 shall be performed. However, the response parameter is "2", the LPDU shall be discarded.

The data received from the mobile station shall be processed according to transfer procedure defined in detail in subclause 4.3.3.5.2.1.2. After accomplishment of this transfer procedure, the MLME shall generate the MA-UNITDATA indication primitive, and pass the link address and the LPDU to the LLC sublayer. At this time, the comparison will be made between the value of the SEQ field within the MAC control field and the value of the variables RSQ. If it shows equality, the corresponding LPDU shall not pass to the LLC sublayer and be discarded, since the LPDU is duplicated. After the comparison of the RSQ and the TSQ, the value of the SEQ within the MAC control field shall be held in the RSQ field for a duplication inspection next time.

Note1) The inspection of the continuance, defined in subclause 4.3.3.5.2.1.2, for the sequence number SEQ within the receiving PDU passed after the accomplishment of the transfer procedures shall not be performed.

Note2) The realtionship between the TSQ, the RSQ, and MAC control subfields is shown table 4.3.3.5.2.1.1 for reference.

Table. 4.3.3.5.2.1.1 The realtionship between the TSQ, the RSQ, and MAC control subfields

Frame	1	2	3	4	5	6	7	8	9	10	11	12
Numbers												
TSQ	0	1	2			3	4	5		6	7	8
(transmission												
side)												
FRG	0	0	1	1	1	0	0	1	1	0	0	0
C/L	0	0	1	1	0	0	0	1	0	0	0	0
SEQ	0	1	2	3	4	3	4	5	6	6	7	8
SEQ	0	1			2	3	4		5	6	7	8
(reception side)												
comparison												
with the RSQ												

Fragmentation within frames.

Fragmentation within frames.

#### 4.3.3.5.2.1.2 MAC Transfer Control Procedures

### 4.3.3.5.2.1.2.1 Transmission Control

### (1) Fragmentation LPDU

The fragmentation procedure is adopted when the length of an LPDU received from the LLC sublayer exceeds 65 octets.

The fragmentation procedure at first shall generate an MPDU with a MAC control field added to the data of 65 octets from the head. At this time, "1" shall be set at both the FRG and the C/L of the MAC control field, and "1000001" (65) shall be set at the LI, and the NFR1 shall be initialized. The initial value of the SEQ shall be set at the same value defined in the MAC data service procedure (subclause 4.3.3.5.2.1.1).

When the MPDU was sent to the mobile station and reception has been acknowledged, the length of the remaining the LPDU shall be inspected. If it exceeds 65 octets, the data of 65 octets shall be further taken out from the head, and a next MPDU shall be generated with a MAC control field added to it. Both the FRG and the C/L of the MAC control field shall be set to "1", and the LI shall be set to "1000001" (65), and the SEQ shall be incremented by "1".

At this time, the TSQ shall not be incremented. Furthermore, the NFR1 shall be initialized in the same manner.

Identical procedure shall repeated until the length of the remaining the LPDU becomes 65 octets or less, and then accomplishment of fragmentation procedure shall be indicated with "0" set at the C/L. Furthermore, the number of octets of the length of the remaining the LPDU shall be set at the LI, and "0" shall be inserted to the LPDU until the number of octets becomes 65 octets.

When all of the MPDUs generated as fragmented were transferred to the mobile station and their reception was acknowledged, the transmission control for the subject LPDU shall terminates.

### (2) Non fragmentation LPDU

Where the LPDU received from the LLC sublayer is of up to 65 octets, the MPDU is generated with a MAC control field added as it is. At this time, both of the FRG and the C/L of the MAC control field shall be set to "0", the SEQshall be set to "0000", the number of octets of its length is set at the LI, and the NFR1 is initialized. When the length of the LPDU is up to 65 octets, "0 "shall be added until the number of octets becomes 65 octets.

When the MPDU was sent to the mobile station and its reception was acknowledged the transmission control for the LPDU terminates.

#### (3) Reception of acknowledgment

When the MPDU generated by the procedure mentioned above was sent, it should wait for reception of the ACKC during the certain period indicated by a transfer slot.

If the AI was not obtained from the ACKC during this period or if the Nack was received at the AI, the NFR1 shall be incremented and the retransmission procedure indicated below shall be executed.

Where the Ack was received at the AI, transfer of the subject MPDU shall terminate, and the subject MPDU shall be discarded.

This acknowledgment procedure shall only be applied to the transmission of MPDUs indicated by a private link address.

# (4) Retransmission

Retransmission procedure is performed when the transfer slot of the MPDU could not receive the Ack at the AI obtained from the ACKC (the AI was not obtained from the ACKC or the Nack was received at the AI) during a certain period indicated by a transfer slot.

Where the Ack could not be received, the subject MPDU shall not be discarded, but be

retransmitted by the slot assigned to the next frame, and it shall wait for reception of the AI of the corresponding slot.

Retransmission procedure shall be repeated until the Ack is received or until the NFR1 exceeds the NFR1max.

The LPDU shall be discarded when the NFR1max is exceeded.

This retransmission procedure shall only be applied to the transmission of MPDUs indicated by a private link address.

## (5) Generation of Idle channel signal

If the MLME detects an MDS that it is an idle channel with the downlink, it shall generate an MPDU of which LPDU fields are all "0". At the same time, the FRG, the C/L and the C/R shall be set to "0", and the SEQ shall be set to "0000", and the LI shall be set to "1000001" (65) in the subject MAC control field.

If there are MPDUs that are waiting for transmission or processing, the generation of the idle channel signal should be processed not to destroy the contents of these MPDUs.

### **4.3.3.5.2.1.2.2** Reception Control

### (1) Reception of MPDU

If the ST of the SCI identify that an MPDU is a normal data channel or a data channel assigned with priority, the subject MPDU indicated in the uplink shall be accepted, and the MLME shall execute the acknowledgement transmission procedures and the defragmentation procedures specified below.

If the ST of the SCI identify that an MPDU is another type of channel (an idle signal channel, a empty data channel etc.), the subject MPDU indicated in the uplink shall be discarded, and the MLME shall terminate the reception control procedures.

#### (2) Acknowledgement transmission

When the reception of the MPDU is indicated, the NFR2 shall be initialized, and the AI shall be sent within the ACKC in the subject reception slot. At this time, where the MPDU was correctly received, it shall set the AI to the Ack with the AK set to "1". If it was not correctly received, it shall set the AI to the Nack with the AK set to "0".

The conditions for setup of the Nack are as follows. The Ack is set unless these conditions are satisfied.

- (a) The length of the MPDU is not 67 octets.
- (b) The subfield of the FRG of the MAC control field is "0" and the C/L is "1".

- (c) The subfield of LI of the MAC control field is "0" (null LLC control field) or is a value more than 66 octets.
- (d) The result of CRC inspection is incorrect.

On sending Nack, the NFR2 shall be counted each time the Nack is sent and retransmission of the MPDU from the mobile station shall be waited for. Queue for retransmission of the MPDU shall be continued until an MPDU, which is identified as the Ack, is received or until the NFR2 exceeds the NFR2max. When the number of the NFR2 exceeds the NFR2max, the assignment for the corresponding slot shall terminate.

The MPDU, which transmitted Nack, shall be discarded.

# (3) Defragmentation MPDU

When previous defragmentation procedure has been accomplished and an MPDU in which the FRG of thr MAC control field is "1" is received, a new defragmentation procedure starts. Hereafter, the defragmentation procedure shall be continued while receiving MPDUs of which both the FRG and the C/L of the MAC control field is "1", and it shall be terminated when an MPDU in which the FRG is "1" and the C/L is "0" is received.

The defragmentation procedure shall terminate when the LPDU to be delivered to the LLC sublayer is generated. The procedures indicated below are performed with MPDUs that returned Ack.

- (a) If the C/L within first MPDU passed to the defragmentation procedure is "1", the MAC control field of the corresponding MPDU shall be held until the accomplishment of the defragmentation procedure and the MPDU shall be first data of the LPDU.
- (b) If the C/L within first MPDU passed to the defragmentation procedure is "0", the corresponding MPDU shall be discarded and the defragmentation procedure shall be terminated.
- (c) If the increment of the SEQ is "1" (the SEQ is continuous, but change from "1111" to "0000" is included), data of the length indicated by the LI shall be taken out from the beginning of the MSDU, and shall be defragmented sequentially.
- (d) If the increment of the SEQ is "0" (the MPDU was duplicated), the corresponding MPDU shall be discarded.
- (e) If the increment of the SEQ is not "1" or "0" (The SEQ is not continuous; but change from "1111" to "0000" is excluded), the MPDUs received thereafter and defragmented data of up to this time shall be discarded. After deleting the last MPDU (the FRG was "1" and the C/L was "0"), it shall be regarded as the accomplishement of the defragmentation procedure.
- (f) After the accomplishment of the defragmentation of MPDUs and the generation of a valid LPDU, it shall be regarded as the tcompletion of the defragmentation procedures, the LPDU with the MAC control field which has been held shall pass to the MAC data service procedure

specified in subclause 4.3.3.5.2.1.1.

The receive control procedures shall terminate after the accomplishment of this defragmentation procedure.

### (4) Non defragmentation MPDU

On receiving an MPDU, both FRG and C/L of the MAC control field of which is "0", is indicated, the defragmentation procedure shall not be excecuted. But data of the length indicated by LI shall be taken out from the beginning of the MSDU, an LPDU to be passed to the LLC sublayer shall be generated and reception control procedures shall terminate.

## 4.3.3.5.2.1.3 Transmission/Reception procedures

#### 4.3.3.5.2.1.3.1 Transmission

#### (1) Transmission of MDC

The PR and the UW2 are added to the top of the MPDU generated by transmission control procedures. A 16-bits CRC calculated from the data except the PR and the UW2 shall be added to the end of the MPDU. After the CRC was added, the scramble procedure (details are defined in subclause 4.2.6) shall be performed by the key that is generated from the LID, in the range from the beginning of the MAC control field to the end of the CRC. The data made the scrambling procedures shall be multiplexed at the position of the MDC of the slot indicated by the information of the MIB, and be delivered to the layer 1.

#### (2) Transmission of ACKC

The PR and UW2 are added to the top of the AI generated by transmission control procedures. A 16 bits CRC calculated from the data except the PR and UW2 shall be added to the end of the AI. Then the AI shall be multiplexed at the position of the ACKC of the slot indicated by the information of the MIB, and then shall be passed to the layer 1.

### 4.3.3.5.2.1.3.2 Reception

#### (1) Reception of MDC

The key generated from the LID shall descramble the data passed from the layer 1 at the position of the MDC of the slot indicated by the information of the MIB. The range of data for descrambling (details are defined in subclause 4.2.6) shall be from the beginning of the LPDU field to the end of CRC. A 16-bits CRC calculation for the de-scrambling data except the PR and UW2 shall be made. The comparison shall be made between a unique remainder value and a calculation result.

If the comparison shows equality, the corresponding MPDU shall be taken out and be passed to the reception control procedures specified previously.

If the comparison shows inequality, the corresponding MPDU shall be discarded.

# (2) Reception of ACKC

A 16-bits CRC calculation for the data except the PR and the UW2 passed from the layer 1 at the position of the ACKC indicated by the information of the MIB shall be made. Hereafter, a comparison shall be made between a unique remainder value and a 16-bits CRC calculation result.

If the comparison shows equality, the AI shall be taken out and be passed to the reception control procedures.

If the comparison shows inequality, the corresponding ACKC shall be discarded, and the AI shall not be passed to the reception control.

### 4.3.3.5.2.2 Transfer Procedures at the mobile station

#### 4.3.3.5.2.2.1 MAC Data Service Procedures

On receiving the MA-UNITDATA.request primitive of the mobile station from the LLC sublayer, the MAC control field shall be generated and the state value TSQ shall be incremented by "1". The SEQ field shall be set to this TSQ. This MAC control field information and an LPDU shall be once held and the transfer procedures defined in detail in subclause 4.3.3.5.2.2.2 shall be performed.

The data received from the base station shall be processed according to transfer procedure defined in detail in subclause 4.3.3.5.2.2.2. After accomplishment of this transfer procedure, the MLME shall generate the MA-UNITDATA.indication primitive, and pass the link address and the LPDU to the LLC sublayer. At this time, the comparison shall be made between the value of the SEQ field within the MAC control field and the value of the reception sequence state variable RSQ. If it shows equality, the corresponding LPDU shall not pass to the LLC sublayer and be discarded, since the LPDU was duplicated. After accomplishment of the comparison with the RSQ and the TSQ, the value of the SEQ within the MAC control field shall be held in the RSQ field for the duplication inspection next time.

Note1) The inspection of the continuance, defined in subclause 4.3.3.5.2.1.2, for the sequence number SEQ within the receiving PDU passed after the accomplishment of the transfer procedures shall not be performed.

Note 2) The realtionship between the TSQ, the RSQ, and MAC control subfields is shown table 4.3.3.5.2.1.1 for reference.

#### 4.3.3.5.2.2.2 MAC Transfer Control Procedures

#### **4.3.3.5.2.2.2.1** Transmission Control

# (1) Fragmentation LPDU

The fragmentation procedure is adopted when the length of the LPDU received from the LLC sublayer exceeds 65 octets.

The fragmentation procedure at first shall generate an MPDU with a MAC control field added to the data of 65 octets from the head. At this time, both the FRG and the C/L of the MAC control field shall be set to "1", and the LI shall be set to "1000001" (65), and the NFR1 shall be initialized. The initial value of the SEQ shall be set at the same value defined in the MAC data service procedure (subclause 4.3.3.5.2.2.1).

When the MPDU was sent to the base station and reception has been acknowledged, the length of the remaining the LPDU shall be inspected. If it exceeds 65 octets, the data of 65 octets shall be further taken out from the beginning, and an MPDU shall be generated with a MAC control field added to it. Both the FRG and the C/L of the MAC control field shall be set to "1", and the LI shall be set to "1000001" (65), and the SEQ shall be set as incremented by "1". At this time, the TSQ shall not increment. Furthermore, the NFR1 shall be initialized in the same manner.

Identical procedure shall repeated until the length of the remaining the LPDU becomes 65 octets or less, and then accomplishment of fragmentation procedure shall be indicated with "0" set at the C/L. Furthermore, the number of octets of the length of the remaining the LPDU shall be set at the LI, and "0" shall be inserted to the LPDU until the number of octets becomes 65 octets.

When all of the MPDUs generated as fragmented were transferred to the base station and their reception was acknowledged, the transmission control for the subject LPDU shall terminate.

# (2) Non-fragmentation LPDU

Where the LPDU received from the LLC sublayer is up to 65 octets, an MPDU shall be generated with a MAC control field added as it is. At this time, both the FRG and the C/L of the MAC control field shall be set to "0", the SEQshall be set to "0000", the number of octets of its length is set at the LI, and the NMR is initialized. When the length of the LPDU is up to 65 octets, "0" shall be added until the number of octets becomes 65 octets.

When the MPDU was sent to the mobile station and its reception was acknowledged, the transmission control for the LPDU shall terminate.

#### (3) Reception of acknowledgment

When the MPDU generated by the procedure mentioned above was sent, it should wait for reception of the ACKC during the certain period indicated by a transfer slot.

If the AI was not obtained from the ACKC during this period or if the Nack was received at the AI, the NMR shall be incremented and the retransmission procedure indicated below shall be executed.

Where the Ack was received at the AI, transfer of the subject MPDU shall terminate, and the subject MPDU shall be discarded.

### (4) Retransmission

Retransmission procedure is performed when the transfer slot of the MPDU could not receive the Ack at the AI obtained from the ACKC (the AI was not obtained from the ACKC or the Nack was received at the AI) during a certain period indicated by a transfer slot.

Where the Ack could not be received, the subject MPDU shall not be discarded, but be retransmitted by the slot assigned to the next frame, and it shall wait for reception of the AI of the corresponding slot.

Retransmission procedure shall be repeated until the Ack is received or until the NMR exceeds the NMRmax.

The LPDU shall be discarded when the NMRmax is exceeded.

When an new MPDU from the base station is received during the retransmission procedures or the current NMR exceeds the NMRmax, the subject LPDU shall be dicarded, and the retransmisson procedures shall be terminated.

#### (5) Generation of Idle channel signal

If the MLME detects an MDS indicating that it is an idle channel with the uplink, it shall generate an MPDU in which LPDU fields are all "0". At the same time, the FRG, the C/L and the C/R shall be set to "0", and the SEQ shall be set to "0000", and the LI shall be set to "1000001" (65) in the subject MAC control field.

If there are MPDUs that are waiting for trasmission or processing, the generation of the idle channel signal should be processed not to destroy the contents of these MPDUs.

#### **4.3.3.5.2.2.2.2 Reception Control**

# (1) Reception of MPDU

If the ST of the SCI identifies, that an MPDU is a normal data channel or a data channel assigned with priority, the subject MPDU indicating the downlink shall be accepted, and the MLME shall excecute the acknowledgement transmission procedure and the defragmentation procedure specified below.

If the ST of the SCI identify, that an MPDU is another type of channel (an idle signal channel, an empty data channel etc.), the subject MPDU indicating the downlink shall be discarded, and the MLME shall terminate the reception control procedures.

### (2) Acknowledgement transmission

When the reception of the MPDU is indicated, the NFR2 shall be initialized, and the AI shall be sent within the ACKC in the subject reception slot. At this time, where the MPDU was correctly received, it shall set the AI to the Ack with the AK set to "1". If it was not correctly received, it shall be set the AI to the Nack with the AK set to "0".

The conditions for setup of the Nack are as follows. The Ack shall be set unless these conditions are satisfied.

- (a) The length of the MPDU is not 67 octets.
- (b) The subfield of the FRG of the MAC control field is "0" and the C/L is "1".
- (c) The subfield of LI of the MAC control field is "0" (null LLC control field) or is a value more than 66 octets.
- (d) The result of CRC inspection is incorrect.

The MPDU, which transmitted Nack, shall be discarded.

This acknowledgement transmission procedure shall only be applied to the transmission of MPDU indicated by a private link address.

#### (3) Defragmentation MPDU

When previous defragmentation procedure has been accomplished and an MPDU in which the FRG of thr MAC control field is "1" is received, a new defragmentation procedure starts. Hereafter, the defragmentation procedure shall be continued while receiving MPDUs of which the both of the FRG and the C/L of the MAC control field is "1", and it shall be terminated when an MPDU in which the FRG is "1" and the C/L is "0" is received.

The defragmentation procedure shall terminate when the LPDU to be delivered to the LLC sublayer is generated. The procedures indicated below it are performed with MPDUs that returned Ack.

- (a) If the C/L within the first MPDU passed to the defragmentation procedure is "1", the MAC control field of the corresponding MPDU shall be held until the accomplishment of the defragmentation procedure and the MPDU shall be first data of the LPDU.
- (b) If the C/L within first MPDU passed to the defragmentation procedure is "0", the corresponding MPDU shall be discarded and the defragmentation procedure shall terminate.
- (c) If the increment of the SEQ is "1" (the SEQ is continuous, but change from "1111" to "0000" is included), data of the length indicated by the LI shall be taken out from the

beginning of the MSDU, and shall be made defragmentation sequentially.

- (d) If the increment of the SEQ is "0" (the MPDU was duplicated), the corresponding MPDU shall be discarded.
- (e) If the increment of the SEQ is not "1" or "0" (The SEQ is not continuous; but change from "1111" to "0000" is excluded), the MPDUs received thereafter and defragmented data of up to this time shall be discarded. After deleting the last MPDU (the FRG was "1" and the C/L was "0"), it shall be regarded as the accomplishment of the defragmentation procedures.
- (f) After the accomplishment of the defragmentation of MPDUs and the generation of a valid LPDU, it shall be regarded as the termination of the defragmentation procedure, the LPDU with the MAC control field which has been held shall pass to the MAC data service procedure specified in subclause 4.3.3.5.2.2.1.

The receiver control procedure shall terminate after the accomplishment of this defragmentation procedures.

### (4) Non defragmentation MPDU

Where reception of an MPDU, both of FRG and C/L of the MAC control field of which is "0", is indicated, the defragmentation procedure shall not be executed. But data of the length indicated by LI shall be taken out from the beginning of the MSDU, an LPDU to be passed to the LLC sublayer shall be generated and reception control procedure shall terminate.

# 4.3.3.5.2.2.3 Transmission/Reception procedure

In this transmission/reception procedures, the source address of the base station shall be identified as the FID by way of the SCI registration procedure specified in subclause 4.3.3.5.1.2.1(1).

## 4.3.3.5.2.2.3.1 Transmission

### (1) Transmission of MDC

The PR and the UW2 shall be added to the top of the MPDU generated by transmission control procedures. A 16-bits CRC calculated from the data except the PR and the UW2 shall be added to the end of the MPDU. After aading the CRC, the scramble procedure (details are defined in subclause 4.2.6) shall be performed by the key that is generated from the LID, in the range from the top of the MAC control field to the end of the CRC. The data made the scrambling procedures shall be multiplexed at the position of the MDC of the slot indicated by the information of the MIB, and be delivered to the layer 1.

#### (2) Transmission of ACKC

The PR and UW2 are added to the top of the AI generated by transmission control procedures. A 16-bits CRC calculated from the data except the PR and UW2 shall be added to the end of the AI. Then the AI shall be multiplexed at the position of the ACKC of the slot indicated by the

information of the MIB, and then be passed to the layer 1.

### 4.3.3.5.2.2.3.2 Reception

## (1) Reception of MDC

The data passed from the layer 1 at the position of the MDC of the slot indicated by the information of the MIB shall be de-scrambled by the key generated from the LID. The range of data for descrambling (details are defined in subclause 4.2.6) shall be from the beginning of the LPDU field to the end of CRC. A 16-bits CRC calculation for the de-scrambling data except the PR and UW2 shall be made. The comparison shall be made between a unique remainder value and a calculation result.

If the comparison shows equality, the corresponding MPDU shall be taken out and be passed to the reception control procedure specified previously.

If the comparison shows inequality, the corresponding MPDU shall be discarded.

## (2) Reception of ACKC

A 16-bits CRC calculation for the data except the PR and the UW2 passed from the layer 1 at the position of the ACKC indicated by the information of the MIB shall be made. Hereafter, a comparison shall be made between a unique remainder value and the 16-bits CRC calculation result.

If the comparison shows equality, the AI shall be taken out and be passed to the reception control procedure.

If the comparison shows inequality, the corresponding ACKC shall be discarded, and the AI shall not be passed to the reception control procedure.

# 4.3.4 Logical Link Control (LLC) Sublayer

The LLC generates command PDU and response PDU for transmission and interprets received command PDUs and response PDUs. A specific responsibility assigned to an LLC contains.

LLC provides the following functions.

- (a) Initialization of PDU transmit/receive
- (b) Control of data flow
- (c) Interpretation of received command PDUs and generation of appropriate response PDUs
- (d) Action regarding error control and error recovery functions in LLC sublayer

The LLC sublayer provides a description of the peer-to-peer protocol procedures that are defined for the transfer of information and control between a pair of data link service access points (LSAPs) in this radio communication system environment.

To satisfy a broad range of potential applications, two types of data link control operation are contained. (See subclause 4.3.4.3)

The first (referred to as Type 1) of operation provides unacknowledged connectionless-mode service across the data link with a minimum protocol. This type of operation may be useful when the layer 7 or applications provides any error recovery and sequencing service so that these do not need replicating in the layer 2. In addition, this type of operation may prove useful in applications where it is not essential guarantee the deliverly of the data link layer (layer 2) data unit and for some initial data transfer.

The second (referred to as Type 3) of operation provides an acknowledged connection-less mode data unit exchange service, which permits a station to both transmit data and request the return of the data at the same time. Although exchange service is connectionless, in sequence delivery is guaranteed for data transmit by initiating station.

- Note 1) Definition of this LLC sublayer is based on ISO/IEC 8802-2:1994 (Information technology -- Telecommunication and information exchange between systems -- Local and metropolitan area networks Specific requirements -- Part 2: Logical link control).
- Note 2) Type 3 data transfer service is reserved for furture systems at this time. The implementation of Type 3 in future systems will be considered.

Type 3: Acknowledged connection-less mode service

Type 2 is not adopted, because of overhead for connection establishing and disconnection, which is not suitable for real-time environment of this radio communication system.

### 4.3.4.1 LLC Sublayer Service Specification

This subclause covers the services required of, or by, the LLC sublayer at logical interfaces with the LLC sublayer.

This subclause specifies the services required of the LLC sublayer by the LLC sublayer user, as viewed from the layer 7, to allow an LLC sublayer user entity to exchange packets with remote peer LLC sublayer user entities. The service is described in an abstract way and does not imply any particular implementation or any exposed interface.

Two forms of service are provided.

- (a) Unacknowledged connectionless-mode service
- (b) Acknowledged connection-less mode service

### (1) Unacknowledged connection-mode service

The data transfer service that provides the means by which data link user entities can exchange link service data units (LSDUs) without the establishment of data link level connection on an unacknowledged base. The data transfer can be point-to-point, multicast (group cast), or broadcast.

# (2) Acknowledged connection-less mode service

The Acknowledged connection-less mode data unit exchange service provides the means by which data link user entities can exchange link service data units (LSDUs) which are acknowledged at the LLC sublayer, without establishment of data link connection. The service provides a means by which a layer 7 at one station can transmit a data unit to another station, request a previously prepared data unit from another station, or exchange data unit from another station. The data unit transfer is point-to-point.

### 4.3.4.1.1 Overview of Interaction

- (1) Unacknowledged connectionless-mode service
- (a) Data unit transmission service

The primitives associated with unacknowledged connectionless mode data transfer are:

DL-UNITDATA.request DL-UNITDATA.indication

The DL-UNITDATA.request primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be transmitted using unacknowledged connectionless-mode procedures. A DL-UNITDATA.indication primitive is passed from the LLC sublayer to the layer 7 to indicate arrival of an LSDU.

### (2) Acknowledged connectionless-mode service

#### (a) Data unit transmission service

The primitives associated with the acknowledged connectionless-mode data unit transmission service are:

DL-DATA-ACK.request
DL-DATA-ACK.indication
DL-DATA-ACK-STATUS.indication

The DL-DATA-ACK.request primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be transmitted to a remote LLC using acknowledged connectionless-mode data transmission procedures. The DL-DATA-ACK.indication primitive is passed from to the layer 7 the LLC sublayer to indicate the arrival of a common PDU except in the case where this PDU is used only for resynchronization. The DL-DATA-ACK-STATUS.indication is passed from the LLC sublayer to the layer 7 to convey the results of previous associated DL-DATA-ACK.request primitive.

### (b) Data unit exchange service

The primitives associated with the acknowledged connectionless-mode data unit exchange services are:

DL-REPLY.request
DL-REPLY.indication
DL-REPLY-STATUS.indication

The DL-DATA-REPLY.request primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be returned from a remote station or that LSDUs be exchanged between stations using acknowledged connectionless-mode data unit exchange procedures. The DL-DATA-REPLY.indication primitive is passed from the LLC sublayer to the layer 7 to indicate the arrival of a common PDU except in the case where this PDU is used only for resynchronization. The DL-DATA-REPLY-STATUS.indication is passed from the LLC sublayer to the layer 7 to convey the results of previous associated DL-REPLY.request primitive.

# (c) Reply data unit preparation service

The primitives associated with reply data unit preparation are:

DL-REPLY-UPDATE.request DL-REPLY-UPDATE-STATUS.indication

The DL-REPLY-UPDATE.request primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU to be held by LLC and transmitted at later time when requested to do so by some other station. The DL-DATA-REPLY-UPDATE-STATUS.indication is passed from the LLC sublayer to the layer 7 to convey the results of previous associated DL-REPLY-UPDATE.request primitive.

## 4.3.4.1.2 Detailed Service Specification

This subclause describes in detail the primitives and parameters associated with the identified services (specified in 4.3.4.1.1). The parameters (except "link addresses") are defined in abstract sense. The parameters specify the information that must be available to the receiving end. A specific implementation is not constrained in the method of making this information available.

The link\_address parameter identifies remote SAP and remote SAP of both MAC and the LLC. The link\_address parameter shall have the format defined in subclause 4.2.4.2.1.8.2.

The data parameter may be provided by actually passing the data link service data unit by passing a pointer or means. The parameter may be null.

The status parameter indicates success or failure of a previous associated request.

Note 1) Different from ISO/IEC 8802-2:1994, MAC and LLC shall have one common address.

Note 2) Different from ISO/IEC 8802-2:1994, the MAC sublayer is not able to handle priorities. Therefore, the "priority "parameter is not specified. Its parameter is generated in the layer 7 and passed to the layer 2.

Possible logical sequences of successful data unit transmission are illustrated in Fig. 4.3.4.1.2. (Primitive types that occur earlier in time and connected by dotted lines in the diagrams are the logical antecedents of subsequent primitive types.)

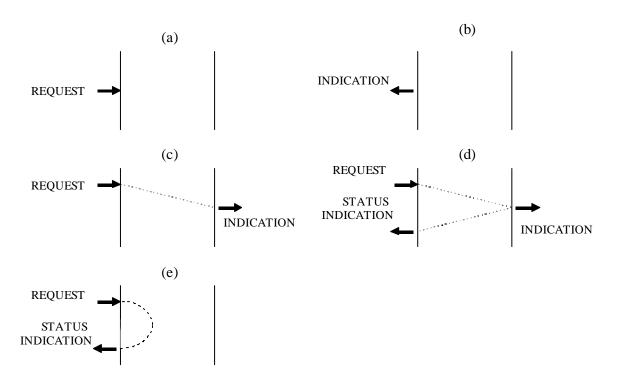


Fig. 4.3.4.1.2 Time Sequence Diagram

# 4.3.4.1.2 DL-UNITDATA request

### (1) Function

This primitive is the service request primitive for the unacknowledged connectionless-mode data transfer service.

# (2) Semantics of service primitive

This primitive shall provide parameters as follows.

On the mobile station side

DL-UNITDATA.request (link\_address, data)

The link\_address parameter of the mobile station shall be a private link address. The data parameter specifies the MSDU transmitted by the MAC entity.

On the base station side

DL-UNITDATA.request (link\_address, data, response\_request)

The link\_address parameter of the base station may be the private, multicast (groupcast) link and broadcast link addresses. The data parameter specifies the MSDU transmitted by the MAC

entity. The response\_request parameter is passed to the MAC sublayer directly.

*Note)* The parameters of response\_request are specified as follows.

The response\_request set to "0" indicates that the MAC sublayer only transmits data, which is simultaneously passed from the LLC, to the mobile station as indicated by link\_address.

The response\_request set to "1" indicates that the MAC sublayer transmit data, which is simultaneously passed from the LLC, to the mobile station as indicated by link\_address. Hereafter, the MAC sublayer assigns the uplink MDS with the same link\_address

The response\_request set to "2" indicates that the MAC sublayer assigns the uplink MDS with the same link\_address. At that time, the MAC sublayer discards the corresponding data.

### (3) When generated

This primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be transmitted to one or more remote LSAP(s) using unacknowledged connectionless-mode procedures.

#### 4.3.4.1.2.2 DL-UNITDATA.indication

#### (1) Function

This primitive is a service indication primitive for the unacknowledged connectionless-mode data transfer service.

#### (2) Semantics of service primitive

This primitive shall provide parameters as follows.

#### DL-UNITDATA.indication (link address, data)

The link\_address parameter of the base station mobile station shall specify a private link address. The link\_address parameter of the mobile station may be a private, multi (group) link and broadcast link addresses. The data parameter specifies the LSDU that has been received by the LLC sublayer entity.

#### (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 to indicate arrival of LSDU from the specified remote station entity.

## 4.3.4.1.2.3 DL-DATA-ACK.request

#### (1) Function

This primitive is the service request primitive for the acknowledged connectionless-mode data unit transfer service. This primitive used to transmit a data unit with acknowledgment to

another station.

# (2) Semantics of service primitive

This primitive shall provide parameters as follows.

### DL-DATA-ACK.request (link\_address, data)

The link\_address parameter shall specify a private link address. The data parameter specifies the LSDU transferred by the LLC sublayer entity.

## (3) When generated

This primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be transmitted to remote LSAP using acknowledged connectionless-mode data unit transfer procedures.

#### 4.3.4.1.2.4 DL-DATA-ACK.indication

#### (1) Function

This primitive is the service request primitive for the acknowledged connectionless-mode data unit transmission service.

# (2) Semantics of service primitive

This primitive shall provide parameters as follows.

#### DL-DATA-ACK.indication (link address, data)

The link\_address parameter shall specify a private link address. The data parameter specifies the LSDU has been received by the LLC sublayer entity.

### (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 to indicate arrival of non-null, nonduplicate LSDU from the remote the layer 7 entity.

### 4.3.4.1.2.5 DL-DATA-ACK-STATUS indication

### (1) Function

This primitive is the status indication primitive for the acknowledged connectionless-mode data unit transfer service.

### (2) Semantics of service primitive

This primitive shall provide parameters as follows.

# DL-DATA-ACK-STATUS.indication (link\_address, status)

The link\_address parameter specifies a private link address. The status parameter indicates success or failure of the previous associated acknowledged connectionless-mode data unit transfer request.

## (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 to indicate success or failure of the previous associated acknowledged connectionless-mode data unit transfer request.

## **4.3.4.1.2.6 DL-REPLY.request**

### (1) Function

This primitive is the service request primitive for the acknowledged connectionless-mode data unit exchange service. This primitive can be used to request a previously prepared data unit from another station, or to exchange data unit with another station.

# (2) Semantics of service primitive

This primitive shall provide parameters as follows.

# DL-REPLY.request (link\_address, data)

The link\_address parameter specifies a private link address. The data parameter specifies the LSDU to be transferred by the LLC sublayer entity.

## (3) When generated

This primitive is passed from the layer 7 to the LLC sublayer to a previously prepared data unit from another LSAP, or to exchange of data units with the another LSAP using acknowledged connectionless-mode data unit exchange procedure. This primitive used to transmit a data unit with acknowledgment to another station

Note) The primitive can be passed with a null (having zero length) data parameter for the purpose of requesting data only (without transmitting data).

### 4.3.4.1.2.7 DL-REPLY.indication

### (1) Function

This primitive is the service indication primitive for the acknowledged connectionless-mode data unit exchange service.

## (2) Semantics of service primitive

This primitive shall provide parameters as follows.

## DL-REPLY.indication (link\_address, data)

The link\_address parameter specifies a private link address. The data parameter specifies the LSDU has been received by the LLC sublayer entity.

# (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 to indicate either a successful request of an LSDU from the remote layer 7 or exchange of LSDUs with the remote layer 7. The transfer of previously prepared LSDU to requesting station shall not destroy the original copy of LSDU. Subsequent requests for data by any station cause the transfer of the same LSDU, until the DL-REPLY-UPDATE.request primitive is used to replace the LSDU with new information.

#### 4.3.4.1.2.8 DL-REPLY-STATUS.indication

## (1) Function

This primitive is a service status indication primitive for the acknowledged connectionless-mode data unit exchange service.

### (2) Semantics of service primitive

This primitive shall provide parameters as follows.

## DL-REPLY-STATUS.indication (link\_address, data, status)

The link\_address parameter indicates a private link address. The status parameter indicates the success or failure of the previously associated acknowledged connectionless-mode data unit exchange request.

## (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 entity to indicate the success or failure of previous associated acknowledged connectionless-mode data unit exchange request and to pass data available.

### 4.3.4.1.2.9 DL-REPLY-UPDATE.request

## (1) Function

This primitive is a service request primitive for response data unit preparation service.

## (2) Semantics of service primitive

This primitive shall provide parameters as follows.

# DL-REPLY-UPDATE.request (link\_address, data)

The link\_address parameter shall specify a private link address. The data parameter specifies the LSDU to be held by LLC for future access.

## (3) When generated

This primitive is passed from the layer 7 to the LLC sublayer to request that an LSDU be associated with a local SAP and held by LLC for future access.

Once LSDU has been associated with a local SAP, that LSDU shall be transferred to the other stations using acknowledged connectionless-mode response PDU as often as requested by other stations (without need for additional DL-REPLY-UPDATE.request primitives from the layer 7). A subsequent DL-REPLY-UPDATE.request primitives from the layer 7 for the specified SAP serves to replace the currently associated LSDU with a new LSDU.

### 4.3.4.1.2.10 DL-REPLY-UPDATE-STATUS.indication

#### (1) Function

This primitive is a service confirmation primitive for the reply data unit preparation service.

### (2) Semantics of service primitive

This primitive shall provide parameters as follows.

### DL-REPLY-UPDATE-STATUS.indication (link\_address, status)

The link\_address parameter shall specify a private link address. The status parameter indicates success or failure of the previous associated data unit preparation request.

## (3) When generated

This primitive is passed from the LLC sublayer to the layer 7 to indicate success or failure of the previous associated data unit preparation request.

The effect on reception of this primitive by the layer 7 is unspecified.

If the status is successful, this primitive indicates that the LLC sublayer has associated LSDU with a local SAP.

### 4.3.4.2 LLC PDU Structure

This subclause defines in detail the LPDU structure. It defines the relative positions of the

various components of the PDU.

### 4.3.4.2.1 LPDU format

All PDUs shall conform to the format shown in Fig. 4.3.4.2.1.

Control field	Information field
8bit	8 x M bit

Control=Control field: Refer to subclause 4.3.4.4

M=Number of octets: an integer value equal to or greater than "0" (Upper bound of M depends on media access methodology used.)

Fig. 4.3.4.2.1 LLC PDU Format

## 4.3.4.2.2 Elements of the LLC PDU

#### 4.3.4.2.2.1 Address Field

The link address is used for the MAC sublayer and LLC sublayer and is therefore not contained in the LPDU.

The link address of downlink shall identify between one (private) SAP or more (multi (group) link, broadcast link) SAP(s) for which the LLC information field is intended and the SAP from which the data transfer was initiated. The link address of uplink shall identify the specific (private) SAP for which the LLC information field is intended and the SAP from which the LLC information field was initiated.

The format of a link address is defined in subclause 4.2.4.2.1.8.2. A link address shall be generated as described in subclause 4.3.2.

## 4.3.4.2.2.2 Command/Response

The Command/Response bit shall be located at bit 4 in the MAC control field. IF this bit is "0", it shall indicate that the LPDU is a command. IF this bit is "1", it shall indicate that the LPDU is a response.

#### 4.3.4.2.2.3 LLC Control Field

The control field shall consist of one octet that shall be used to designate commands and responses. The contents of these fields shall be described in subclause 4.3.4.4.

#### 4.3.4.2.2.4 Information field

An information field shall consist of any integral number (including zero) of octet.

#### 4.3.4.2.2.5 Bit order

Command and Response shall be delivered to / received from the MAC sublayer least significant bit first (i.e., the first bit of an octet that is delivered / received shall have the weight of  $2^0$ .) This information field shall be delivered to the MAC sublayer in the same bit order as received from the layer 7. The information field shall be delivered to the layer 7 in the same bit order as received from the MAC sublayer.

### 4.3.4.2.2.6 Invalid LPDU

Invalid LPDU shall be ignored. An invalid LPDU shall be defined as one that meets at least one of following conditions:

- (1) The MAC sublayer or the layer 1 entity identifies it invalid.
- (2) It is not an integral number of octet lengths.
- (3) Its length is 0 (no control field).
- (4) It does not contain a valid command or response control field as defined by this standard.
- (5) It does contain a Type 3 command or response control field, and the link address is multi (group) link address or a broadcast link address.
- (6) It does contain a Type 3 response control field, and no ACn response status subfield in its information field.

## 4.3.4.3 LLC Types of Procedure

LLC defines two types of operation for data communication between service access point (SAP).

## (1) Type 1 operation

With Type 1 operation, PDUs shall be exchanged between LLCs without the need for the establishment of data link connection. In the LLC sublayer these PDUs shall not be acknowledged, nor shall there be any flow control or error recovery in the Type 1 procedures.

### (2) Type 3 operation

With Type 3 operation, PDUs shall be exchanged between LLC entities without the need for the establishment of data link connection. In the LLC sublayer, PDUs that may or not bear information shall be acknowledged. The acknowledgment function shall be accomplished by destination LLC returning to those LLC a specific response in a separate PDU contains status information and may or may not bear user information.

In the normal operation, each command PDU in Type 3 operation shall receive a

acknowledgment PDU, and though the source LLC may transmit a Type 3 command for recovery purposes, it shall not transmit a new Type 3 command PDU while waiting for acknowledgment of a previous PDU with the same link address. The LLC entity shall not accept a new request primitive from the layer 7 until receipt preceding "request" primitive LSDU has been acknowledged by the remote LLC entity. This restriction is necessary to allow higher layers to perform recovery operation before resuming normal data transmission in case LLC is unsuccessful in transmitting a PDU (after retransmission).

The mechanism alternating LLC control field code in successive PDUs provides a one-bit sequence number functionality which allows the LLC receiving a command PDU to differentiate between a new and second copy of a previously received PDU. Further, the LLC receiving an acknowledgment PDU can insure that acknowledgment refers to the last transmit information PDU. A previously received acknowledgment that incurred excessive delay is thus ignored.

The Type 3 operation defines the state that must be maintained at the stations involved in the information exchange. Each station shall maintain for each SAP, a one-bit sequence number for transmitting and another for receiving. Therefore, the mobile station has to maintain one pair of transmit / receive sequence number, if using Type 3 operation. The base station has to maintain, for each mobile station using Type 3 operation in the communication zone, one pair of transmit / receive sequence number.

Type 3 operation shall only be used in a point to point (private) communication.

### **4.3.4.4 LLC Elements of Procedures**

This sabclause specifies the elements of this radio communication system LLC procedures for code-independent type data communication using LPDU structure (see subclause 4.3.4.2).

## 4.3.4.4.1 Format of Control Field

The format defined for the control field is illustrated in Fig. 4.3.4.4.1.

1	2	3	4	5	6	7	8
1	1	M	M	P/F	M	M	M

M: Modifier function bit

P/F: Poll bit (P bit) - command LPDU transmission Final bit (F bit)- response LPDU transmission

"1" = Poll/Final

The two least significant bits are set to "1".

Fig. 4.3.4.4.1 LPDU Control Field

The PDU shall be used to provide data link control functions and information transfer. The PDUs shall contain a P/F bit set in accordance to subclause 4.3.4.5.2.

#### 4.3.4.4.2 Control Field Parameters

## 4.3.4.4.2.1 Type 3 Operation Parameters

## (1) Transmit sequence state variable V (SI)

The LLC shall be able to maintain one transmit sequence state variable V (SI) for each unique SAP used for transmitting Type 3 command. This variable shall only take on the values of 0 and 1 and shall be set equal to the bit eight of the LLC control field code used for the last Type 3 response PDU received with the link address. The V (SI) variable permit the LLC to insure that received acknowledgment applies to the currently transmission and allows the receiver to detect duplicate frames. The V (SI) shall be created with establishment of a new private link address.

## (2) Receive sequence state variable V (RI)

The LLC shall be able to maintain one receive sequence state variable V (RI) for each unique SAP associated with received Type 3 command PDUs. This variable contains the complement of the bit eight of AC0 or AC1 LLC control field code of the last received Type 3 command PDU with the associated link address. The V (RI) variable allows the LLC to differentiate between a Type 3 received for the first time, and a received PDU which is retransmission of previously received PDU. V (RI) shall be created with establishment of a new private link address.

## (3) Reception status state variable V (RB)

The LLC shall be able to maintain one reception status state variable V (RB) for each unique SAP associated with received Type 3 command PDUs. This variable contains an indication of success or failure of the reception of the information field from the last received Type 3 command with the associated link address. The V (RB) variable insures that the response to the duplicate command PDU contains the same reception status code as the response to the original command PDU. The reception status state variable V (RB) shall be changed if the last reception was successful, but previous not.

# 4.3.4.4.3 Commands and Responses

This subclause defines the commands and associated responses. Subclause 4.3.4.4.3.1 and 4.3.4.4.3.2 contain definition of the set of commands and response (listed below) for each the control fields format for Type 1 and Type 3 operation, respectively. The C/R bit located in bit

four of the MAC control field, is used to identify between command response. Table 4.3.4.4.3 shows the command and response.

Table 4.3.4.4.3 Commands and Responses of Type 1 Operation and Type 3 Operation

Command	Response
UI - Unnumbered Information	
AC0 - Acknowledged Connectionless	AC1 - Acknowledged Connectionless
Information, Seq. 0	Acknowledge, Seq. 1
AC1 - Acknowledged Connectionless	AC0 - Acknowledged Connectionless
Information, Seq. 1	Acknowledge, Seq. 0

## 4.3.4.4.3.1 Commands of Type 1 Operation

The PDU command LLC control field encoding for Type 1 operation shall be illustrated in Fig. 4.3.4.4.3.1.

1	2	3	4	5	6	7	8	
1	1	0	0	P	0	0	0	UI Command

Fig. 4.3.4.4.3.1 Type 1 Operation Command, Control Field Bit assignment

### (1) Unnumbered Information (UI) command

On the downlink, the UI command PDU shall be used to transmit information to one or more Mobile station SAP(s) (private, multi (group), broadcast link addresses) .On the uplink, the UI command PDU shall be used to transmit information to the base station SAP (private link address).

The use of UI command PDU does not depend on the existence of the data link connection between the destination and source LLCs, its use will not affect the V (SI) or V (RI) variables of Type 3 operation. There is no LLC response PDU to the UI command PDU.

The data contained in an UI PDU may be lost if logical data link exception (e.g. a transmission error or the busy state of the destination) occurs during the transmitting of the command PDU.

## 4.3.4.4.3.2 Type 3 Operation Commands and Responses

The Type 3 command and response PDU LLC control field encoding shall be illustrated in Fig. 4.3.4.4.3.2.

	$\downarrow$							
1	2	3	4	5	6	7	8	_
1	1	1	0	P	1	1	0	AC0 Command
1	1	1	0	P	1	1	1	AC1 Command
1	1	1	0	F	1	1	0	AC0 Response
1	1	1	0	F	1	1	1	AC1 Response

Least significant bit of control field delivered to/received from the MAC sublayer

Fig. 4.3.4.4.3.2 Type 3 Operation Command and Response, Control Field Bit Assignment

## (1) Acknowledged Connectionless Information (ACn) command

In Type 3 operation the ACn command PDU shall be used to transmit information to request information, without prior establishment of a data link connection. Use of the ACn command PDU does not depend upon the existence of the data link connection between the destination and source. Reception of ACn command PDU shall be acknowledged by ACn response PDU at the earliest opportunity. The ACn command PDU shall have a private link address. The information field in an ACn command PDU may be either null (having zero length) or non-null, and if non-null, shall contain.

Note) The use of ACn command with a multi (group) link address is not allowed.

## (2) Acknowledged Connectionless (ACn) Response

In Type 3 operation the ACn response PDU shall be used to reply to an ACn command PDU. Response shall be made at the earliest opportunity. The ACn response shall identify the responding LLC and transmit to the originating LLC. The ACn response PDU shall contain a status subfield in its information. (See subclause 4.3.4.4.3)

Table 4.3.4.4.3.2 summarizes the functions performed by ACn command and response PDUs according to the state of the P/F bit the presence of non-null LSDU.

Table 4.3.4.4.3.2 Summary of ACn Command and Response Functionality

Comma	ind	
P	LSDU	Function
0	null	Resynchronization
0	non-null	Transmitting data
1	null	Requesting data
1	non-null	Exchanging data
Respon	se	
F	LSDU	Function
0	null	Acknowledgment of resynchronization or Acknowledgment of
		received data
0	non-null	(not allowed)
1	null	Acknowledgment, request data unavailable
1	non-null	Acknowledgment with request data

# 4.3.4.4.3.3 Type 3 Operation Response information field

Every ACn response PDU shall contain a status subfield in its information field. The remainder of the information field may be either null or non-null, and if non-null shall contain a link service data as shown in Fig. 4.3.4.4.3.3.

	ACn Response infor	mation field
	Status sub-field	LSDU sub-field
	octet	0 or more octet
Control	C C C C R R R R	Link Service Data Unit
	1 2 3 4 5 6 7 8	

Fig. 4.3.4.4.3.3 ACn Response Information Field

The code returned by CCCC part of the status subfield indicates success or failure of information transfer in the command PDU (from initiating LLC to responding LLC). Possible values of CCCC are given in Table 4.3.4.4.3.3-1.

Table 4.3.4.4.3.3-1 ACn Response Status Subfield CCCC Values

C	C	C	C	MNEMONIC	CATEGORY	DESCRIPTION
0	0	0	0	OK	Success	Command received
1	0	0	0	RS	Perm Err	Unimplemented or inactivated service
1	0	1	0	UE	Perm Err	LLC user interface error
0	1	1	0	PE	Perm Err	Protocol error
1	1	1	0	IP	Perm Err	Permanent implementation dependent error
1	0	0	1	UN	Temp Err	Resources temporarily unavailable
1	1	1	1	IT	Temp Err	Temporary implementation dependent error
$\uparrow$						

Least significant bit of CCCC subfield delivered to/received from the MAC sublayer

Note) All other CCCC codes are reserved.

The code returned by RRRR part of the status subfield indicates success or failure information transfer in the command PDU (from the responding LLC to the initiating LLC). Possible values of RRRR are given in Table 4.3.4.4.3.3-2.

Table 4.3.4.4.3.3-2 ACn Response Status Subfield RRRR Values

R	R	R	R	MNEMONIC	CATEGORY	DESCRIPTION
0	0	0	0	OK	Success	Response LSDU is pretransmitted
1	0	0	0	RS	Perm Err	Unimplemented or inactivated service
1	1	0	0	NE	Perm Err	Response LSDU never submitted
0	0	1	0	NR	Success	Response LSDU not requested
1	0	1	0	UE	Perm Err	LLC user interface error
1	1	1	0	IP	Perm Err	Permanent implementation dependent error
1	0	0	1	UN	Temp Err	Resources temporarily available
1	1	1	1	IT	Temp Err	Temporary implementation dependent error
$\uparrow$						

First RRRR subfield bit delivered to / received from the MAC sublayer

Note) All other RRRR codes are reserved.

In response PDU with final bit set to 0, the RRRR shall be set to "NR".

# **4.3.4.5** LLC Description of the Procedures

# 4.3.4.5.1 Procedures for Addressing

The address field of the frame shall be used to indicate the link address of PDU.

## **4.3.4.5.1.1** Type 1 Procedures

Private link addressing shall be supported by the mobile station on uplink and by the base station in downlink. Private, multicast (group) and broadcast link addressing shall be supported in downlink.

## **4.3.4.5.1.2** Type 3 Procedures

Link addresses shall be private.

The mobile station shall be able to handle one pair of transmitting and receiving access points with private link address. The base station shall be able to handle one pair of transmitting and receiving access points with private link address, for each mobile station in the communication zone at one time.

#### 4.3.4.5.2 Procedures of the use of P/F bit

## **4.3.4.5.2.1** Type 1 Procedures

An UI command PDU shall only transmitted with P bit set to "0". IF a UI command PDU is received with the P bit set to "1", the LLC sublayer shall optionally discard it or pass it to the layer 7 with a flag identifying that the P bit was set to "1". Since a UI PDU shall not be transmitted as a response PDU, procedure regarding the use of the F bit does not apply.

### **4.3.4.5.2.2** Type 3 Procedures

The LLC sublayer shall set P bit in an ACn command PDU to "0" if the command PDU is not a request for the remote LLC to return an LSDU in its acknowledgment. Thus the P bit is set to "0" when data is to be passed only from the transmitting station to receiving station.

LLC shall set P bit in an ACn command PDU to "1" if the command PDU is a request for the remote LLC to return an LSDU in its acknowledgment. Setting the P bit to "1" allows data to be passed in both directions. However, if it is desired to data pass only from the responding LLC to the transmitting LLC, a null information field may be placed in the command PDU.

When transmitting an ACn response PDU, the LLC sublayer sets the F bit equal to the P bit in the received ACn command PDU and includes a non-null LSDU subfield only if F bit is a "1".

## 4.3.4.5.3 Procedures for Link Set-up

The transmit sequence state variable V (SI), receive sequence state variable V (RI) and

reception status state variable V (RB) shall be generated and deleted together with the generation and deletion of corresponding SAP.

The transmit sequence state variable V (SI) shall be set to a "0" at the time of the generation.

Note) No sequence number resynchronization is defined since it is assumed that status variables are not deleted (due to power down, reset or the like) in one communication zone.

#### 4.3.4.5.4 Procedures for Information Transfer

## **4.3.4.5.4.1** Type 1 Procedures

Information transfer shall be accomplished by transmitting the UI command PDU with the P bit set to "0". If the P bit is set to "0", the transmitting UI PDUs or as response PDUs is prohibited. (It shall be possible to transmit the UI command at any time.) The C/R bits in the MAC control field is used to identify that command is contained in PDU.

Reception of the UI command PDU shall not be acknowledged by the layer 2 LLC procedures.

Note) Since the reception of an UI command PDU is not acknowledged by the layer 2 LLC procedures, the UI command PDU may be lost if the LLC exception occurs during the transmitting of the command PDU.

# **4.3.4.5.4.2** Type 3 Procedures

### 4.3.4.5.4.2.1 Transmission of ACn commands

Information transfer from an initiating LLC to a responding PDU shall be accomplished by transmitting of an ACn command. It shall be possible to transmit such a command PDU at any time, to any receiving LLC provided the transmitting LLC is not currently awaiting an ACn response PDU from that LLC for the same local service access points.

Upon being passed a DL-DATA-ACK.request primitive from the layer 7, the LLC shall transmit an ACn command PDU containing the specified LSDU with the P bit in the ACn command PDU set to "0". Upon being passed a DL-REPLAY.request primitive from the layer 7, the LLC shall transmit an ACn command PDU containing the specified LSDU with the P bit in the ACn command PDU set to "1".

When an ACn command PDU is constructed the value of V (SI) shall be used to select the LLC control field code of the PDU. When V (SI) is "0", the code of the LLC control field code shall be AC0, and when V (SI) is "1", the LLC control field code shall be AC1.

When the LLC transmits a command PDUs, it shall start an acknowledgment timer for the

transmission and increment an internal transmissions count variable. If no ACn response PDU is received before the acknowledgment timer expires, the transmitting LLC shall retransmit the ACn command, increment the internal transmission count variable, and reset and restart the acknowledgment timer.

If an ACn response PDU is not still received, the retransmitting procedures shall be repeated until the value of the internal transmission count variable is found to equal the value of the logical link parameter N11 (see 4.3.4.5.5.3), at which time an unsuccessful status shall be reported to the layer 7.

The acknowledgment timer and internal transmission count variable shall be maintained separately for each Type 3 information exchange between a pair of transmitting and receiving LLCs.

Type 3 information exchange shall not interfere with any Type 1 operation.

The maximum value for the acknowledgment timer is N13.

The maximum value for the internal transmission count variable is N11.

## 4.3.4.5.4.2.2 Receiving ACn Commands

Upon receipt of an ACn command, the LLC shall compare the V (SI) received status variable with the bit eight of the LLC control field of the received PDU ("0" in AC0, "1" in AC1) from that SAP.

If the comparison shows equality, the received PDU is recognized to be a non-duplicate, otherwise, the received PDU is recognized to be a duplicate of most recently received ACn command PDU.

# 4.3.4.5.4.2.2.1 Non-duplicate ACn Command

IF the received LPDU is valid, not null, the P bit is "0", the LSDU shall be passed to the layer 7 in a DL-DATA-ACK.indication primitive.

IF the P bit is "1" and the requested reply LSDU can be accessed, the DL-REPLY.indication primitive shall be passed to the layer 7. If the LSDU is non-null, it shall be passed in the indication primitive.

If the P bit is "1", the requested reply LSDU can not be accessed and the received LSDU was non null, the received LSDU shall be passed to the layer 7 in the DL-DATA-ACK.indication primitive.

The state variable V (RI) of the SAP associated with the received command PDU shall be set

equal to the complement of the bit eight of the LLC control field in the received PDU.

The state variable V (RB) of the received command PDU shall be set to indicate the success or failure of the reception of the LSDU (if non-null) in the received PDU.

LLC shall acknowledge the receipt of a non-duplicate ACn command PDU by transmitting to the originator of an ACn response PDU having the bit eight of the LLC control field set to the (new) value of V (RI).

If the P bit in the received command PDU is "0", the response PDU shall be transmitted with the F bit set to "0" and with only a status subfield in the information field.

If the P bit of the received command PDU is "1", the response PDU shall be transmitted with the F bit set to "1", and with the information field including the LSDU previously associated with the SAP, if it was available.

# 4.3.4.5.4.2.2.2 Duplicated ACn Command

The LLC procedures for reception of a duplicate ACn command PDU are the same as those for the non-duplicate PDU with the following exceptions.

The V (RI) and V (RB) status variable are not affected by reception of a duplicate command PDU.

The DL-DATA-ACK.indication primitive is not issued, regardless of the P bit in the command PDU.

If an LSDU is received in a command PDU, it is discarded.

## 4.3.4.5.4.3 Receiving ACn response

AC0 response PDU shall be transmitted only upon the reception of an AC1 command. ACn response PDU shall be transmitted only upon the reception of an AC0 command. The response shall be transmitted to the transmitter of the associated command PDU.

The status subfield in the response PDU shall indicate whether or not resources were available to successfully receive the information field in the associated command PDU and, in the case of the F bit equal to "1", whether or not an LSDU was available for return in the response PDU.

The status code in the CCCC portion of the status subfield of an ACn response PDU is set according to the reception status stored previously in the appropriate V (RB) state variable.

## 4.3.4.5.4.4 Receiving Acknowledgment

After transmitting an ACn command PDU to some remote LLC, the transmitting LLC shall expect to receive an acknowledgment in the form of ACn PDU from the LLC to which the command PDU was transmitted.

An AC0 command shall receive AC1 acknowledgment and vice versa.

Upon receiving such a response PDU, the LLC shall compare bit eight of the LLC control field code in the response PDU with the current value of transmit sequence state variable V (SI).

If comparison shows inequality, the response is considered valid and the LLC shall stop the acknowledgment timer associated with the transmission for which the acknowledgment was received, and reset the internal transmission count to "0". The V (SI) state variable shall be complemented.

The LLC shall pass a DL-DATA-ACK.indication primitive or a DL-REPLAY.indication primitive to the layer 7, depending on which request primitive is being confirmed. In the case that response data was returned in the ACn response PDU, the LSDU shall be passed to the layer 7.

The LLC shall pass the status to the layer 7 based on the status subfield in the response PDU. IF the comparison of the bit eight of the code of the LLC control field in the response PDU with the current value of transmit sequence state variable V (SI) shows equality, the ACn response PDU shall be considered invalid. The LLC shall take no further action, and shall continue to expect to receive a valid ACn response PDU. The acknowledgment timer (maximum value is N13) shall not be affected.

# 4.3.4.5.5 List of logical Data Link Parameters

# 4.3.4.5.5.1 Maximum Number of Octets in a PDU (N10)

N10 is a logical link parameter that denotes the maximum number of octets in a PDU.

## 4.3.4.5.5.2 Minimum Number of Octets in a PDU

A minimum length of a valid command PDU shall contain the control field. Thus, the minimum number of octets in a valid command PDU shall be 1.

The minimum length of a valid response PDU shall contain the control field and a status subfield in that order. Thus, the minimum number of octets in a valid response PDU shall be 2.

## 4.3.4.5.5.3 Maximum Number of Transmission (N11)

N11 is a logical link parameter that indicates the maximum number of times of ACn command PDU transmitted by LLC trying to accomplish successful information exchange. Normally, N11 is set large enough to overcome the loss of a PDU due to link error condition. The value of N11 may be set to 1 so that LLC does not itself requeue a PDU to the MAC sublayer, but retransmission may be initiated by the layer 7.

## 4.3.4.5.5.4 Acknowledgment Time, N13

The acknowledgment time is a logical link parameter that determines the period of the acknowledgment timers, and as such shall define the time interval during which the LLC shall expect to receive an ACn response PDU from specific a LLC from which the LLC is awaiting a response PDU. The acknowledgment time shall take into account any delay-introduced time by the MAC sublayer and whether the timer is started at the beginning or the end of the transmission of ACn command PDU by the LLC. The proper operation of the procedures shall require that the acknowledgment time shall be greater than the normal time between the transmission of an ACn command PDU and the reception of the corresponding ACn response PDU.

The specific MAC defines the unit of acknowledgment time. The value may be different for the base station and a mobile station, and therefore two parameters values are defined N13FE for a base station and N13ME for a mobile station are defined.

### 4.3.4.5.6 Precise description of procedures

This subclause contains a precise description of the LLC procedures.

The LLC operations is described using following three types of components:

## (1) Type 1 Component

This component is responsible for transmitting a Type 1 commands upon request of the layer 7 and for processing Type 1 commands as they are received from the MAC sublayer and putting it forward to the layer 7.

## (2) Type 3 receiver Component

This component is responsible for processing Type 3 commands as they are received from the MAC sublayer, and returning Type 3 responses to the originators of the commands.

At the base station LLC is separate Type 3 Receiver Component for each private link address (for each mobile station in the communication zone).

At mobile station LLCs there is only one Type 3 Receiver Component at one time.

## (3) Type 3 Sender Component

This component is responsible for transmitting Type 3 commands upon request of the layer 7, and for the processing of Type 3 responses when they are received from the MAC sublayer. At the base station LLC is potentially a separate Type 3 Sender Component for each private link address (for each mobile station in the communication zone).

At mobile station LLCs there is only one Type 3 Sender Component at one time.

The operation of the component is described using a state machine description.

# 4.3.4.5.6.1 Type 1 Component

The Type 1 component handles all LLC Type 1 PDU traffic for particular link address in the LLC. An UI PDU is transmitted to one or more (downlink only) remote SAPs in response to a user request, to transmit a service data unit. The Type 1 Component shall process Type 1 LPDUs addressed for a particular link address. Table 4.3.4.5.6.1 is showing the Type 1 Component state transition table.

Table 4.3.4.5.6.1 Type 1 Component State Transition Table

Current status	Event	Action(s)	Next state
Activated status	RECEIVE_UI	UNIDATA_	READY
		indication()	
	UNIDATA_REQUEST	TRANSMIT_UI	READY

## **4.3.4.5.6.1.1 State Description**

## (1) READY

This is the only state. SAP is capable of receiving and transmitting Type 1 command PDU.

## **4.3.4.5.6.1.2** Event Description

## (1) RECEIVE\_UI

The MAC sublayer has passed to LLC an MA-UNITDATA.indication primitive including UI command PDU.

### (2) UNITDATA\_REQUEST

The layer 7 has passed a DL-UNITDATA.request primitive to the LLC.

## **4.3.4.5.6.1.3** Action Description

## (1) UNITDATA\_INDICATION

Pass to the layer 7 a DL-UNITDATA.indication primitive containing an LSDU equal to the information field from the associated received command PDU.

# (2) TRANSMIT\_UI

Transmit an MA-UNITDATA.request primitive including UI command PDU to the MAC sublayer.

## 4.3.4.5.6.2 Type 3 Receiver Component Overview

The Type 3 Receiver Component is responsible for receiving ACn commands from the remote LLCs and returning the appropriate ACn response. There is one Type 3 Receiver Component for each private link address associated with received Type 3 command PDUs and this component has only one state. All state information is contained in the state variables. All operations at the responding LLC necessary for the handling of a single transaction are terminated at one time interval. Each Receiver Component uses its own V (RI) state variable and V (RB) state variable when checking for a duplicate command PDU and when checking of the status of a previous reception.

At a mobile station there shall be one receiver component communicating with Type 3 operation.

At a base station there shall be as many receiver components as mobile stations communicating with Type 3 operation in the communication zone.

Table 4.3.4.5.6.2 is showing Type 3 Receiver Component state transition table.

# **4.3.4.5.6.2.1 State Description**

#### (1) READY

LLC is capable of receiving and acknowledging Type 3 PDUs.

## 4.3.4.5.6.2.2 Functions description

The following function return values are used both for qualifying and for supplying values used in action.

#### (1) RECEIVE STATUS ()

Returns an indication of the success or failure of processing of the information field of the received command PDU. (It is assumed, however, that the LLC header was successfully

received any time a DL-UNITDATA.indication primitive is passed to the LLC.) The possible returned values are:

- OK Information field successfully processed.
- UN Resources temporarily unavailable for information field.
- RS Reception of information is unimplemented or inactivated.
- UE Hardware failure prevents information passage to user
- IT Temporary implementation dependent error.
- IP Permanent implementation dependent error

# (2) ACCESS ()

Return an indication of whether or not an LSDU associated with remote LLC specified in received command PDU is available for inclusion in a response PDU. The possible returned values are as follows.

- OK LSDU exists and it can be accessed quickly enough to include it in the response PDU.
- UN Resources temporarily unavailable to access the LSDU.
- RS The return of LSDU is unimplemented or inactivated.
- NE Response LSDU was never submitted by user.
- UE Hardware failure prevent information passage from user
- IT Temporary implementation dependent error.
- IP Permanent implementation dependent error.

### **4.3.4.5.6.2.3** Event Description

## (1) REPLY-UPDATE REQUEST

The layer 7 has passed a DL-REPLY-UPDATE.request primitive to LLC.

## (2) RECEIVE ACn CMD (SQC, P, INFO)

The MAC sublayer has passed to LLC an MA-UNITDATA.indication primitive including AC0 or AC1 command PDU, where the command sequence bit SQC (bit eight of LLC control field) is "0" for AC0 command or "1" for AC1 command. The following parameter values exists for this action:

SQC=V (RI)	Either the command sequence bit is equal to the V (RI) state
	variable for this Receiver Component, or that a state variable does
	not exist.
COC II (DI)	

SQC<>V (RI) There exists V (RI) state variable for this Receiver Component and the command sequence bit is not equal to this state variable.

P=0 The P bit is "0" in the command.

P=1 The P bit is "1" in the command.

INFO = NULL The information field of the command is null (of zero length).

INFO <> NULL The information field of the command is not null.

In the state transition table, some of the events are qualified by the following conditions. The event is recognized only when the condition is true.

# (3) RECEIVE\_STATUS () = OK

The information field in the received command PDU was successfully received or can be passed to the user.

# (4) RECEIVE\_STATUS () <> OK

The information field in the received command PDU was not successfully received or cannot be passed to the user.

# (5) ACCESS () = OK

A response LSDU associated with the SAP does exist and it can be accessed quickly enough to include it in the response PDU.

## (6) ACCESS <> OK

Either a response LSDU associated with the SAP does not exist or the LSDU does exist but it cannot be accessed quickly enough to include it in the response PDU.

Table 4.3.4.5.6.2 Type 3 Receiver Component Status Transition Table

	1 able 4.5.4.5.0.2 Type 3 Receive	r Component Status Transition Table	
Current State	Event	Action(s)	Next State
READY	REPLY_UPDATE_REQUEST	SAVE:=GIVEN_LSDU REPLY_UPDATE_STATUS_INDICATI ON	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=0,INFO<>NULL) and RECEIVE_STATUS()=OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=0,C=OK,R=NR,LSDU=NULL) DATA_ACK_INDICATION V(RI):=1-SQC V(RB):=OK	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=0,INFO=NULL) and RECEIVE_STATUS()=OK	TRANSMIT_ACn_RSP(SQR=1- SQC,F=0,C=OK,R=NR,LSDU=NULL) V(RI):=1-SQC V(RB):=OK	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=0) and RECEIVE_STATUS()<>OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=0,C=RECEIVE_STATUS(),R=NR,LSDU=NULL) V(RI):=1-SQC V(RB):=RECEIVE_STATUS()	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=1) and RECEIVE_STATUS()=OK and ACCESS()=OK	TRANSMIT_ACn_RSP(SQR=1- SQC,F=1,C=OK,R=OK,LSDU=SAVE) REPLY_INDICATION(LSDU=INFO) V(RI):=1-SQC V(RB):=OK	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=1) and RECEIVE_STATUS()<>OK and ACCESS()=OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=1,C=RECEIVE_STATUS(),R=OK,LSDU=SAVE) REPLY_INDICATION(LSDU=NULL) V(RI):=1-SQC V(RB):=RECEIVE_STATUS()	READY
	RECEIVE_ACn_CMD(SQC=V(RI) ,P=1,INFO<>NULL) and RECEIVE_STATUS()=OK and ACCESS()<>OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=1,C=OK,R=ACCESS(),LSDU=NULL) DATA_ACK_INDICATIONV(RI):=1-SQC V(RB):=OK	READY
	RECEIVE_ACn_CMD(SQC=V(RI) ,P=1,INFO=NULL) and RECEIVE_STATUS()=OK and ACCESS()<>OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=1,C=OK,R=ACCESS(),LSDU=NULL) V(RI):=1-SQC V(RB):=OK	READY
	RECEIVE_ACn_CMD(SQC=V(RI),P=1) and RECEIVE_STATUS()<>OK and ACCESS()<>OK	TRANSMIT_ACn_RSP(SQR=1-SQC,F=1,C=RECEIVE_STATUS(),R=ACESS(),LSDU=NULL) V(RI):=1-SQCV(RB):=RECEIVE_STATUS()	READY
	RECEIVE_ACn_CMD(SQC<>V(R I),P=0)	TRANSMIT_ACn_RSP(SQR=1-SQC,F=0,C=V(RB),R=NR,LSDU=NULL)	READY
	RECEIVE_ACn_CMD(SQC<>V(R I),P=1) and ACCESS()=OK	TRANSMIT_ACn_RSP(SQR=1- SQC,F=1,C=V(RB),R=NR,LSDU=SAVE) REPLY_INDICATION(LSDU=NULL)	READY
	RECEIVE_ACn_CMD(SQC<>V(R I),P=1) and ACCESS()<>OK	TRANSMIT_ACn_RSP(SQR=1- SQC,F=1,C=V(RB),R=ACCESS(),LSDU =NULL)	READY

## **4.3.4.5.6.2.4** Action Description

## (1) SAVE: =GIVEN\_LSDU

The LSDU is given in the associated DL-REPLY-UPDATE.request primitive held in readiness for transmission by being placed in the abstract location, SAVE. The SAVE location used is specifically associated with the SAP given in the primitive and the new LSDU replaces any previously held for that SAP.

## (2) TRANSMIT\_ACn\_RSP (SQR, F, C, R, LSDU)

Pass an MA-UNITDATA.request primitive to the MAC sublayer including an AC0 or AC1 response PDU. The following parameter values exists for this action:

SQR=1-SQC	The response sequence bit (bit eight of LLC control field code) is
	set to the complement of the sequence bit from the received

command.

F=0 The F bit of the response is set to "0". F=1 The F bit of the response is set to "1".

C=OK The CCCC portion of the status subfield is set to OK code

(successful reception).

C=RECEIVE\_STATUS()

The CCCC portion of the status subfield is set to the value returned

by the RECEIVE\_STATUS function.

C=V (RB) The CCCC portion of the status subfield is set equal to the V (RB)

state variable associated with the link address of the received

command PDU.

R=NR The RRRR portion of the status subfield is set to NR code.

(Response data not requested.)

R=OK The RRRR portion of the status subfield is set to OK code.

(Response data included.)

R=ACCESS () The RRRR portion of the status subfield is set to the value returned

from the ACCESS function.

LSDU=NULL The LSDU subfield of the response is null (having zero length).

LSDU=SAVE The LSDU subfield of the response contains the LSDU held in

readiness the SAVE location for this SAP.

*Note)* at the base station, the medium for the response of the mobile station shall be assigned immediate upon connection to the ACn command.

### (3) DATA ACK INDICATION

Pass the layer 7 a DL-DATA-ACK.indication primitive including an LSDU equal to the information field of associated received command PDU.

## (4) REPLY INDICATION (LSDU)

Pass to the layer 7 a DL-REPLY.indication primitive. The following parameter values exists for this action:

LSDU=INFO The user is passed an LSDU equal to the information field from

associated received command PDU. (This field may be null.)

LSDU=NULL The user is passed a null LSDU.

# (5) REPLY\_UPDATE\_STATUS\_INDICATION

Pass the layer 7 a DL-REPLY-UPDATE-STATUS.indication primitive.

# (6) V(RI): =1-SQC

The V (RI) state variable for this Receiver Component is set to the complement of the sequence bit (bit eight of LLC control field code) in the received command PDU.

## (7) V (RB) := OK

The V (RB) state variable for this Receiver Component is set to the "OK" code (successful reception).

## (8) V: =RECEIVE\_STATUS ()

The V (RB) state variable for this Receiver Component is set to the value returned by the RECEIVE STATUS function.

### 4.3.4.5.6.3 Type 3 Sender Component

The Type 3 Sender Component is responsible for transmitting ACn command PDUs to a remote LLC. The Sender Component also receives response PDUs and retransmits the command PDUs if no response is received. Type 3 protocol allows one outstanding (not yet acknowledged) command PDU for each private SAP. Each Transmitter uses its own V (SI) state variable when selecting the LLC control field code for a new transmission and when checking for valid response LLC control field code.

There shall be one Sender Component at each mobile station, communicating with Type 3 operation.

There shall be as many receiver components as mobile station, communicating with Type 3 operation, in the communication zone.

Each Sender Component has three states. In the IDLE state, it is capable of processing the request from the layer 7 to transmit a new command PDU. In the WAIT\_A and WAIT\_R

states, the component is only capable of receiving a response from the remote LLC, or of timing out and performing a retransmission. The WAIT\_A state is used when the expected response is data less acknowledgment. The WAIT\_R state is used when the expected response is a data bearing reply.

Table 4.3.4.5.6.3 shows Type 3 Sender Component state transition table.

 Table 4.3.4.5.6.3 Type 3 Sender Component State Transition Table

Current State	Event	Action(s)	Next State
IDLE	RECEIVE_ACn_RSP	(No action)	IDLE
	DATA_ACK_REQUEST	TRANSMIT_ACn_CMD(SQC=V(SI), P=0) START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+ 1	WAIT_A
	REPLY_REQUEST	TRANSMIT_ACn_CMD(SQC=V(SI), P=1) START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+ 1	WAIT_R
WAIT_A	RECEIVE_ACn_RSP(SQR<>V(SI),L SDU=NULL)	DATA_ACK_STATUS_INDICATION (STATUS=STATUS_SUBFIELD) CANCEL_ACK_TIMER RETRY_COUNT:=0 V(SI):=1-V(SI)	IDLE
	RECEIVE_ACn_RSP(SQR<>V(SI),L SDU<>NULL)	DATA_ACK_STATUS_INDICATION (STATUS=PE) CANCEL_ACK_TIMER RETRY_COUNT:=0 V(SI):=1-V(SI) REPORT_STATUS(ILLEGAL_LSDU)	IDLE
	RECEIVE_ACn_RSP(SQR=V(SI))	(No action)	WAIT_A
	ACK_TIMER_EXPIRED and RETRY_COUNT <n11< td=""><td>RETRANSMIT_OLD_CMD START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+</td><td>WAIT_A</td></n11<>	RETRANSMIT_OLD_CMD START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+	WAIT_A
	ACK_TIMER_EXPIRED and RETRY_COUNT>=N11	DATA_ACK_STATUS_INDICATION (STATUS=UNSUCCESFUL) RETRY_COUNT:=0	IDLE
WAIT_A	RECEIVE_ACn_RSP(SQR<>V(SI),R =OK)	REPLY_STATUS_INDICATION(STA TUS=STATUS_SUBFIELD,LSDU=GI VEN_LSDU) CANCEL_ACK_TIMER RETRY_COUNT:=0 V(SI):=1-V(SI)	IDLE
	RECEIVE_ACn_RSP(SQR<>V(SI),R <>OK)	REPLY_STATUS_INDICATION(STA TUS=STATUS_SUBFIELD,LSDU=N ULL) CANCEL_ACK_TIMER RETRY_COUNT:=0 V(SI):=1-V(SI)	IDLE
WAIT_R	RECEIVE_ACn_RSP(SQR=V(SI))	(No action)	WAIT_R
	ACK_TIMER_EXPIRED and RETRY_COUNT <n11< td=""><td>RETRANSMIT_OLD_CMD START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+ 1</td><td>WAIT_R</td></n11<>	RETRANSMIT_OLD_CMD START_ACK_TIMER RETRY_COUNT:=RETRY_COUNT+ 1	WAIT_R
	ACK_TIMER_EXPIRED and RETRY_COUNT>=N11	REPLY_STATUS_INDICATION(STA TUS=UNSUCCESSFUL,LSDU=NULL ) RETRY_COUNT:=0	IDLE

## **4.3.4.5.6.3.1** State Description

### (1) IDLE

In this state, LLC is capable of executing a request from the layer 7 to transmit a Type 3 command PDU.

## (2) WAIT\_A

In this state, LLC is waiting for an acknowledgment previously transmitted Type 3 command PDU that was invoked by a DL-DATA-ACK.request primitive.

## (3) WAIT\_R

In this state, LLC is waiting for an acknowledgment previously transmitted Type 3 command PDU that was invoked by a DL-REPLY.request primitive.

## **4.3.4.5.6.3.2** Event description

## (1) DATA\_ACK-REQUEST

The layer 7 has passed a DL-DATA-ACK.request primitive to the LLC.

## (2) REPLY\_REQUEST

The layer 7 has passed a DL-REPLY request primitive to the LLC.

### (3) RECEIVE ACn RSP (SQR, R, LSDU):

The MAC sublayer has passed to LLC an MA-UNITDATA.indication primitive including the AC0 or AC1 response of which contains PDU, where the response sequence bit SQR (bit eight of the LLC control field) is 0 for an AC0 response or 1 for an AC1 response. The following parameter values exist for this action.

SQR=V (SI)	The response sequence bit is equal to the V (SI) status variable for
	this Sender Component.

SQR<>V (SI) The response sequence bit is not equal to the V (SI) status variable

for this Sender Component.

R=OK The RRRR portion of the status subfield of the received response

PDU shows OK status. (Indicating that LSDU is included.)

R<>OK The RRRR portion of the status subfield of the received response

PDU shows a status other than OK. (Indicating that an LSDU is

not included.)

LSDU=NULL The LSDU subfield of the response is null (having zero length).

LSDU<>NULL The LSDU subfield of the response is not null

## (4) ACK TIMER EXPIRED

The acknowledgment timer associated with this Sender Component (i.e., timer for a specific

private link address) has terminated.

In the state transmission table, some events are qualified by the following conditions. The event is recognized only when the condition is true.

## (5) RETRY\_COUNT<N11

The retry count for this Sender Component is less than the logical link parameter N11.

## (6) RETRY\_COUNT>=N11

The retry counts for this Sender Component is greater than or equal to the logical link parameter N11.

## **4.3.4.5.6.3.3** Action description

# (1) TRANSMIT\_ACn\_CMD (SQC, P)

Pass an MA-UNITDATA request primitive including an AC0 or AC1 command PDU to the MAC sublayer. The following parameter values exist for this action.

SQC=V (SI)	The command sequence bit (8th bit of LLC control field) is made
	equal to the status variable V (SI) for this Receiver Component.
	If no status variable V (SI) exists, it is generated by value "0".
	Otherwise, the current value is used.
P=0	The P bit of the response is set to "0".
P=1	The P bit of the response is set to "1".

## (2) RETRANSMIT\_OLD\_CMD

Pass an MA-DATA.request primitive including the ACn command PDU most recently transmitted by this Sender Component to the MAC sublayer.

## (3) START\_ACK\_TIMER

Start the acknowledgment timer for this Sender Component.

## (4) CANCEL\_ACK\_TIMER

Cancel the acknowledgment timer for this Sender Component.

## (5) DATA ACK STATUS INDICATION (STATUS)

Pass to the layer 7 a DL\_DATA\_ACK\_STATUS.indication primitive. The following parameter values exist for this action.

A DL-DATA-ACK-STATUS indication primitive is passed to the more significant layer. The parameter values for its execution are as follows.

STATUS=UNSUCCESSFUL This status parameter is set to indicate

failure receive an acknowledgment.

STATUS=STATUS\_SUBFIELD This status parameter is set according to the

status returned by the received response

PDU.

## (6) REPLY\_STATUS\_INDICATION (STATUS, LSDU)

Pass to the layer 7 a DL-REPLY-STATUS.indication primitive. The following parameter values exist for this action.

STATUS=UNSUCCESSFUL This status parameter is set to indicate

failure to receive an acknowledgment. The status parameter is set according to the status returned in the received response

PDU.

STATUS=PE This status parameter is set at PE status

(protocol error).

LSDU=NULL The data parameter is null.

LSDU=GIVEN\_LSDU The data parameter contains the LSDU given

in the associated MA-DATA.indication

primitive.

(7) V (SI) := 1-V (SI)

Complement of V (SI) state variable for this Sender Component

## (8) RETRY\_COUNT: =0

Set the retry counter for this Sender Component to "0".

# (9) RETRY\_COUNT: =RETRY\_COUNT+1

Increment the retry counter for this Sender Component.

## (10) REPORT\_STATUS (ILLEGAL\_LSDU)

Report to layer management that an LSDU was received in violation of the Type3 LLC protocol.

## 4.4 the layer 7 standards

## **4.4.1 Scope**

This subclause specifies the architecture and service items. Furthermore, considering the layer 7 structure, the application service elements that are constructed by the application protocol data unit (APDU), the application service data unit (ASDU) and operations relating to the ASDU are also specified. The operation specified regarding the ASDU is performed by the invocation from service primitives (SP).

Services for applications provided by the layer 7 have a wide range, from simple service to complex, and are able to perform the process simultaneously for multiple applications by selecting necessary elements.

### 4.4.1 Outline of services

The purpose of the layer 7 is to provide communication tools for the application whilst the scope of the application oriented working groups is to build the application using the tools provided by the layer 7. These tools consist of elements that can be used by application processes.

The following subjects are covered by this standard:

- (1) The layer 7 structure and framework.
- (2) Services to enable data transfer and remote operations.
- (3) Application multiplexing procedure.
- (4) Concatenation procedure.
- (5) Common encoding rules to translate data in local syntax with an abstract syntax defined by ASN.1 (Absract Syntax Notation One) into transfer syntax and vice versa.
- (6) Communication negotiation and association (initialisation) procedures.
- (7) Broadcast service support.
- (8) Facilities for association control.
- (9) Communication system management support including communication profile handling.

### **4.4.1.2 Structure**

The layer 7 shall consist of the layer 7 Kernel Element(s) (KE(s)). The layer 7 may consist of additional Application Service Elements. The services are provided service users by means of service primitives. These services are realized by means of protocols.

The fig. 4.4.1.2.1 shows the layer 7 structure for the basic data transfer service. This kernel element for the data transfer service is the transfer kernel element (T-KE). The layer 7 also

shall composed of the initialization kernel element (I-KE) for the initialization (association) control and the broadcast pool kernel element (B-KE) for the broadcast services.

The fig. 4.4.1.2.2 shows the entire layer 7 structure that is constructed by 3-kernel element.

The layer 7 kernel provides the minimum set of services realized by the kernel elements needed to support several applications in parallel. This requires the means for dialogue initialization (association) control, offered by the Initialization KE (I-KE), cyclic broadcast transmission, offered by the Broadcast pool KE (B-KE), and generic transfer of data structures, offered by the Transfer-KE (T-KE). To permit simultaneous independent access to these elements from the user elements, the requirements on elements that may access the layer 7 kernel are defined. The layer 7-kernel elements always exist as single instances and are allocated outside the application processes, whereas several instances of these elements that access the kernel may exist in the application processes.

The outline of function of each KE is described below.

## (1) Transfer Kernel Element (T-KE)

T-KE shall tarnsfer information between two peer service users and shall abstract from the realization of this transfer. The T-KE is responsible for the transfer of an APDU to the peer entity. It includes the needed, but extremely reduced, functionality from the Network Layer (the layer 3) to the Presentation Layer (the layer 6). These are (de-) coding to transfer syntax, and (de-) Multiplexing with priority handling.

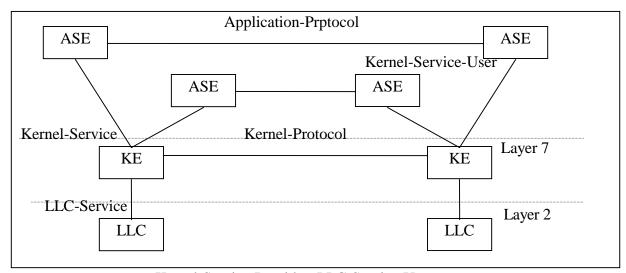
### (2) Initialization Kernel Element (I-KE)

The I-KE is responsible for the association (initialization) of the communication on the level of the layer 7.

# (3) Broadcast Kernel Element (B-KE)

The B-KE is responsible for the collection, broadcast and retrieval of data for multiple applications and/or multiple mobile stations.

The KE of the layer 7 shall consist of at least two Kernel Elements, the T-KE, and the I-KE or the B-KE. The Kernel may consist in addition of a B-KE or an I-KE.



Kernel-Service-Provider=LLC-Service-User

Figure 4.4.1.2.1 The layer 7 Structure (data transfer service)

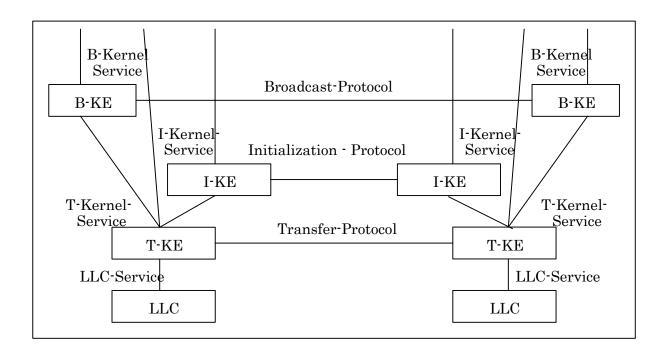


Figure 4.4.1.2.2 the layer 7 kernel Structure

## **4.4.1.3 Definition of Function**

The functionalities, architectures and terminologies of the layer 7 are defined in this subclause.

## 4.4.1.3.1 Application Entity

The aspect of an application process pertinent to this communication system.

## 4.4.1.3.2 Layer 7

The layer 7 contains all functions that imply communication between a base station and a mobile station not already performed by lower layers (layer 1 and 2). These include functions performed by programs as well as functions performed by human beings.

The layer 7 can be structured internally into functional elements. These are elements generally useful for a variety of applications and elements satisfying the particular needs of specific applications.

*Note) this standard only defines the characteristics of elements of the first group.* 

#### **4.4.1.3.3 Kernel Element**

Single instance element inside the kernel providing the elementary services to transfer data structures, independent from the application running on actual system. The KEs are the static components of the layer 7 and allocated outside of the application process domain.

## 4.4.1.3.4 Application Process

An element within a communication system which performs the information processes of a particular application.

# 4.4.1.3.5 Application Service Element

A part of an Application Entity. The layer 7 that provides a communication environment capability, using underlying LLC services where appropriate. The application service elements describe the encapsulation of data structures by offering a well defined set of service primitives, to manipulate the state of an application service element locally as remotely. The realization of an application service element is the only entity of the layer 7 communicating via the communication link. It uses for this purpose other application service elements or directly the LLC services. These application service elements are used by the user elements to define the dialogue between the mobile station and the base station in the desired way. A user element uses application service elements to implement a communication application.

## 4.4.1.3.6 Element

Application service element or user element, elements are abstractions of data processing and data communication resources. These elements represent the parts of the communication system building up or using the layer 7 functionalities. For the purpose of addressing inside one realization of a layer 7 (intra-system addressing) each element needs to have a distinguished name. If an element is a layer 7 kernel service user for the purpose of wide addressing (intersystem addressing) it needs to have an element identifier (EID). An element is an instance of an element class.

## 4.4.1.3.7 Entity

An active element within a system.

# **4.4.1.3.8** Layer Management (the layer 7 management entity)

The layer management is the part of the layer 7 that supports the communication system management. This management consists of providing the layer 7, the layer 2 or the layer 1 in both entities with values for the communication parameters and the collection and distribution of other information necessary for controlling the communication system.

#### 4.4.1.3.9 Service

Service is a facility provided to support the requirements of the layer 7, application service elements or user elements respectively with respect to communication. Services that are not related to communication and provided to the end user, referred to as applications, are outside the scope of this standard.

### 4.4.1.3.10 User Element

The representation of that part of the application process that uses those application service elements needed to accomplish the communication elements of the application process.

### 4.4.1.3.11 Data units in the data transfer services

Data units in the data transfer services in the layer 7 are defined as below.

## (1) Application Data Unit (ADU)

Data unit specified in an application and transferred between two application entities.

# (2) Application Protocol Data Unit (APDU)

Data Units exchanged between peer application service elements.

## (3) Application Service Data Unit (ASDU)

Data associated to a SP invocation of an application service element.

## (4) LLC Protocol Data Unit (LPDU)

Data Unit transmitted between two LLC protocol instances.

## (5) LLC Service Data Unit (LSDU)

Vertically transmitted data unit between the layer 7 and the LLC.

## 4.4.1.3.12 Service Primitive (SP)

An abstract implementation independent interaction between a service user and the service provider.

## 4.4.1.3.13 Service Provider

An application service element or a layer providing a special capability to application service elements or a user element by means of service primitives.

#### 4.4.1.3.14 Service User

An application service element or a user element that makes use of a service of a service provider.

### 4.4.1.3.15 Communication Functionalities

Communication Functionalities in the layer 7 are defined as below.

## (1) Beacon Service Table (BST)

On the base station side the I-KE collects application identifiers, initial data, and protocol layer parameter relevant for the communication. The application identifiers are stored in the BST as a priority list defining the order in which applications are served. The base station using data slot transmits the BST. The reception of the BST on the mobile station side is the initiator of each data transfer from applications on mobile station side. The mobile station's I-KE evaluates a received BST and indicates the availability (including parameter) of services to the corresponding APs and application service elements.

### (2) Broadcast Pool

File, cyclically broadcast from the base station to the mobile stations. Records may be independent by inserted from several service users.

## (3) Coding (also: Encoding)

A function performed by the transfer-service-provider that transfers the data from a special local syntax (related to the local hardware) into transfer syntax common for all communication systems (with the same applications on them). The peer transfer-service-provider decodes this data from transfer syntax into its own local syntax. The common abstract description of this data is the abstract syntax (defined by means ASN.1 [ISO 8824]). The common rules for the encoding and decoding are the Packed Encoding Rules, PER [ISO 8825]).

## (4) Concatenation

A function performed by the transfer kernel element (T-KE) to map multiple application protocol data units (APDUs) or APDU layer fragments into one Data link Layer (layer 2) Service Data Unit (LSDU).

### (5) Head of the line

Queuing discipline (also: strict priority queuing or fixed priority queuing), a number of queues are served in priority order, i.e. a lower priority queue is served if all higher priority queues are empty, each queue is served in First-Come-First-Served order, and each customer goes to the head of the line of the customers of lower priorities but behind all customers of equal or higher priority.

## (6) Multiplexing

A function within the Transfer-service-provider by which one LSAP is used to support more than one transfer-service-user.

### (7) Profile

Information about capabilities and/or settings in the different layers and/or application processes. A profile is identified by an INTEGER.

#### (8) Time

The initialisation kernel element (I-KE) shall interpret Time as the number of seconds up from the 1/1/1970 00:00.

### (9) Transfer

The Transfer represents the service offered by the T-KE

## (10) Vehicle Service Table (VST)

The VST is the answer of the I-KE inside the mobile station (vehicle) on the BST. It contains the identifiers of all application present in the BST and registered in the mobile station (vehicle) and the profile used for further communication.

## **4.4.1.3.16** Characteristics of Elements

Characteristics of Elements in the layer 7 are defined as below.

## (1) Action

An operation which should be performed by the receiving service user. Its semantic is defined as part of the element definition.

### (2) Attributes

Elements may have attributes. An attribute has an associated value, which can exhibit structure; i.e. it can consist of a set or sequence of sequence of data elements. The value of an attribute may be observable. The value of an attribute can determine or reflect the behavior of the element. The value of an attribute is observed or modified by sending a request to an element to read (GET) or write (SET) the value. Operations on attributes are defined to be performed upon the element that contains the attributes and not directly upon the attributes. The element is able to enforce constraints on attribute values to ensure internal consistency. The definition of an element can specify constraints between the values of individual attributes. The operations that can be performed on a particular attribute are specified in the definition of the element. The syntax of an attribute is an ASN.1 type that describes how attribute values are carried in protocol. This syntax is inherent to the attribute and remains constant for all uses of the attribute.

#### (3) Attribute identifier

An identifier used to distinguish an attribute of an element from all other attributes.

#### (4) Behavior

The way in which elements, attributes, notifications and actions interact with each other or with actual resources they model. It is part of the definition of an element.

The behavior can define as follows.

- (a) The semantics of the attributes, operations and notifications.
- (b) The response to operations being invoked on the element.
- (c) The circumstances under which notifications will be emitted.
- (d) Dependencies between values of particular attributes.
- (e) The effects of relationships on the participating elements.
- (f) Consistency constraints on attributes.
- (g) Preconditions that identify the conditions when operations and notifications can be assumed to have valid meaning.
- (h) Postconditions that identify the results of the processing of a operation or the emission of a notification.
- (i) Invariants that are in effect for the entire lifetime of the element and that describe

conditions that are true for operation of the element.

(j) Synchronization properties of the element.

## (5) Distinguished Name

The name of an element, which is unambiguous in each piece of equipment. The concept of the distinguished name is different to the element identifier.

#### (6) Element Class

A group of different application service elements or user elements which share the same definition but use different resources (e.g. memory locations) to represent their state and have different distinguished names and/or element identifiers. An element is an instance of an element class. (In principle each element can be seen as an Instantiation of an element class. If the observed element is the only Instantiation the element class definition is directly the element definition.)

### (7) Element Identifier

The registered name of the layer 7 kernel service user which is unambiguous in each piece of equipment and the same for the same element in each communication system. The EID is the data type used to represent and transfer this data.

## (8) Encapsulation

A relationship between an element and its attributes and behavior, which represents the property that attributes and behavior may be observed only through operations on the element or notifications emitted by it encapsulation ensures that the integrity of an element is preserved. This requires all operations to be performed by sending a message to the element. That is, the internal operation of an element is not visible outside the element unless attributes, operations, or notifications are defined to expose this information. The definition of the element specifies what operations can be performed and what consistency constraints are required to maintain the integrity of the element.

#### (9) Instantiation

The process of creating an element according to an element class definition. A distinguished name is used to name each element unambiguously. If the Element uses the services of the layer 7 kernel, an element identifier is used to identify each element unambiguously.

## (10) Notification

Elements may be defined to emit notifications when some internal or external event occurs. Notifications are specific to the elements that emit them. The notifications, and the information they contain, is part of the definition of the element class of which the element is an instance. Whether or not a notification results in a confirmed as opposed to unconfirmed event report is not a part of the definition of the element, but is determined by

communications, systems or policy requirements, including setting of event forwarding discriminators.

## (11) Operation

An operation is the means to access a functionality or information of an element or to change its state.

The execution of the operation is controlled by the element.

### (12) Parameter

A value of a type which has associated semantics where the value of the type may be carried in protocol.

## **4.4.2 Kernel Elements**

The functionalities of each kernel of the layer 7 are specified in detail.

## **4.4.2.1** Transfer-KE (Transfer-service-provider)

#### **4.4.2.1.1** Function

The T-KE shall transfer information between two service users by translating a defined service primitve(s) to T-APDU and shall provide its function.

### 4.4.2.1.2 Outline of Services

The T-KE shall offer its services by means of service primitives defined as follows.

- (1) **GET**
- (2) SET
- (3) ACTION
- (4) EVENT-REPORT
- (5) INITIALIZATION

## 4.4.1.2.3 Protocol

The transfer protocol shall consist of the following sequence of steps.

- (1) Translate SDU to PDU
- (2) Encoding of PDU
- (3) Octet alignment
- (4) Multiplexing, Concatenation, and Access to LLC

- (5) Demultiplexing and Deconcatenation
- (6) Removing of inserted bits
- (7) Decoding of PDU
- (8) Translate PDU to SDU and Distribution to addressee

The sequence of these functinalities is shown in fig. 4.4.2.1.

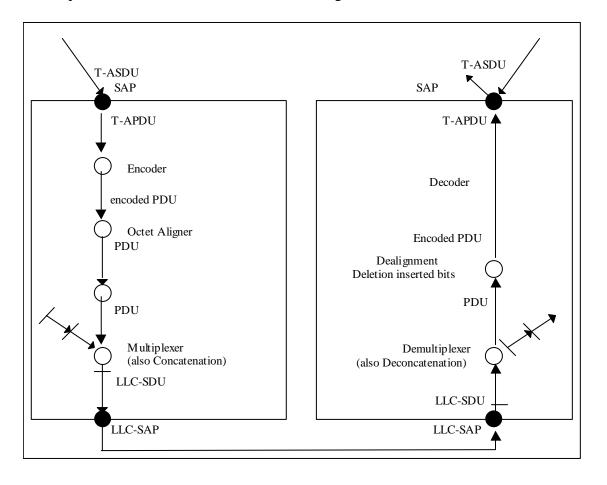


Figure 4.4.2.1 Functionalities of the T-KE

## 4.4.2.2 Initialisation-KE (Initialisation-service-provider: I-KE)

### 4.4.2.2.1 Function

The I-KE shall realize the initialisation of the communication between a mobile station and a base station by exchanging information concerning profiles or applications with its peer entity. It shall inform the applications inside the mobile station about the presence of a peer application inside the base station. It shall handle the LID of the mobile station.

#### 4.4.2.1.1 Outline of Services

The I-KE shall provide the following services to other elements (Initialisation-service-users) as follows.

- (1) RegisterApplicationBeacon:
- (2) RegisterApplicationVehicle
- (3) DeregisterApplicationBeacon
- (4) NotifyApplicationBeacon
- (5) NotifyApplicationVehicle
- (6) ReadyApplication

### 4.4.2.3 Broadcast-KE (Broadcast-service-provider: B-KE)

#### 4.4.2.3.1 Function

The B-KE shall realize the collection, broadcast and distribution of information for different applications in the mobile station and the base station by exchanging the Broadcast Pool.

## 4.4.2.3.2 Outline of Services

The B-KE shall provide the following services to other elements (Broadcast-service-users).

- (1) BroadcastData
- (2) GetBroadcastData

### 4.4.3 Layer 7 service interface

## 4.4.3.1 Scope

The communication between the layer 7 and service users (applications) using service primitives provided by the layer 7.

## 4.4.3.2 List of service primitives

The name of the primitive is specific to the operation that should be performed. A Service primitive defined in this standard is shown in Table. 4.4.4.1.

## 4.4.3.3 Relationship of primitives

In this standard, primitives are 4 generic types as follows. The relationship between the layer 7 and application entity and their associated peer protocol entities is shown in Fig. 4.4.3.3.

These service primitives are abstraction in that they specify only the service provided rather than means by which the service is provided. This definition of services is independent of any particular interface implementation.

# (1) request

The request primitive is passed from the application (kernel service user) to the layer 7 to request that a service be initiated.

### (2) indication

The indication primitive is passed from the layer 7 to the application (kernel service user) to indicate a service from the peer application (peer kernel service user).

## (3) response

The response primitive is passed from the application (kernel service user) to the layer 7 to response for a service from the peer application (peer kernel service user) invoked by an indication primitive.

## (4) confirm

The confirm primitive is passed from the layer 7 to the application (kernel service user) to convey the results of one or more associated previous service request(s).

Table. 4.4.3.1. Service primitives

Primitives	request	indication	response	confirm	Notice
GET	$\sqrt{}$		$\sqrt{}$		T-KE
SET			$\sqrt{}$		T-KE
ACTION	$\sqrt{}$	$\sqrt{}$	$\sqrt{}$		T-KE
EVENT-REPORT			$\sqrt{}$		T-KE
RegisterApplicationBeacon					I-KE
RegisterApplicationVehicle					I-KE
DeregisterApplication					I-KE
NotifyApplicationBeacon					I-KE
NotifyApplicationVehicle					I-KE
ReadyApplication					I-KE
BroadcastData					B-KE
GetBroadcastData					B-KE

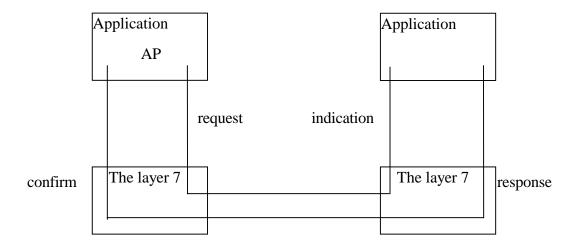


Fig. 4.4.3.3 Relationship between primitives and entities

### **4.4.3.4** Service specification

## **4.4.4.3.1 Get primitives**

## (1) Function and When Generated

The invocation of the GET service by an application (kernel service user) shall result in the retrieval of information from a peer application (kernel service user) on the base station / mobile station side. The service shall only be requested in a confirmed mode, and a reply is expected.

#### (2) Format

These primitives shall provide parameters as follows.

```
GET.request ([IID], LID, EID, [Access Credentials], [AttrIdList], Flow Control) GET.indication ([IID], LID, [Access Credentials], [AttrIdList], Flow Control) GET.response ([IID], LID, EID, Flow Control, [AttrList], [Ret]) GET.confirm ([IID], LID, [AttrList], [Ret])
```

*Note)* parameter "xx" within the [xx] is optional. This note shall apply to the following definitions.

## **4.4.3.4.2 SET primitives**

### (1) Function and When Generated

The invocation of the SET service by an application (kernel service user) shall result in the modification of information by a peer application (kernel service user) on the base station / the mobile station side. The service may be requested in a confirmed or non-confirmed mode. In the confirmed mode a reply is expected.

### (2) Format

These primitives shall provide parameters as follows.

```
SET.request ([IID], LID, EID, [Access Credentials], AttrList, Mode, Flow Control) SET.indication ([IID], LID, [Access Credentials], AttrList, Mode, Flow Control) SET.response ([IID], LID, EID, Flow Control, [Ret]) SET.confirm ([IID], LID, [Ret])
```

### 4.4.3.4.3 ACTION primitives

## (1) Function and When Generated

The invocation of the ACTION service by an application (kernel service user) shall result in the

performance of an action by a peer application (kernel service user) on the base station / the mobile station side. The service may be requested in a confirmed or non-confirmed mode. In the confirmed mode a reply is expected.

### (2) Format

These primitives shall provide parameters as follows.

```
ACTION.request ([IID], LID, EID, Action Type, [Access Credentials],

[Action Parameter], Mode, Flow Control)

ACTION.indication ([IID], LID, Action Type, [Access Credentials],

[Action Parameter], Mode, Flow Control)

ACTION.response ([IID], LID, EID, Flow Control, [Response Parameter], [Ret])

ACTION.confirm ([IID], LID, [Response Parameter], [Ret])
```

## **4.4.3.4.4 EVENT-REPORT primitives**

### (1) Function and When Generated

The invocation of the EVENT-REPORT service by an application (kernel service user) shall result in the report of an event about an element to a peer application (kernel service user) on the base station / the mobile station side. The service may be requested in a confirmed or non-confirmed mode. In the confirmed mode a reply is expected.

#### (2) Format

These primitives shall provide parameters as follows.

```
EVENT-REPORT.request ([IID], LID, EID, Event Type, [Access Credentials],

[Event Parameter], Mode, Flow Control)

EVENT-REPORT.indication ([IID], LID, Event Type, [Access Credentials],

[Event Parameter], Mode, Flow Control)

EVENT-REPORT.response ([IID], LID, EID, Flow Control, [Ret])

EVENT-REPORT.confirm ([IID], LID, [Ret])
```

## 4.4.3.4.5 RegisterApplicationBeacon primitive

## (1) Function and When Generated

The invocation of the RegisterApplicationBeacon service to by an application (kernel service user) on the base station side shall result in the notification of potential communication application about the presence of application (kernel service user) in the base station. Using this primitive, the application (kernel service user) is able to register the application at the layer 7 on the base station side.

### (2) Format

These primitives shall provide parameters as follows.

RegisterApplicationBeacon (AID, Mandatory, Priority, [EID], [Profiles], [Parameter])

## 4.4.3.4.6 RegisterApplicationVehicle primitive

#### (1) Function and When Generated

The invocation of the RegisterApplicationVehicle service by an application (kernel service user) on the mobile station side shall result in the notification of an application (kernel service user) on the mobile station side about the presence of a potential communication application. Using this primitive, the application (kernel service user) is able to register the application at the layer 7 on the mobile station side.

#### (2) Format

This primitive shall provide parameters as follows.

RegisterApplicationVehicle (AID, Priority, EID, [Profiles], [Parameter])

## 4.4.3.4.7 Deregister Application primitive

#### (1) Function and When Generated

The invocation of the Register Application service by an application (kernel service user) on the base station / the mobile station side shall result in the fact that potential communication application (s) are not longer notified about the presence of the application (kernel service user) or that the application (kernel service user) is not longer informed about the presence of a potential communication application. Using this primitive, the application (kernel service user) is able to deregister the application registered previously at the layer 7.

## (2) Format

This primitive shall provide parameters as follows.

DeregisterApplication (AID, [EID])

# 4.4.3.4.8 NotifyApplicationBeacon primitive

### (1) Function and When Generated

The invocation of the NotifyApplicationBeacon by the I-KE in the layer 7 shall result in the notification of the application (kernel service user) on the base station about the presence of a

potential communication application and the LID of the associated mobile station. Using this primitive, the layer 7 is able to notify to the application (kernel service user) that the application registered previously is available.

### (2) Format

This primitive shall have the following format.

NotifyApplicationBeacon (Priority, EID, LID, [Parameter], obeConfiguration)

## 4.4.3.4.9 NotifyApplicationVehicle primitive

## (1) Function and When Generated

The invocation of the NotifyApplicationVehicle by the I-KE in the layer 7 shall result in the notification of the application (kernel service user) on the mobile station side about the presence of a potential communication application and the LID generated by the mobile station. Using this primitive, the layer 7 is able to notify to the application (kernel service user) that the application registered previously is available.

### (2) Format

This primitive shall provide parameters as follows.

NotifyApplicationVehicle (Beacon, Priority, [EID], LID, [Parameter])

## 4.4.3.4.10 ReadyApplication primitive

### (1) Function and When Generated

The invocation of the ReadyApplication service by the application (kernel service user) shall result in the notification of the I-KE that the LID is not longer needed for this application. If all applications, which are notified, invoke the ReadyApplication service, the association between the mobile station and the base station will be released by means of the invocation of the EVENREPORT.request (release) service primitive. Using this primitive, the application (kernel service user) is able to notify to the layer 7 that the current executing application has been accomplished.

If the notified application is the last one registered at the layer 7, it shall release the association (link) between the mobile station and the base station.

#### (2) Format

This primitive shall have the following format.

ReadyApplication (EID, LID, [Norm end])

## 4.4.3.4.11BroadcastData primitive

## (1) Function and When Generated

The invocation of the BroadcastData service by the application (kernel service user) on the base station side shall result in the broadcast of information to other Broadcast applications on the mobile station side or in the update of this information.

### (2) Format

This primitive shall provide parameters as follows.

BroadcastData.request (File)

## 4.4.3.4.12GetBroadcastData primitives

## (1) Function and When Generated

The invocation of the GetBroadcastData-service by a Broadcast application shall result in the retrieval of the broadcasted data.

### (2) Format

These primitives shall provide parameters as follows.

GetBroadcastData.request (Name, EID) GetBroadcastData.confirm (File)

### 4.4.3.5 Parameters

Parameters defined in service primitives are specified as follows. It is assumed the bit number 8 (b8) is the MSB, if no modification notices are attached. Furthermore, the data which has the structure described below is passed to the T-KE and will perform the encoding process.

## (1) IID (Invoker Identifier)

IID shall be the EID of the element initiating the request or the response. It shall give the EID for a response to this primitive. In the case where the EID are the same identifier on the base station side or the mobile station side for the same application (the same context), the IID shall not be used. This parameter is not needed if an answer shall be sent to a default invoker.

The IID shall have the format as shown in Fig. 4.4.3.5.1.

		Bit number							
Octet	8	8 7 6 5 4 3 2 1							
Number									
1				IID	)				

Fig. 4.4.3.5.1 IID format

## (2) LID (Link Identifier)

LID shall be the LLC ID chosen by the I-KE on the mobile station side as specified in 4.3.2. The LID format shall be the LID format defined in subclause 4.2.4.2.1.8.2. That is, the 1 bit of each octet is an extender. If the following octet is valid, the b1 shall be set to "0" and if the following octet is invalid, it shall be set to "1". Actually, the valid field is 28 bits length. The LID shall have the format as shown in Fig. 4.4.3.5.2.

		Bit number									
Octet Number	8	7	6	5	4	3	2	1			
Number								extens			
								ion			
1	(MSB	)	LID								
2			LID								
3		LID									
4			LID				()	LSB)			

**Fig. 4.4.3.5.1 LID format** 

## (3) EID (Element Identifier)

EID shall be the EID of the element, which receives the indication or confirmation related to a request or response. This EID is used by the T-KE on the side of the receiver to deliver the indication or confirmation to the destination element.

The EID shall have the format as shown in Fig. 4.4.3.5.3.

	Bit number								
Octet	8	8 7 6 5 4 3 2 1							
Number									
1		EID							

**Fig. 4.4.3.5.3 EID format** 

Note) Where the EID parameter is or not the same as the IID, the setting values of these prameters are shown in the table below for reference.

			Prim	itives	
EID of the	Parameter	request	indication	response	confirm
Application	plication		Base→Mobile	Base←Mobile	Base←Mobile
Base side : 6	IID	6	6	7	7
Mobile side: 7					
	EID	7		6	

## (a) The case of the the different parameter

			Primitives								
EID of the	Parameter	request	indication	response	confirm						
Application		Base→Mobile	Base→Mobile	Base←Mobile	Base←Mobile						
Base side: 8	IID	No use	No use	No use	No use						
Mobile side: 8											
	EID	8		8							

## (b) The case of the same parameter

## (4) AccessCredential

It shall be octet string ASN.1 type and carry the information needed to fulfill access conditions in order to perform the operation on the addressed element.

The AccessCredential shall have the format as shown in Fig. 4.4.3.5.4.

		Bit number								
Octet	8	7	6	5	4	3	2	1		
Number										
1		AccessCredential length								
2		AccessCredential [0]								
3			Acce	ssCred	ential	[1]				
:		÷								
:				:						

*Note)* The length = "0" shall indicate that following octets are not used.

Fig. 4.4.3.5.4 AccessCredential format

## (5) AttrIdList (Attribute Identifier List)

It shall be a list of IDs of attributes of the element receiving a GET.indication. The values of

these attributes shall be sent via a GET.response and GET.confirm to the element invoking the GET.request, if no access restrictions apply.

The AttrIDList shall have the format as shown in Fig. 4.4.3.5.5.

		Bit number									
Octet	8	7	6	5	4	3	2	1			
Number											
1		AttrIdList count									
2		AttrIdList [0]									
3			A	ttrIdLi	ist [1]						
:		:									
:				:							

*Note)* The count = "0" shall indicate that following octets are not used.

Fig. 4.4.3.5.5 AttrIDList format

## (6) Flowcontrol

Flowcontrol shall be a parameter that represents the behavior of the underlying communication service. This parameter shall be mapped by the T-KE on a special LLC service. The relation between flowcontrol parameter, behavior, and LLC service shall be shown in Fig. 4.4.3.5.6.

		Bit number							
Octet	8	8 7 6 5 4 3 2 1							
Number									
1			F	low Co	ontrol				

Flowcontrol	The layer 7	LLC service
parameter		
1	no Flow Control, no Answer	DL-UNITDATA.request without response request
2	no Flow Control, Answer	DL-UNITDATA.request with response request
3	no Flow Control	DL-UNITDATA.indication
4	Flow control,data unit transmission	DL-DATA-ACK.request
5	Flow control,data unit transmission	DL-DATA-ACK.indication
6	Flow control,data unit transmission status	DL-DATA-ACK-STATUS.indication
7	Flow control,data unit exchange	DL-PERLY.request
8	Flow control,data unit exchange	DL-PERLY.indication
9	Flow control,data unit exchange status	DL-PERLY-STATUS.indication
10	Flow control,data unit exchange preparation	DL-REPLY-UPDATE.request
11	Flow control,data unit exchange preparation	DL-REPLY-UPDATE-STATUS.indication
	status	
12	no Flow Control, Answer	DL-UNITDATA.request wait response request

Fig. 4.4.3.5.6 Flowcontrol parameters

# (7) AttrList (Attribute List)

It shall be a sequence of attribute values of one element sent by the SET.request, the SET.indication or the GET.response or GET.confirm. In the case of the GET.request or GET.confirm, this information shall set applicable access conditions, which have been fulfilled.

The AttrList shall have the format as shown in Fig. 4.4.3.5.7.

However, the structure of attribute value is not defined in this standard.

			]	Bit nur	nber					
Octet	8	7	6	5	4	3	2	1		
Number										
1		Attribute count								
2		8	attribut	eId (A	ttribut	e [0])				
3		attributevalue (Attribute [0])								
:				:						
:										
:			A	Attribu	te [1]					
:			A	Attribu	te [2]					
:		:								
:				:						

*Note) The Attribute count* = "0" *shall indicate that following octets are not used.* 

Fig. 4.4.3.5.7 AttrIDList format

# (8) Ret (Return Code)

It shall be a special return code issued by an element as an answer to a service indication. The following codes are defined previously.

The Ret shall have the format and be coded as shown in Fig. 4.4.3.5.8.

	Bit number							
Octet	8	8 7 6 5 4 3 2 1						
Number								
1				Re	t			

(a) RET format

Value	Definition
-1	No use
0	Successfully Processed
1	The requested operation was not performed for reasons pertinent to the security of
	the system.
2	One or more attribute values were not accessed because the identifier for the
	specified attribute was not recognized or the attribute value specified was out of
	range or otherwise inappropriate for one or more attributes or the action response.
	EVENT-REPORT invoked was not supported by the receiving entity.
3	The requested operation was not performed because a parameter was too complex.
4	A general failure in processing the operation was encountered.
5	The requested operation is being processed, and the result is not yet available

Note) the value shall be described using the 2's complementary values. The value "-1" shall be regarded as the request was successfully processed.

The RET values, 6 through 127, are reserved for future use and will be defined if necessary.

Fig. 4.4.3.5.8 Ret formats and values definition

## (9) Mode

It shall be a Boolean parameter indicating whether there shall be a service response to a service.indication.

The Mode shall have the format and be coded as shown in Fig. 4.4.3.5.9.

		Bit number								
Octet	8	8 7 6 5 4 3 2 1								
Number										
1				Mod	le					

*Note) Only the bit number 1 shall be valid. Other bit-field shall not be used.* 

### (a) Mode format

Value	Definition
0	Not need for response.
1	Need for response.

## (b) Definition of Mode value

Fig. 4.4.3.5.9 Mode formats and values definition

## (10) ActionType

It shall identify an operation of the element which receives as ACTION.indication and which shall be invoked.

The ActionType shall have the format as shown in Fig. 4.4.3.5.10.

		Bit number									
Octet	8	3 7 6 5 4 3 2 1									
Number											
1			1	Action'	Туре						

Fig. 4.4.3.5.10 ActionType format

## (11) ActionParameter

ActionParameter shall be the information needed for the invocation of an operation identified in an ACTION.indication. The ActionParameter is not defined in this standard, since their data structures have some types according to the ActionTypes.

## (12) ResponseParameter

It may be information resulting from the execution of the operation invoked by ACTION.indication. The ResponseParameters are not defined in this standard, since their data structures have some types according to the ActionTypes.

## (13) EventType

It shall identify the message that shall be delivered to an element that receives an EVENT-REPORT.indication.

The EventType shall have the format and be coded as shown in Fig. 4.4.3.5.11.

		Bit number								
Octet	8	8 7 6 5 4 3 2 1								
Number										
1		EventType								

(a) EventType format

Value	Definition
0	The release of the association (link)

*Note) Values of* 1, 2 and 3 are reseved for future systems.

(b) Definition of EventType value

Fig. 4.4.3.5.11 EventType format and value definition

## (14) EventParameter

It shall be the additional information needed for the message sent via an EVENT-REPORT.request or EVENT-REPORT.indication, respectively. The EventParameter is not defined, since the EventType is defined only for the release and the EventParameter for this release does not exist.

### (15) AID

It shall be the Application Entity ID of the Initialisation service user (application).

The AID values are defined in annex I.

The AID shall have the format as shown in Fig. 4.4.3.5.12.

		Bit number									
Octet	8	8 7 6 5 4 3 2 1									
Number											
1		AID									

Fig. 4.4.3.5.12 AID format

## (16) Mandatory

It shall be the BOOLEAN status of the Initialisation service user (application). It shall be true if the Initialisation service user (application) is a mandatory application and false if the Initialisation service user (application) is a non-mandatory application.

The Mandatory shall have the format and be coded as shown in Fig. 4.4.3.5.13.

		Bit number							
Octet	8	8 7 6 5 4 3 2 1							
Number									
1		Mandatory							

(a) Mandatory format

Ī	Value	Definition
	0	Non-mandatory
	1	Mandatory

(b) Definition of Mandatory values

Fig. 4.4.3.5.13 Mandatory formats and values definition

## (17) Priority

It shall be the priority of the Initialisation service user (application) in relation to the other Initialisation service user (application). A small INTEGER shall represent a high priority, a high INTEGER a low priority. The initialisation service provider (I-KE) may take this parameter into account when deciding on the priority of the Initialisation service user (application).

The Priority shall have the format as shown in Fig. 4.4.3.5.14.

		Bit number							
Octet	8	7	6	5	4	3	2	1	
Number									
1				Prior	ity				

Fig. 4.4.3.5.14 Priority format

## (18) Profiles

It may be a SEQUENCE OF Profile related to the application. The I-KE may use these profiles to build up the BST.

The Profiles shall have the format as shown in Fig. 4.4.3.5.15.

		Bit number								
Octet	8	7	6	5	4	3	2	1		
Octet Number										
1		Profiles count								
2			]	Profile	s [0]					
3			]	Profile	s [1]					
:		:								
:				:						

*Note) The count="0" shall indicate that following octets are not used.* 

Fig. 4.4.3.5.15 Profiles format

## (19) Parameter (I-KE)

Parameter (I-KE) may be additional information related to the association (initialisation) with the initialisation service user (application). It shall be the additional information for the NotifyApplication if present for the initialisation service provider (I-KE). The Parameter (I-KE) is not defined in this standard.

## (20) ObeConfiguration

It shall be an obeConfiguration describing the configuration and status of the mobile station related to the LID given in the NotifyApplicationBeacon. The initialization service user (application) shall interpret this configuration. The value shall be given in the VST and shall be set by the layer management entity.

The obeConfiguration shall have the format as shown in Fig. 4.4.3.5.16.

	Bit nu	Bit number								
Octet	8	8 7 6 5 4 3 2 1								
Number										
1	(MSB)	) E	quipme	ent clas	SS					
2		Equipment class (LSB)								
3	(MSB)	) m	anufuc	turerII	)					
4		m	anufu	cturerII	D		(I	LSB)		
5	(MSB)	) ot	eStatu	.S						
6		obeStatus (LSB)								
:	:									

Fig. 4.4.3.5.16 obeConfiguration format

## (21) Beacon

It may be the beaconID (base station ID provided the initialization service user (application)) which offers the service.

The Beacon shall have the format as shown in Fig. 4.4.3.5.17.

	Bit nu	Bit number										
Octet	8	7	6	5	4	3	2	1				
Number												
1	(MSB)	(MSB) manufucturerID										
2		manufucturerID (LSB)										
3	(MSB)	(MSB) IndividualID										
4		IndividualID										
5		IndividualID										
6		]	Individ	ualID			(.	LSB)				

**Fig. 4.4.3.5.17 Beacon format** 

# (22) Norm\_end

It shall be the notification whether an application of the initialisation service user was normally accomplished or not.

The Norm\_end shall have the format and be coded as shown in Fig. 4.4.3.5.18

		Bit number						
Octet	8	7	6	5	4	3	2	1
Number								
1		Norm_end						

Note) The bit number 1 shall be only valid. Other bit-field shall not be used.

# (a) Norm\_end format

Value	Definition
0	The desired operation was not performed for any
	reasons and the initialisation service user decided to
	discontinue the subject application processing.
1	The desired operation was successfully processed and
	the initialisation service user decided to discontinue
	the subject application processing.

(b) Definition of Norm\_end value

Fig. 4.4.3.5.18 Norm\_end format and value definition

# (23) File (B-KE)

It shall be the NamedFile that contains the information that shall be broadcast or retrieved from the Broadcast Pool.

The File (B-KE) shall have the format as shown in Fig. 4.4.3.5.19.

	Bit nu	Bit number									
Octet	8	7	6	5	4	3	2	1			
Number											
1				aseI	D						
2				fileI	D						
3		Record count									
4		Record value lengthRecord[0]									
5		Rec	ord va	lue [0]	R	ecord[	[0]				
:		Record value [1]Record[0]									
:		:									
:		:Record[0]									
:		Record [1]									
:		Record [2]									
:				:							

*Note) The Record count="0" shall indicate that following octets are not used.* 

Fig. 4.4.3.5.19 File (B-KE) format

## (24) Name (B-KE)

It shall be the FileName of the file that shall be retrieved from the Broadcast Pool. The Name (B-KE) shall have the format as shown in Fig. 4.4.3.5.20.

		Bit number							
Octet	8	7	6	5	4	3	2	1	
Number									
1		aseID							
2				fileI	D				

Fig. 4.4.3.5.20 Name (B-KE) format

## 4.4.4 Layer Management

## 4.1 Scope

This layer 7 management entity (ALME: application layer management entity) consists of providing the layer 7 and the layer 2 in both entities with values for the communication parameters and communication control information necessary for communication and administration of the communication system.

The communication control information and service interface to access to that information are defined for the layer 7 management entity. But, the functionalities of the layer 7 management entity are not specified in detail, since the function of the layer 7 management entity can be realized by the I-KE of the layer 7.

The communication parameters and the communication control information are registered at the MIB of the layer 7 management entity (I-KE). The MIB information of the layer 7 management entity is defined in detail in annex A.

### **4.4.4.2 Function**

The layer 7 management entity shall have the following information, at least.

- (1) Application (the initialization service user) information.
- (2) The communication control information

## 4.4.4.2.1 Application management

The layer 7 management entity shall manage the application information in order to provide service for the initialization service user (application) on the base station / the mobile station. The application information for each the initialization service user (application) shall be registered each time the RegisterApplicationBeacon or RegisterApplicationVehicle is received, and the corresponding application information shall be de-registered each time the DeregisterApplication is received.

It may use the application management list for the registration. The application management list is shown in Table. 4.4.4.2.1 for reference.

Table. 4.4.4.2.1 Example of the application management list

AID	EID	Mandatory	Priority	Profiles	Parameters

## 4.4.4.2.2 Communication control information management

The communication control information is required for the association procedures. This information has properties of the initialization service user (application) that has accomplished

the association already and is continuing communication between the peer initialization service user (application).

This information shall be generated after the accomplishment of the association and be added or revised each time when the NotifyApplicationBeacon or NotifyApplicationVehicle is transmitted to the initialization service user (application).

The communication control information for the given initialization service user (application) shall be deleted when the DeregisterApplication is received or all of the services for plural applications has terminated on the base station side. On the mobile station side, this information shall be deleted when the DeregisterApplication is received or the mobile station identifies another base station.

It may use the communication control information list for the management. The communication control information management list on the mobile station side is sown in Table, 4.4.4.2.2 for reference.

Table. 4.4.4.2.2 Example of the communication control information management list

LID	AID	EID	Mand	Prior	Profiles	Parame	Norm_	status of	Release	SC	LRI	FID	Related
			atory	ity		ters	end	applicati	timer				Application
								on	value				status.
							(Note2)	(Note1)	(Note3)				(Note4)

Where

Priority: priority for applications requested by service users.

Profiles: the layer 7 profiles.

Parameter: the layer 7 parameters required for management

SC: the comparison results with application identifiers of the base station provided by the layer 2 within the FCMC and the application identifiers that has registered at the layer 7 management entity (I-KE).

LRI: Initialization (association) mode identifier. (Either normal type of association or simplified association procedure is implemented.)

FID: the base station identifier on the layer 2

Note1) Status of application performance is described in subclause 4.4.5.6.

Note2) Whether or not an application of the initialisation service user was normally accomplished. It assumed the value of the Norm\_end is substituted its field.

Note3) the timer that constrains the re-association processes after releasing the association (link) on the mobile station side.

Note4) underlying assumption is as follows.

The linked two communication zones are constructed and each base station provides multiple applications for each zone. It may be that a related application accomplished an application transaction provided by 1st base station (1st communication zone) and another application transaction by 2nd base station (2nd communication zone). In that case, the mobile station needs to identify application which base station was provided. It may be usually identified as the 1st base station in the stand-alone communication zone.

### **4.4.4.3 Profiles**

### 4.4.4.3.1 Scope

Inside the layer 7 two types of profiles shall be distinguished:

- (1) Communication System Profiles: The Communication System Profile shall represent characteristics of the communication partners and are described in subclause 4.4.3.2. These profiles shall be handled by the I-KE as described in subclause 4.5.5 and are transmitted inside the BST. The profile datatype is defined in annex H. the definition of the profiles themselves is outside the scope of this standard.
- (2) The layer 7 Profiles: These profiles shall represent characteristics of the layer 7 and are defined in subclause 4.4.3.3.

### **4.4.4.3.2** Communication System Profiles

General Communication System Profiles shall represent characteristics of the communication partners. These characteristics shall be the same or compatible for two communicating partners. Two classes of characteristics shall be distinguished as follows.

### (1) Setable characteristics

These characteristics shall be parameters of the system that may be set to a special value in general in each system. They shall be distinguished between characteristics where the wrong setting will lead to communication errors or will disable the communication between the partners and characteristics where the wrong setting will not lead to a communication error or will not disable the communication between the partners.

### (2) Abilities

These characteristics shall be abilities of the systems that are present or not. If one communication partner uses this ability the other partner shall also have this ability to be able

to understand the incoming data.

# 4.4.4.3.3 The layer 7 Profiles

The layer 7 Standard defines in its current form the ability profiles 4, 5, 6, and 7.

The profiles shall be indicated by the "profile" parameter that describes the abilities of the layer 7. This parameter shall be used to define profiles that support the communication system profiles. The characteristics of each profile are shown in Table. 4.4.4.3.

Table. 4.4.4.3.3 The layer 7 Profiles

Profile number	Characteristics	Intention	
4	Transfer Kernel Element (T-KE)	Interaction	
	Initialisation Kernel Element (I-KE)		
	with ASN. 1 Module DSRC transfer Data-P0		
5	Transfer Kernel Element (T-KE)	Interaction	and
	Initialisation Kernel Element (I-KE)	Broadcast	
	with ASN. 1 Module DSRC transfer Data-P2		
	Broadcast Kernel Element (B-KE)		
6	Transfer Kernel Element (T-KE)	Interaction	
	Initialisation Kernel Element(I-KE)		
	with ASN. 1 Module DSRC transfer Data-P0 supported		
	by simplified association (initialization) procedure.		
7	Transfer Kernel Element (T-KE)	Interaction	and
	Initialisation Kernel Element(I-KE)	Broadcast	
	with ASN. 1 Module DSRC transfer Data-P0 supported		
	by simplified association (initialization) procedure.		
	Broadcast Kernel Element (B-KE)		
	with ASN. 1 Module DSRC transfer Data-P2 (B-KE)		

## 4.4.4.4 Layer 7 management service interface specification

## 4.4.4.1 Overview of Interactions

The layer 7 management entity (ALME) provides the following primitives to a system management entity (SME) or a MAC sublayer management entity (MLME).

The primitives associated with the MIB access service are as follows.

ALME-GET.request

ALME-SET.request ALME-SET.confirm

The ALME-GET.request primitive is passed to the ALME to request that the user-entity (the SME or the MLME) is able to get the value of the MIB attributes that are stored in a Management Information Base (MIB) of the ALME. The ALME-GET.confirm is passed from the user-entity (the SME or the MLME) to convey the results of the previous action associated with the ALME-GET.request primitive.

The ALME-SET.request primitive is passed to the ALME to request that the user-entity (the SME or the MLME) is able to set the value of the MIB attribute. The ALME-SET.confirm is passed from the ALME to convey the results of the previous action associated with the ALME-SET.request primitives.

## 4.4.4.2 Management service interface specification.

The layer 7 management entity (ALME) provides the services to a system management entity (SME). The management information specific to the layer 7 represented as the layer 7 Management Information Base (MIB).

This subclause describes in detail the primitives and parameters associated with the service specified in subclause 4.4.4.4.1. The parameters are abstractly described, and specified in view of the necessity for the receive entity. A specific implementation is not constrained in the method of making this information available. Fig. 4.4.4.4 shows the logical relationship of primitives.

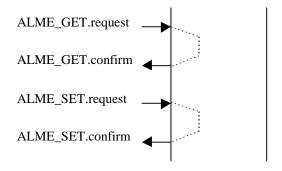


Fig.4.4.4.4 Time-sequence diagram of the MIB access service

### **4.4.4.4.2.1 ALME-GET.request**

(1) Function

This primitive is to request for the layer 7 MIB access service.

## (2) Semantics of Service Primitive

This primitive shall provide parameter as follows.

ALME-GET.request (MIB-attribute)

The MIB-attribute parameter is specific to the attribute of the MIB.

### (3) When Generated

This primitive is generated by the SME to request for getting the MIB attribute of the ALME and is passed to the ALME.

#### 4.4.4.4.2.2 ALME-GET.confirm

# (1) Function

This primitive is to report the results of the action associated with the ALME-GET.request.

### (2) Semantics of Service Primitive

This primitive shall provide parameters as follows.

ALME-GET.confirm (status, MIB-attribute, MIB-attribute-value)

The status parameter indicates the success or failure of the MIB-attribute reading requests.

The MIB-attribute parameter is specific to the attribute provided by the ALME-GET.request.

The MIB-attribute-value is specific to the value of the attribute itself.

*Note 1) If a type of invalid attribute is set, the status will indicate the failure.* 

Note 2) If the status indicates the failure, the MIB-attribute-value will not assure of the validity.

#### (3) When Generated

This primitive is generated by the ALME to report the results of the previous action provided by the ALME-GET.request primitives and is passed to the SME.

## **4.4.4.4.2.3 ALME-SET.request**

#### (1) Function

This primitive is to request for the MIB access service.

## (2) Semantics of Service Primitive

This primitive shall provide parameters as follows.

## ALME-SET.request (MIB-attribute, MIB-attribute-value)

The MIB-attribute parameter specifies the attribute of the MIB.

The MIB-attribute-value is specific to the value provided by the ALME-SET.request.

### (3) When Generated

This primitive is generated by the SME to request for writing the MIB attribute of the ALME and is passed to the ALME.

### 4.4.4.4.2.4 ALME-SET.confirm

#### (1) Function

This primitive is to report the results of the action provided by the MLME-SET.request.

## (2) Semantics of Service Primitive

This primitive shall provide parameters as follows.

ALME-SET.confirm (status, MIB-attribute)

The status parameter indicates the success or failure of the setting MIB-attribute request provided by ALME-SET.request.

The MIB-attribute parameter is specific to the attribute provided by ALME-SET.request.

*Note) If a type of invalid attribute is set, the status will indicates the failure.* 

## (3) When Generated

This primitive is generated by the ALME to report the results of the previous action provided by the ALME-SET.request primitives and is passed to the SME.

# **4.4.4.5** The relation with the MLME (layer 2 management entity)

The layer 7 uses the MLME\_GET / MLME\_SET primitives of the MLME (layer 2 management entity) for getting or setting variables, that are the connection variable NUMLINK, the slot assignment state variable SLT\_STATUS and the transmission state variable TR STATUS.

### (1) Connection variable NUMLINK

The number of the NUMLINK is incremented "1" when the layer 7 accepts the association (initialization) request, and be decrement "1" when the layer 7 releases the association accepted and registered previously.

(2) Slot assignment state variable SLT\_STATUS / transmission state variable TR\_STATUS Before the transmission request for an LPDU, the layer 7 reads the SLT\_STATUS and the TR\_STATUS. If the state of the SLT\_STATUS shows "out\_time" or the state of the TR\_STATUS shows busy, the layer 7 move to the state of the waiting the transmission.

## 4.4.5 Association (Initialization) Procedures

The I-KE shall realize the association (initialisation) of the communication between the mobile station and the base station by exchanging information concerning profiles or applications with its peer entity within the BST/VST at the normal association procedures. It shall inform the applications inside the mobile station about the presence of a peer application inside the base station. It shall handle the LID of the mobile station.

The simplified association procedures may be also used with omitting the exchange of the BST/VST for previously defined application (s) between the base station and mobile stations, since the exchange of the association information is performed by identification of the MAC sublayer (the layer 2) level ones.

### 4.4.5.1 Normal Association (Initialization) Procedures

## 4.4.5.1.1 Scope

The I-KE shall perform the normal association (initialization) between the base station and the mobile station with exchanging the BST/VST.

### 4.4.5.1.2 Initialization Internal Service Primitives

### (1) Scope

The initialization internal services for the I-KE shall be provided by the T-KE regarding to the normal initialization (association) procedure.

These services are used for setting the information (the contents of the BST/VST) needed for initialization (association) to the I-KE. A specific implementation is not constrained in the method of making this information available.

### (2) Format

The Initialization Internal Service Primitive shall provide parameters as follows.

INITIALIZATION.request ([LID], Initialization Parameter] INITIALIZATION.indication ([LID], Initialization Parameter] INITIALIZATION.response (LID, Initialization Parameter] INITIALIZATION.confirm (LID, Initialization Parameter]

*Note) parameter "xx" within the [xx] is optional.* 

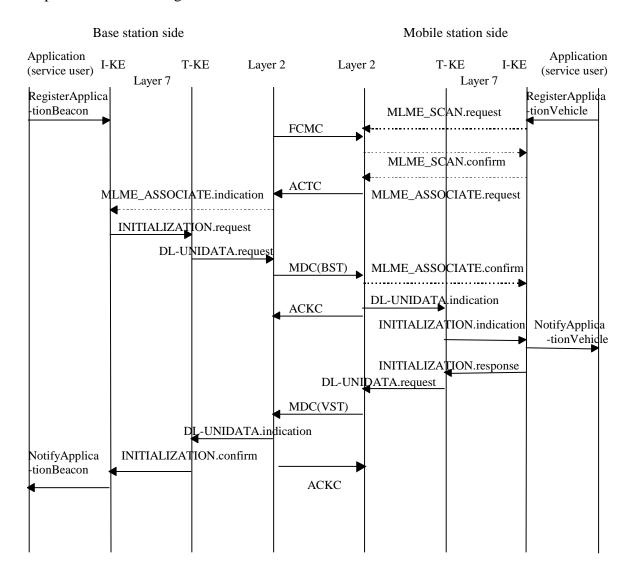
### (3) Initialization Parameter

The Initialization Parameter specifies the BST in the case of the INITIALIZATION.request / the INITIALIZATION.indication. The Initialization Parameter specifies the VST in the case of

the INITIALIZATION.response / the INITIALIZATION.confirm. The format of the BST/VST is defined in annex H.

## **4.4.5.1.3 Procedures (Sequence)**

The layer 7 (the I-KE and the T-KE) shall perform the normal association (initialization) procedures on the base station side and the mobile station side according to following sequence steps as illustrated in Fig. 4.4.5.1.



Note) "... "shows inter-layer management service

Fig.4.4.5.1 Normal Association Sequence

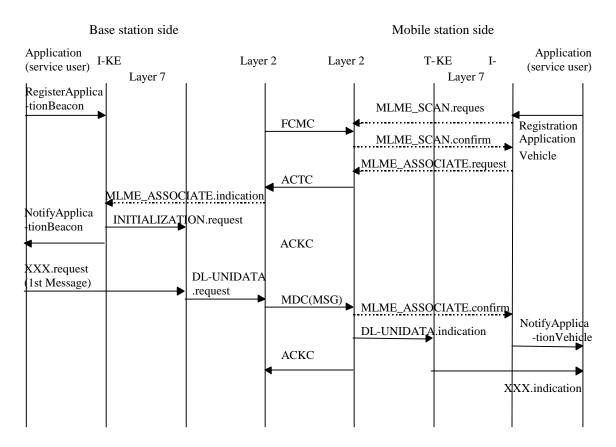
## 4.4.5.2 Simplified Association Procedures

### 4.4.5.2.1 Scope

The simplified association procedures may be used with omitting the exchange of the BST/VST.

## 4.4.5.2.2 Procedures (Sequence)

The layer 7 (the I-KE and the T-KE) shall perform the simplified association (initialization) procedures on the base station side and the mobile station side according to the following sequence steps as illustrated in Fig. 4.4.5.2.



Note) "... "shows inter-layer management service

Fig.4.4.5.2 Simplified Association Sequence

## 4.4.5.3 Association Release procedures.

## 4.4.5.3.1 Scope

The release of the communication association with the peer communication system (station)

shall consist of the following sequence steps.

- (1) The I-KE of the base station or the mobile station shall receive ReadyApplication primitives for all of applications (kernel service users) registered or managed at the layer 7. It is the same meaning that all applications (kernel service users) do not want to continue the communication between peer station (s).
- (2) The I-KE of the base station (the mobile station) shall send the EVENTREPORT.request (prameter shall be set to "release") primitive to the peer communication system (station).
- (3) If the I-KE has been received the EVENTREPORT.request (prameter set to "release") from the peer communication system (station), the corresponding I-KE shall not send the EVENTREPORT.request primitive.

## **4.4.5.3.2 Procedures (Sequence)**

The layer 7 (the I-KE and the T-KE) shall perform the association release procedures on the base station side and the mobile station side according to following sequence steps as illustrated in Fig. 4.4.5.3.

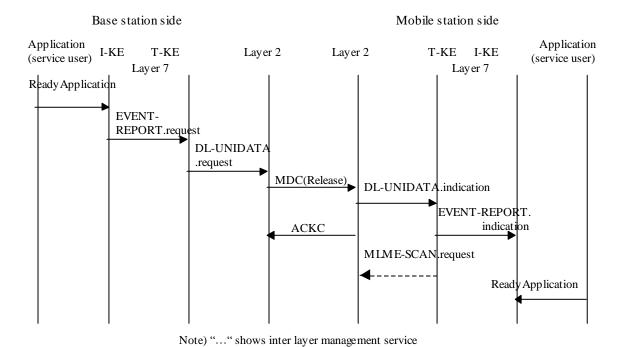


Fig.4.4.5.3 Association Release Sequence

## 4.4.5.4 Association procedures for linked communication zones.

## 4.4.5.4.1 Scope

The linked two communication zones are constructed in series in the traveling direction, and each base station provides plural applications for each zone. It may be that a related application accomplished through a communication transaction provided by the 1st base station (the 1st communication zone), and another communication transaction by 2nd base station (the 2nd communication zone) in order. In that case, the mobile station shall perform the association procedures after entering each communication zone, respectively.

When the mobile station enters the 2nd communication zone (the 2nd base station) after the accomplishment of the association with the 1st base station (the 1st communication zone), the I-KE of the mobile station shall not associate with the same 1st base station. When the I-KE received the MLME\_SCAN.confirm from the MLME, it shall not perform the association procedures for such the 1st base station.

## **4.4.5.4.2 Procedures (Sequence)**

The layer 7 (the I-KE and the T-KE) shall perform the association procedures for linked communication zones on the base station side and the mobile station side according to following sequence steps as illustrated in Fig. 4.4.5.4.

It may be different primitives are used for performing its association procedures, since the service (application) provider may provide a variety of application (s) in which has the same or different identifier (s), AID (s) or EID (s).

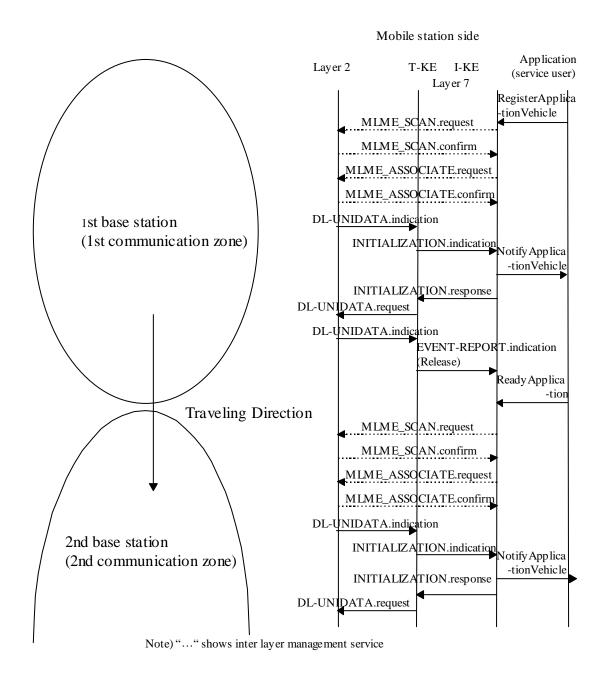


Fig.4.4.5.4 Association Sequence in Linked Communication Zone

## 4.4.5.5 Association procedures with Release timer

When all of the applications that are registered have been normally accomplished their application transactions by receiving ReadyApplication primitives, the release timer (t10) shall be set in order to constrain the re-association with the same base station on the mobile station side during a certain period.

However, when there is at least one application, which has notified the abnormal accomplishment state by the ReadyApplication, it shall not be set in order to re-associate immediately.

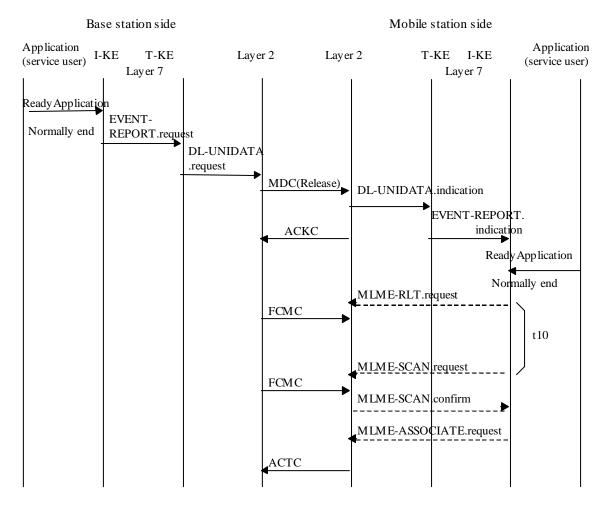
Furthermore, when the different applications related on the application level are provided in the linked communication zones and the association release procedures with the 1st base station have been accomplished, it shall perform the association procedures with the 2nd base station whether or not the release timer is set.

When the release timer is operating, the mobile station recognizes the base station, which indicates the invalid release timer field, it shall perform the association procedures with corresponding base station.

If the release timer is set, it shall be performed the association procedures according to following sequence steps as illustrated in Fig. 4.4.5.5.1.

If the release timer is not set, it shall perform the re-association procedures according to following sequence steps as illustrated in Fig. 4.4.5.5.2.

If the mobile station identifies the release timer is invalid, it shall perform the re-association procedures according to following sequence steps as illustrated in Fig. 4.4.5.5.3



Note) "..." shows inter layer management service

Fig.4.4.5.5.1 Re-association Sequence with Release Timer

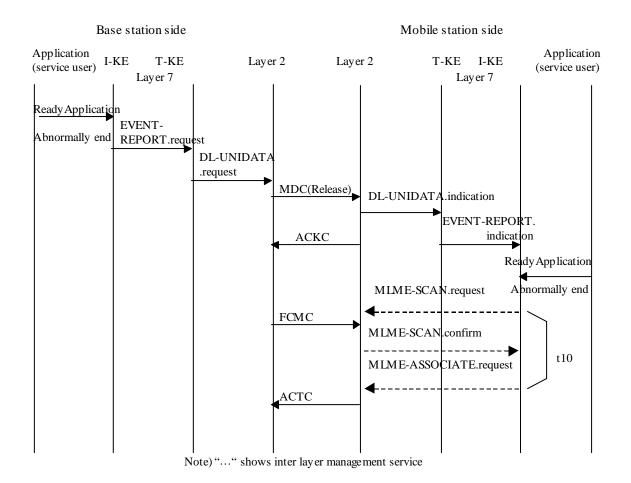
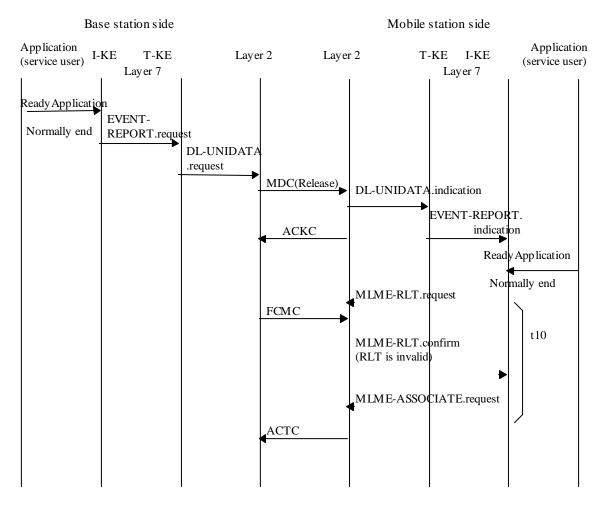


Fig.4.4.5.5.3 Re-association Sequence without Release Timer

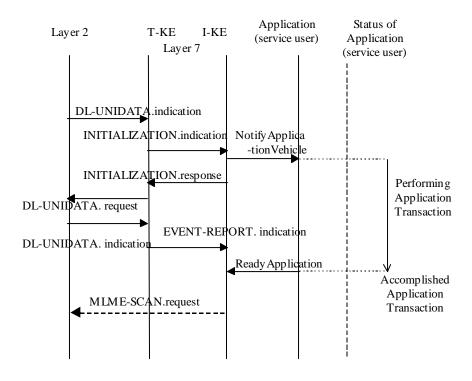


Note) "..." shows inter layer management service

Fig.4.4.5.3 Re-association Sequence with invalid Release Timer value

#### 4.4.5.6 Management of the connection state of application (s)

The management for the current operating state of application (s) shall be performed not to communicate with the same application on the base station side and the mobile station side. When the layer 7 (the I-KE and the T-KE) sends NotifyApplicationVehicle to an application (kernel service user), it shall be regarded the subject application is operating. When ReadyApplication from the subject application (kernel service user) is received, it shall move its state to the accomplishment of the service. The example of procedures (sequence) is shown in Fig. 4.4.5.6.1.



DL-UNIDATA.request

Fig.4.4.5.6.1 Management of Application-Status Sequence

However, in this communication environment, when the communication transaction for the application confirmed previously on the base station and the mobile station side has not yet started, or a long time has elapsed after previous application transaction, the mobile station may be out of the communication zone. (The base station may lose the peer application (service user).)

In above case, when the application (service user) would like to maintain service between the peer application, the layer 7 may not receive the ReadyApplication and the association is maintained for long time as shown in Fig. 4.4.5.6.2.

Therefore, considering the above conditions, it is required that the application has a connection (maintenance) timer and the maximum time maintained the service (connection time). If it is over this maximum time, the application (service user) should disconnect.

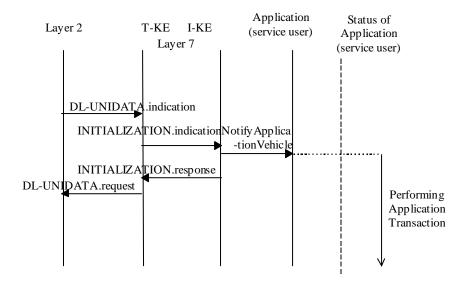


Fig.4.4.5.6.2 Management of Application-Status Sequence (2)

Note) Synchronizing the operation starting time for the connection (maintenance) timers on the base station side and the mobile station side, it may be able to re-associate immediately.

## 4.4.6 Procedures for Broadcast Data Transfer

## 4.4.6.1 Broadcast transfer service

## **4.4.6.1.1 Scope**

On the base station, the B-KE may cyclically send the data stored in the Broadcast Pool to the mobile stations using BroadcastData primitive provided by the I-KE and the SET.request primitives provided by the T-KE. Its interval period of the broadcasting service is t11.

On base station side, the B-KE should store the received data in the Broadcast Pool, and it should realize the collection and distribution of information for different applications in the mobile station exchanging the GetBroadcastData.request and the GetBroadcastData.confirm provide by the B-KE.

## **4.4.6.1.2 Procedures (Sequence)**

The layer 7 may perform the broadcast data transfer procedures on the base station side and the mobile station side according to following sequence steps as illustrated in Fig. 4.4.6.1.

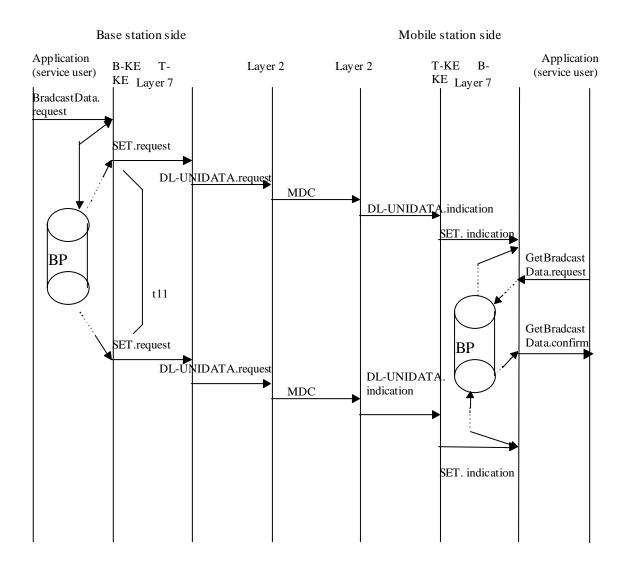


Fig.4.4.5.6.1 Broadcast DataTransfer Sequence

## 4.4.6.2 Procedures for Point-to-Point Data Transfer

## 4.4.6.2.1 Scope

The peer applications (service users) shall perform the data transfer for point-to-point communication using GET/SET/ACTION/EVENT\_REPORT service primitives provided by the T-KE on the base station and the mobile station.

## **4.4.6.2.2 Procedures (Sequence)**

Fig. 4.4.6.2 shows the example of the Point-to-Point data transfer procedures (sequence) using GET service primitive.

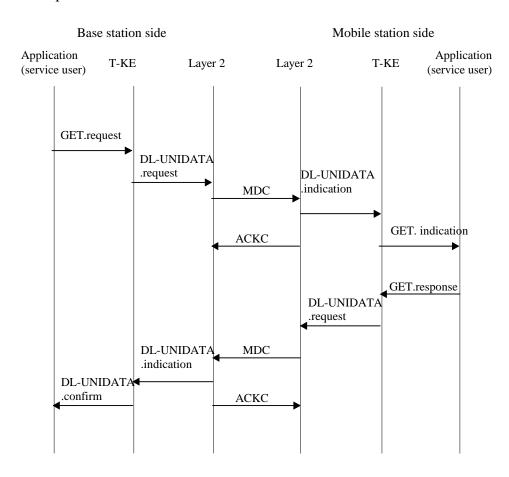


Fig.4.4.5.6.2 Point-to-Point DataTransfer Sequence

## 4.4.6.3 Procedures for Data Transfer with Priority

The I-KE shall register at the MIB the priorities for applications received inside the BST. This information is given to the T-KE.

The layer 7 shall inquire about the transmission state whether or not the transmission of the layer 2 for PDUs is available at this time. If it is available, the layer 7 shall pass an LPDU with the highest priority for transmission in respect to priorities registered by RegisterBeacon primitives. If it is not available, the layer 7 shall wait during a certain defined period (t12) and ask again. Fig. 4.4.6.3 shows the data transfer procedures (sequence) with the priority.

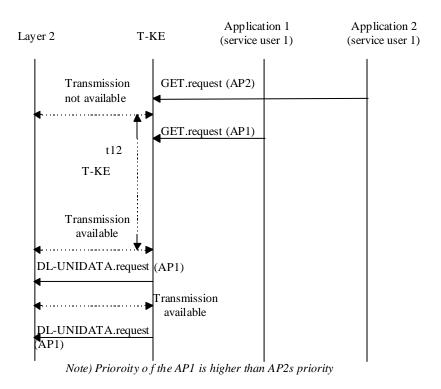


Fig.4.4.6.3 Data with priorityTransfer Sequence

## 4.4.6.4 Encoding/Decoding

On the base station side / mobile station side, the T-KE shall encode the request and response PDUs according to ASN. 1-BASIC-PER, UNALIGNED. The T-KE shall add "0" bits until the number of bits is a multiple of eight. The encodable datatypes are specified in Annex H.

The T-KE shall decode the PDU according to datatype specified in Annex H. If more than 7 trailing bits are received and if not more than 7 bits in sequence have the value "0", these "0" bits are removed as bits inserted to achieve an octet alignment.

Definition of ASN.1 datatypes specified in annex H may import modules from application (service user). However, the functionalities of importing procedures for ASN.1 modules from applications are not used in this standard at this time. The parameters required the definition of ASN.1 decoding / encoding rule on the application (service user) side, the datatypes shall be OCTET STRING type, in the following parameters.

- (1) ACTION service ......ActionParameter, ResponseParameter
- (2) EVENT\_REPORT service ... EventParameter
- (3) ApplicationList.....parameter
- (4) Attribute ...... AttributeValue

The service interface and procedures for importing ASN.1 modules from applications is the subject of further ongoing study and resolution.

#### 4.4.6.5 Concatenation/Deconcatenation

## 4.4.6.5.1 Scope

Plural (two) consecutive APDU fragments may be mapped on one LPDU. A PDU header for each APDU shall be added at the beginning, since the peer layer 7 (destination) may be easily de-concatenated the concatenated APDU.

However, if the concatenation is not made, a PDU header, demanded at minimum shall be added to an APDU.

#### 4.4.6.5.2 Conditions for Concatenation

The concatenation shall be only permitted under following three conditions;

- 1) In the case of concatenation of two APDUs, the LIDs are the same and the length of one concatenated LPDU is less than the maximum LPDU length.
- 2) When the waiting state for the layer 2 transmission has occurred, the current state is the ready state.
- 3) The flowcontrol parameter of each APDU that is intended to concatenate meets the conditions specified in Table. 4.4.6.5.2.

Table. 4.4.6.5.2. The combination conditions of the flowcontrol parameters of APDUs

Flowcontrol Parameter			2	4	7	10	12
1	DL_UNIDATA.request without response	$\checkmark$		$\checkmark$	_	_	
2	DL_UNIDATA.request with response	$\checkmark$	_	ı	_	_	_
4	DL_DATA_ACK.request		_	ı	_	_	_
7	DL_REPLY.request	_	_	_	_	_	_
10	DL_REPLY_UPDATE.request		_		_	_	_
12	DL_UNIDATA.request wait response		_		_	_	_

 $\sqrt{}$ : Available : not available

Note) On concatenating an APDUs having the flowcontrol parameter "1" and an APDU having the flowcontrol parameter "12" into one PDU, the concatenation of each PDU is not actually be made, and the APDU having the flowcontrol parameter "1" is made the transmission using the flowcontrol parameter "2".

## 4.4.6.5.3 PDU header

The T-KE shall add a PDU header to the PDUs that are going to deliver to the layer 2 (the LLC sublayer). The PDU header shall consist of a PDU indicator, a PDU number, a PDU counter and a PDU extension indicator. The PDU header shall have the format as illustrated in Fig. 4.4.6.5.

Bit number

8	7	6	5	4	3	2	1
PDU	PDU Number				PDU co	Extension	
indicator							indicator

Fig. 4.4.6.5. The PDU header format

## 4.4.6.5.3.1 PDU indicator

It shall be "1".

#### 4.4.6.5.3.2 PDU number

The APDU passed from the B-KE, the number set to, [0000]B or [0001]B shall be used. It shall be set to the number from 2 through 15.

#### 4.4.6.5.3.3 PDU counter

It shall be "0".

# 4.4.6.5.3.3.4 PDU counter extension indicator

It shall be "1".

#### 4.5 Systems Management

#### 4.5.1 Introduction

Systems Management (SME) provides mechanisms to monitor, control and coordinate resources in the environment of the radio communication system specified in this standard, and services to communicate information (MIB etc.) which is relevant to those resources.

Those resources are regarded as managed objects with defined properties in order to describe management operations on the resources. Information required for systems management is provided through local input.

#### 4.5.1.1 Systems Management Model

Figure 4.5.1.1 indicates that the interactions, which take place between systems management application entities, are abstracted in terms of management operations and notifications that are issued by one entity to other. These entities exchange management information, using systems management services.

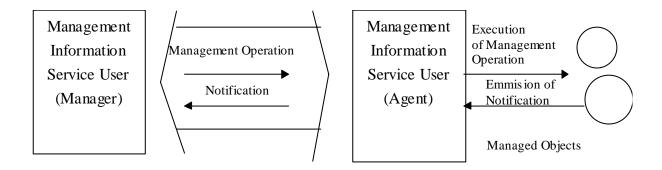


Fig. 4.5.1.1 Interaction of the Sysytems Management

For the purpose of systems management, management applications are categorized as management information service users and are achieved through the interactions between two management information service users. For each interaction, one management information service user takes the role of a manager, while the other takes the role of an agent.

A management information service user taking the role of an agent manages the managed objects within its local system environment as a part of a distributed application. An agent performs management operations on managed objects according to management operations provided by a manager. An agent may also send notifications emitted by managed objects to a

manager.

Management information services user taking the role of a manager issues management operations and receives notifications.

The concept of a manager is not limited only to applications participating in systems management. Other applications that need to access to management information may use management information services.

## 4.5.1.2 Scope

Systems management specified in this standard is applicable to the systems management processes in the local systems environment. This standard specifies management information services taking place between two management information service users (one taking the manager role, the other the agent role).

The implementation matters on how to provide the services specified in this standard are out of the scope of this standard.

Details of the management application are out of this standard. Therefore, the management operation by a manager which is dependent on the contents of the management application, the semantics of the information or commands exchanged by the services and the managed objects defined in the aspect of management are not subject to standardization.

Note) The radio communication system architecture in this standard does not include the management operation in which the information is exchanged on a remote management entity.

The management operation, which does not need the management information exchange with a manager, is defined as a part of the function of an agent in this standard. The content of those management operations is specified in this standard.

#### 4.5.2 Service Interface of Systems Management

#### 4.5.2.1 Outline of Services

Management information services are used between management information service users of the peer application process to exchange information and commands for systems management.

Information transfer services are categorized into the following two services.

- (1) Management notification service
- (2) Management operation service

Note) Management information service provider is defined as the abstracted concept for the entire entity, providing the management information services to the management information service user in the local system environment.

## 4.5.2.2 Management Notification Service

Definition of the notification and the resulting behavior of the communication entity depend on the specification of the managed object which generates the notification, and are excluded in the scope of management information services. However, some notifications are often used for the system management. The service (SME\_EVENTREPORT service) applicable to the information transfer for those notification are therefore defined.

A management information service user (agent) to report the event invokes SME\_EVENTREPORT service on the managed object to a peer management information service user (manager).

This service is applicable to both the confirmed-service mode (requiring response) and non-confirmed-service mode (requiring no response).

Figure 4.5.2.2 shows the logical relationship of each primitive.

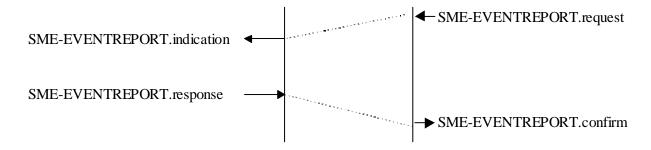


Fig. 4.5.2.2 Time Sequence Diagrams of the Management Notification Service

## 4.5.2.2.1 SME\_EVENTREPORT.request

#### (1) Function

This primitive is the service request primitive for the management notification service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

# SME\_EVENTREPORT.request (Mode, event\_type, event\_time, event\_parameter)

The mode parameter shall indicate the mode required for the operation. The value for this parameter shall indicate either confirmed-service mode or non-confirmed-service mode.

The event\_type parameter shall specify the type of the event to be reported.

The event\_time parameter shall indicate the time when the event occurred. This parameter is optional.

The event\_parameter parameter shall indicate the information relevant to the event. This parameter is optional.

#### (3) When Generated

The agent to report the confirmed event to the manager generates this primitive. This primitive is passed from the agent to the management information service provider.

## 4.5.2.2.2 SME\_EVENTREPORT.indication

#### (1) Function

This primitive is the service indication primitive for the management notification service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

# SME\_EVENTREPORT.indication (Mode, event\_type, event\_time, event\_parameter)

The mode parameter shall indicate the mode required for the operation. The value for this parameter shall indicate either confirmed-service mode or non-confirmed-service mode.

The event type parameter shall specify the type of the event to be reported.

The event\_time parameter shall indicate the time when the event occurred. This parameter is optional.

The event\_parameter parameter shall indicate the information relevant to the event. This parameter is optional.

#### (3) When Generated

This primitive is passed from the management information service provider to the manager to report the arrival of the SME\_EVENTREPORT.request.

#### 4.5.2.2.3 SME EVENTREPORT.response

#### (1) Function

This primitive is the service response primitive for the management notification service.

## (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

#### SME\_EVENTREPORT.response

(event\_type, response\_time, response\_parameter, status)

The event\_type parameter shall indicate the type of the event reported by the corresponding SME EVENTREPORT.indication.

The response\_time parameter shall indicate the time of the response. This parameter is optional.

The response\_parameter parameter shall indicate the response information for the report of the event. This parameter is optional.

The status parameter shall indicate the status of the operation. This parameter includes error codes of the operation for the reported event. This parameter is optional.

#### (3) When Generated

The manager generates this primitive when the mode parameter of the previous associated SME\_EVENTREPORT.indication indicates the confirmed-service mode. This primitive is passed from the manager to the management information service provider.

#### 4.5.2.2.4 SME EVENTREPORT.confirm

## (1) Function

This primitive is the confirmation service primitive for the management notification service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

## SME\_EVENTREPORT.confirm

(event\_type, response\_time, response\_parameter, status)

The event\_type parameter shall indicate the type of the event. The response is identified to be the response for the reported event by this parameter.

The response\_time parameter shall indicate the time of the response. This parameter is optional.

The response\_parameter parameter shall indicate the response information for the report of the

event. This parameter is optional.

The status parameter shall indicate the status of the operation. This parameter includes error codes for the operation of the manager. This parameter is optional.

## (3) When Generated

This primitive is passed from the management information service provider to the agent to indicate the arrival of the SME\_EVENTREPORT.response.

#### 4.5.2.3 Management Operation Service

Management operation services are categorized as follows.

- (1) SME\_GET service
- (2) SME\_SET service
- (3) SME RESET service

A manager to request an agent to retrieve the management information invokes SME\_GET service. This service is applicable only to the confirmed-service mode and requires response.

A manager to request an agent to modify the management information invokes SME\_SET service. This service is applicable both to the confirmed-service mode and non-confirmed-service mode. Response is required in case of confirmed-service mode.

A manager to request an agent to initialize the management information invokes SME\_RESET service. This service is applicable only to the confirmed-service mode and requires response.

Figure 4.5.2.3 shows the logical relationship of each primitive.

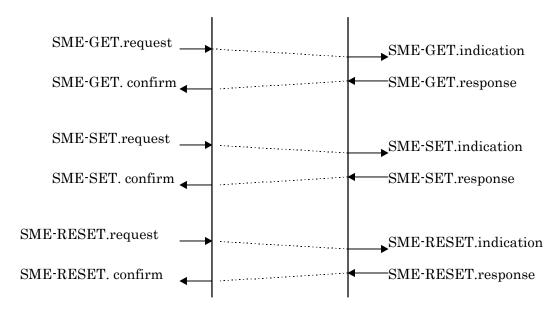


Fig. 4.5.2.3 Time Sequence Diagrams of the Management Operation Service

## 4.5.2.3.1 SME\_GET.request

## (1) Function

This primitive is the service request primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

SME\_GET.request (layer\_id, MIB\_attribute)

The layer\_id parameter shall specify the identifier of the layer to be retrieved.

The MIB\_attribute parameter shall indicate the name of the variables in the MIBs defined for each layer.

## (3) When Generated

The manager to require the agent to retrieve the management information generates this primitive. This primitive is passed from the manager to the management information provider.

## 4.5.2.3.2 SME\_GET.indication

## (1) Function

This primitive is the service indication primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

SME\_GET.indication (layer\_id, MIB\_attribute)

The layer\_id parameter shall specify the identifier of the layer to be retrieved.

The MIB\_attribute parameter shall indicate the name of the variables in the MIB to be retrieved.

## (3) When Generated

This primitive is passed from the management information service provider to the agent to indicate the arrival of the SME\_GET.request.

# 4.5.2.3.3 SME\_GET.response

#### (1) Function

This primitive is the service response primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

```
SME_GET.response (status, MIB_attribute, MIB_attribute_value)
```

The status parameter shall indicate the results of the retrieval of the variables taken place on receipt of SME\_GET.indication. Either success or failure is applicable to the value of this parameter.

The MIB\_attribute parameter shall indicate the name of the variables designated by SME\_GET.indication.

The MIB\_attribute\_value parameter shall indicate the value for those variables.

*NOTE*) when the status parameter indicates failure, the MIB\_attribute\_value shall be null.

#### (3) When Generated

This primitive is generated by the agent to report the result of the retrieval, carried out by receiving the SME\_GET.indication, to the manager. This primitive is passed from the agent to the management information provider.

## 4.5.2.3.4 SME\_GET.confirm

#### (1) Function

This primitive is the confirmation service primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

```
SME_GET.confirm (status, MIB_attribute, MIB_attribute_value)
```

The status parameter shall indicate whether the results of the retrieval are success or failure.

The MIB\_attribute parameter shall indicate the name of the variables designated by SME\_GET.indication. The response is identified by this parameter to be corresponding to the required operation.

The MIB\_attribute\_value parameter shall indicate the value for those variables.

#### (3) When Generated

This primitive is passed from the management information service provider to the manager to

report the arrival of the SME\_GET.response.

## 4.5.2.3.5 SME\_SET.request

#### (1) Function

This primitive is the service request primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

```
SME_SET.request (mode, layer id, MIB attribute, MIB attribute value)
```

The mode parameter specifies the required mode for the operation. The possible value for this parameter is either confirmed-service mode or non-confirmed-service mode.

The layer\_id parameter shall specify the identifier of the layer to be modified.

The MIB\_attribute parameter shall indicate the name of the variables in MIBs defined for each layer.

The MIB\_attribute\_value parameter shall indicate the value for the variables to be modified.

#### (3) When Generated

The manager to require the agent to modify the management information generates this primitive. This primitive is passed from the manager to the management information provider.

#### 4.5.2.3.6 SME SET.indication

## (1) Function

This primitive is the service indication primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

```
SME_SET.indication (mode, layer_id, MIB_attribute, MIB_attribute_value)
```

The mode parameter specifies the required mode for the operation. The possible value for this parameter is either confirmed-service mode or non-confirmed-service mode.

The layer\_id parameter shall specify the identifier of the layer to be modified.

The MIB\_attribute parameter shall indicate the name of the variables in the MIB to be modified.

The MIB\_attribute\_value parameter shall indicate the value for the variables to be modified.

#### (3) When Generated

This primitive is passed from the management information provider to the agent to indicate the arrival of SME\_SET.request.

#### 4.5.2.3.7 SME\_SET.response

## (1) Function

This primitive is the service response primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

SME\_SET.response (status, MIB\_attribute)

The status parameter shall indicate the results of the modification of the variables taken place on receipt of SME\_SET.indication. Either success or failure is applicable to this parameter. The MIB\_attribute parameter shall indicate the name of the variables designated by SME\_SET.indication. The response is identified by this parameter to be corresponding to the required operation.

#### (3) When Generated

The agent generates this primitive when the mode parameter of the previous associated SME\_SET.indication indicates the confirmed-service mode. This primitive is passed from the agent to the management information service provider.

## 4.5.2.3.8 SME\_SET.confirm

#### (1) Function

This primitive is the confirmation sevice primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameters.

SME\_SET.confirm (status, MIB\_attribute)

The status parameter shall indicate whether the result of the modification is success or failure. The MIB\_attribute parameter shall indicate the name of the variables designated by the SME\_GET.indication. The response is identified by this parameter to be corresponding to the required operation.

#### (3) When Generated

This primitive is passed from the management information service provider to the manager to report the arrival of the SME\_SET.response.

## 4.5.2.3.9 SME\_RESET.request

## (1) Function

This primitive is the service request primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide no parameters.

SME\_RESET.request()

#### (3) When Generated

The manager to require the agent to initialize the management information generates this primitive. This primitive is passed from the manager to the management information service provider.

## 4.5.2.3.10 SME\_RESET.indication

#### (1) Function

This primitive is the service indication primitive for the management operation service.

## (2) Semantics of Service Primitive

This primitive shall provide no parameters.

SME\_RESET.indication()

#### (3) When Generated

This primitive is passed from the management information service provider to the agent to indicate the arrival of the SME\_RESET.request.

## 4.5.2.3.11 SME\_RESET.response

## (1) Function

This primitive is the service response primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameter.

#### SME RESET.response (status)

The status parameter shall indicate the result of the initialization of the management information taken place on receipt of the SME\_RESET.indication. Either success or failure is applicable to this parameter.

#### (3) When Generated

This primitive is generated by the agent to report the result of the initialization of the management information, taken place on receipt of the SME\_RESET.indication, to the manager. This primitive is passed from the agent to the management information service provider.

## 4.5.2.3.12 SME\_RESET.confirm

#### (1) Function

This primitive is the confirmation service primitive for the management operation service.

#### (2) Semantics of Service Primitive

This primitive shall provide the following parameter.

#### SME\_RESET.confirm (status)

The status parameter shall indicate the result of the initialization of the management information taken place on receipt of the SME\_RESET.indication. Either success or failure is applicable to this parameter.

## (3) When Generated

This primitive is passed from the management information service provider to the manager to report the arrival of the SME\_RESET.response.

# **4.5.3** System Management Procedure

#### 4.5.3.1 Report of Event

An agent issues a SME\_EVENTREPORT request primitive to a management information service provider to report an event to a manager.

On receipt of the primitive the management information provider shall issue a SME\_EVENTREPORT indication primitive to the manager.

In the confirmed-service mode, the manager shall issue a SME\_EVENTREPORT response

primitive to the management information service provider to report the result whether the SME\_EVENTREPORT request primitive was accepted or not.

In the confirmed-service mode, the management information service provider shall issue a SME\_EVENTREPORT confirm primitive to the agent.

## 4.5.3.2 Retrieval of Management Information

A manager issues a SME\_GET request primitive to a management information service provider to require an agent to retrieve the values of variables in the MIB.

On receipt of the primitive the management information service provider shall issue a SME\_GET indication primitive to the agent.

If the agent is not capable of carrying out the operation, the agent shall issue a SME\_GET response primitive containing the status parameter of failure to the management information service provider.

If the agent is capable of carrying out the operation, the agent shall identify the designated layer according to the layer\_id parameter, and issue a XX\_GET request primitive (either ALME, MLME or PLME is applicable to XX) to the layer management entity supported by the designated layer to request the retrieval of the values. The values are derived from the XX\_GET confirm primitive of the layer management entity.

On receipt of the XX\_GET confirm primitive containing status parameter of success, the agent shall issue a SME\_GET response primitive with the MIB\_attribute\_value parameter of the retrieved value and the status parameter of success to the management information service provider. On receipt of the XX\_GET confirm primitive containing status parameter of failure, the agent shall issue a SME\_GET response primitive with the status parameter of failure to the management information service provider.

If the layer\_id is not defined, the agent shall derive the values of variables from the MIB that the agent is allowed to access directly.

On successful retrieval of the values, the agent shall issue a SME\_GET response primitive containing status parameter of success to the management information service provider. On unsuccessful retrieval of the values, the agent shall issue a SME\_GET response primitive containing status parameter of failure to the management information service provider.

On receipt of the SME\_GET response primitive from the agent, the management information service provider shall issue a SME\_GET confirm primitive to the manager and thus complete

the operation of the management information retrieval.

# 4.5.3.3 Modification of Management Information

A manager issues a SME\_SET request primitive to a management information service provider to require an agent to modify the values of variables in the MIB.

On receipt of the primitive the management information service provider shall issue a SME\_SET indication primitive to the agent.

If the agent is not capable of carrying out the operation, the agent shall issue a SME\_SET response primitive containing the status parameter of failure to the management information service provider.

If the agent is capable of carrying out the operation, the agent shall identify the designated layer according to the layer\_id parameter, and issue a XX\_SET request primitive (either ALME, MLME or PLME is applicable to XX) to the layer management entity supported by the designated layer to request the modification of the values.

On receipt of the XX\_SET confirm primitive containing the status parameter of success, the agent shall issue a SME\_SET response primitive with the status parameter of success to the management information service provider. On receipt of the XX\_SET confirm primitive containing the status parameter of failure, the agent shall issue a SME\_SET response primitive with the status parameter of failure to the management information service provider.

If the layer\_id is not defined, the agent shall modify the values of variables from the MIB that the agent is allowed to access directly.

On successful modification of the values, the agent shall issue a SME\_GET response primitive containing the status parameter of success to the management information service provider. On unsuccessful modification of the values, the agent shall issue a SME\_SET response primitive containing the status parameter of failure to the management information service provider.

On receipt of the SME\_SET response primitive from the agent, the management information service provider shall issue a SME\_SET confirm primitive to the manager and thus complete the operation of the management information modification.

# 4.5.3.4 Initialization of Management Information

A manager issues a SME\_RESET request primitive to a management information service provider to require an agent to initialize the values of variables in the MIB.

On receipt of the primitive the management information service provider shall issue a SME\_RESET indication primitive to the agent.

If the agent is not capable of carrying out the operation, the agent shall issue a SME\_RESET response primitive containing the status parameter of failure to the management information service provider.

If the agent is capable of carrying out the operation, the agent shall derive the initial values for each MIB of the respective layers from the MIB under its own control, and shall issue XX\_RESET request primitives (either ALME, MLME or PLME is applicable to XX) for each layer to request each layer management entity to modify the values respectively.

If all the received XX\_RESET confirm primitives from those layers contain the status parameter of success, the agent shall issue a SME\_RESET response primitive with the status parameter of success to the management information service provider. If at least one of the received XX\_RESET confirm primitives contain the status parameter of failure, the agent shall issue a SME\_RESET response primitive with status parameter of failure to the management information service provider.

On receipt of the SME\_RESET response primitive from the agent, the management information service provider shall issue a SME\_RESET confirm primitive to the manager and thus complete the operation of the management information initialization.

## 4.5.4 Independent Management Operation of Agent

#### 4.5.4.1 Failure Detection of Mobile Station

An agent shall maintain a timer (failure detection timer) which is independent of the other functions.

The agent shall periodically initialize the failure detection timer in order not to make it expired.

On detection of the expiration of the failure detection timer, the agent shall regard the mobile station to have failed, and shall carry out the necessary operation at least to prevent the erroneous transmission.

The maximum value of the failure detection timer shall be t9 sec.

#### **Chapter 5 Measurement Methods**

In subcaluse 5.1 Transmission system and subcalause 5.2 reception system, the measurement methods are presented for transceiver with attaching connectors to antenna and pattern generator. For transceiver without attaching connectors, the measurement methods are given in subclause 5.3. The attaching connectors to antenna should have enough return loss to measure with measurement test sets.

## 5.1 Transmission system

Detailed explanations on measurement methods are given Subclauses subclause 5.1.1 through 5.1.11.

For an subclause in which more than one measurement method is described, any method can be used as long as the measurement accuracy is maintained.

The following subclauses are common for all the measurement methods.

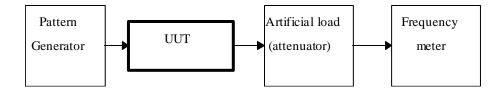
(a) The standard encoding test signal used for modulation shall be pseudo noise random test signal.

The generator polynomial shall be:  $1 + X^{14} + X^{15}$ 

- (b) Definition for inner burst section shall be at least 73 octets ranging from the beginning of the symbol immediately after ramp up to the end of the symbol immediately before ramp down.
- (C) Definition of outer burst section shall be at least 94 octets from the end of the symbol immediately before ramp down minus 3 symbols to the beginning of the symbol immediately after ramp up of the next slot minus 3 symbols.

## **5.1.1 Frequency tolerance**

(1) Measuring system diagram



- (2) Conditions for measuring instrument
- a. A frequency counter shall be used as the frequency meter.
- (3) Conditions for unit under test (UUT) and measuring procedures
- a. Test frequency should be set for transmission.

Output signal of pattern generator shall be the standard encoding test signals. UUT shall be set continuously transmitting mode.

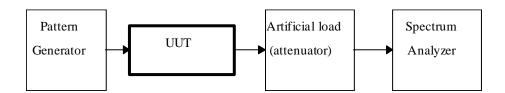
- b. When fixed pattern test signals for modulation is used by test mode UUT the offset by test signals may be rectified.
- c. When a transmitter can output non-modulated carrier waves and features a circuitry system in which the center of modulation spectrum becomes the carrier frequency, non-modulated can be applied.

## (4) Other methods

In the case of radio equipment using a circuitry in which the frequency accuracy of the reference oscillator becomes the transmit output frequency accuracy, measurement can be done directly with the reference oscillator output frequency.

#### **5.1.2** Transmission spurious

(1) Measuring system diagram



- (3) Conditions for unit under test (UUT) and measuring procedures
- a. Test frequency should set for transmission.

Output signal of pattern generator shall be the standard encoding test signals. UUT shall be set continuously transmitting mode.

## (2) Conditions for UUT

The test frequency is set for transmission and output power is continuous. When a transmitter can output non-modulated carrier waves and features a circuitry system in which the center of modulation spectrum becomes the carrier frequency, non-modulated can be applied.

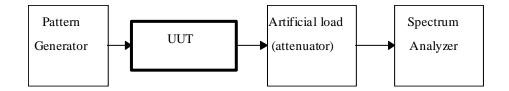
## (3) Measuring procedures

a. the spurious shall be searched for from 100kHz to 18GHz except for center frequency +/-14MHz.

b. Measure the power for spurious frequency.

## 5.1.3 Occupied bandwidth

(1) Measuring system diagram



- (2) Conditions for unit under test (UUT) and measuring procedures
- a. Test frequency should set for transmission.

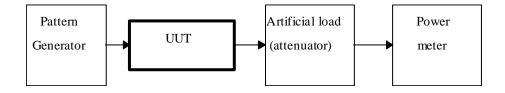
Output signal of pattern generator shall be the standard encoding test signals.

UUT should set to continuously transmitting mode.

b. The frequency sweeping range of spectrum analyzer should about 2 to 3.5 times the occupied bandwidth standard.

## **5.1.4** Transmit power

(1) Measuring system diagram



## (2) Condition for measuring instrument

The time constant for the power meter should sufficiently longer than the burst cycle.

- (3) Conditions for unit under test (UUT)
- a. Test frequency should set for transmission.

Output signal of pattern generator shall be the standard encoding test signals.

UUT shall set continuously transmitting mode.

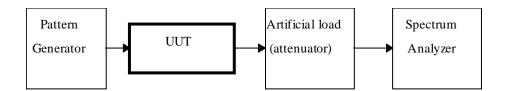
b. When a transmitter can output non-modulated carrier waves and features a circuitry system in which the center of modulation spectrum becomes the carrier frequency, non-modulated can be applied.

# (4) Definition of transmitting power

The transmitting power of ASK modulation is defined peak power. In case of 50% mark the transmitting power equal to measuring power plus 3dB. When the transmitter output non-modulated carrier the transmitting power equal to measuring power.

## 5.1.5 Leakage power during carrier off period

# (1) Measuring system diagram

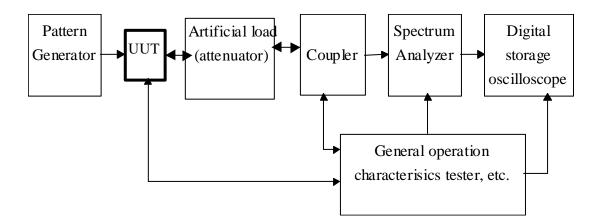


- (2) Conditions for unit under test (UUT)
- a. Test frequency shall set for transmission.UUT shall set continuously carrier off mode.

## 5.1.6 Burst transmission transient response time

<In case of using general operation characteristics tester>

## (1) Measuring system diagram

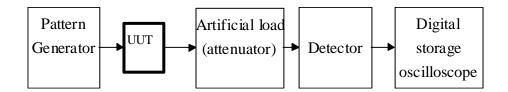


## (2) Conditions for measuring instruments

- a. The general operation characteristics tester or equipment which can output the trigger signal corresponding to the timing of the transmission burst shallbe—used.
- b. A spectrum analyzer attaching a video output terminal shall used. Also, the vertical axis of the oscilloscope for the entire measuring system comprised of spectrum analyzer and oscilloscope shall pre-calibrated using the power meter.
- (3) Conditions for unit under test (UUT)
- a. Test frequency shall set for transmission.UUT shall be set to the mode of normal operation.

<In case of direct detecting modulated signal>

# (1) Measuring system diagram



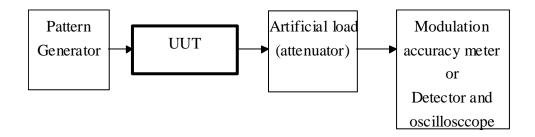
# (2) Conditions for measuring instruments

The output signal of pattern generators to be set as shown below: All "0" or all "1" or recurring pattern of "01".

- (3) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.

#### 5.1.7 Modulation index

## (1) Measuring system diagram



## (2) Conditions for measuring instruments

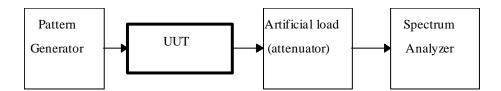
The modulation accuracy meter shall have a reception roll-off filter function and be capable of measuring the difference of effective value between the ideal signaland the signal that is actually transmitted.

- (3) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.

UUT shall be set continuously transmitting mode.

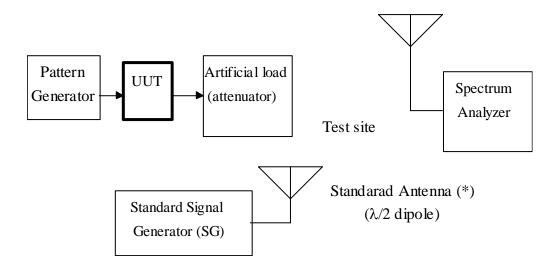
b. When a transmitter can set non-modulated carrier output mode and continuously carrier off mode, it can be applied that the modulation accuracy is obtained from the carrier on/off ratio.

## 5.1.8 Adjacent channel leakage power



- (3) Conditions for unit under test (UUT)
- (a). Test frequency shall be set for transmission. UUT shall be set continuously transmitting mode.

#### **5.1.9** Cabinet radiation

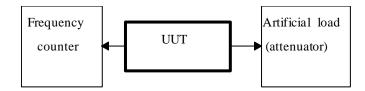


- \*Pre-calibrated antenna equivalent to /2 dipole antenna can be applied. Conditions for measuring instruments
- (3) Conditions for measuring instruments
- a. The antenna terminal of the unit under test (UUT) is terminated with an artifical load.
- b. Measurement is done in the open site or anechoic chamber with directinal antenna for measurement. It is better to suppress the reflected ground wave to avoid trouble due to complication of height pattern. Therefore, it is better to cover the floor in the middle of measurement with absorbing materials.
- c. If one side of the UUT exceeds 60 cm the distance of measurement shall be greater than 5 times the distance. If the measuring frequency is less than 100 MHz,measurment is done in the 30 m test site.

- (1) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.

## 5.1.10 Modulation signal rate tolerance

## (1) Measuring system diagram



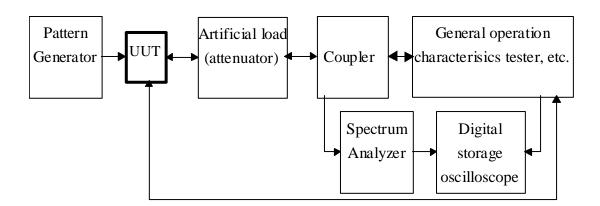
## (2) Conditions for measuring instruments

The measuring accuracy of the frequency meter should be over one digit larger than the permissible deviation of the applicable frequency.

- (3) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.UUT shall be set continuously transmitting mode.

## 5.1.11 Deviation of absolute signal transmission time

<In case of using general operation characteristics tester>



- (2) Conditions for measuring instruments
- a. The general operation characteristic tester shall feature the base station function to establish connection to the unit under test (UUT).
- b. The general operation characteristics tester shall be capable of yielding the difference between the tester's transmission timing and UUT's transmission timing.
- c. The general operation characteristics tester which can output the trigger signal corresponding to the timing of the transmission burst shall be used.
- d. A spectrum analyzer attaching a video output terminal shall be used.
- (3) Conditions for unit under test (UUT)

UUT shall be set to the normal operation.

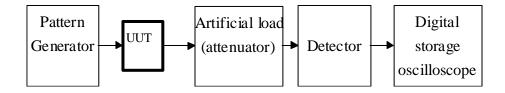
a. Test frequency shall be set for transmission.

## (4) Other methods

It can be applied that the characteristic is obtained from the measuring the transmission timing inner of UUT or general operation characteristics tester.

<In case of direct detecting modulated signal>

#### (1) Measuring system diagram



### (2) Conditions for measuring instruments

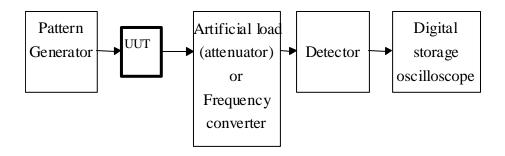
The output signal of pattern generators to be set as shown below:

All "0" or all "1" or recurring pattern of "01".

- (3) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.

## 5.1.12 Eye pattern

## (1) Measuring system diagram



- (3) Conditions for unit under test (UUT)
- a. Test frequency shall be set for transmission.UUT shall be set continuously transmitting mode.

### (4) Other method

The characteristics obtained from the measuring the envelope of the UUT output signal can be applied directly without detector.

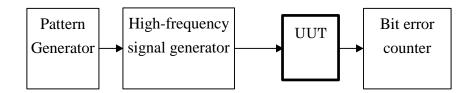
## 5.2 Reception system

Detailed explanation on measurement methods are given subclauses 5.2.1 through 5.2.6. Common measuring procedures involving bit error measurement are shown below.

< Bit error measurement>

## (1) Measuring system diagram

This diagram presents basic bit error measuring system. On each measurement methods necessary fixtures shall be supplied.



- (2) Conditions for measuring instruments
- a. High-frequency signal generator

Frequency : transmission frequency

Stability : within  $\pm 1 \times 10^{-5}$ Modulation index : more than 0.8 Adjacent channel leakage power : 40dB or more

Level Adjustment : should be measured by power meter with the

standard encoding continuous test signal.

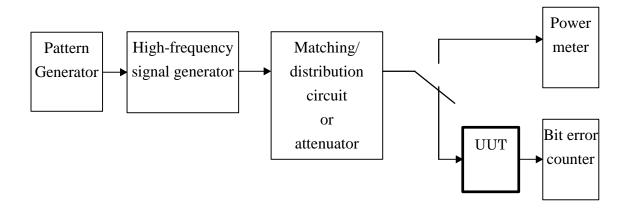
b. Pattern generator

Clock frequency : 1024 kHzClock accuracy : within  $\pm 1 \times 10^{-6}$ 

- (3) Conditions for unit under test (UUT)
- a. The UUT shall be set to the frequency for reception.
- b. The mobile station shall be set to the mode of only receiving.

## 5.2.1 Reception sensitivity

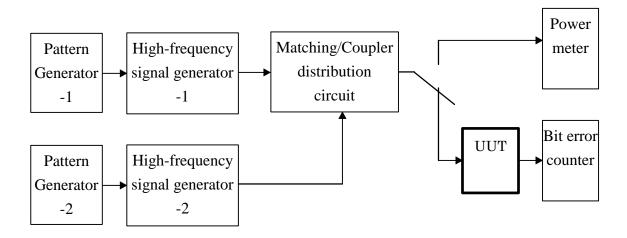
Measuring system diagram



(2) Conditions for measuring instruments and for UUT Refer to < Bit error measurement>.

## 5.2.2 Adjacent signal selectivity

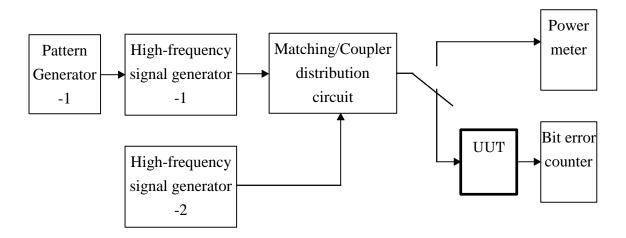
## (1) Measuring system diagram



- (2) Conditions for measuring instruments and for UUT Refer to < Bit error measurement>.
- (3) Pattern generator-2 the generator polynomial of pattern generator-2 can be different from the polynomial of the pattern generator-1.

## 5.2.3 Spurious response rejection ratio

## (1) Measuring system diagram



(2) Conditions for measuring instruments and for UUT

Refer to < Bit error measurement>.

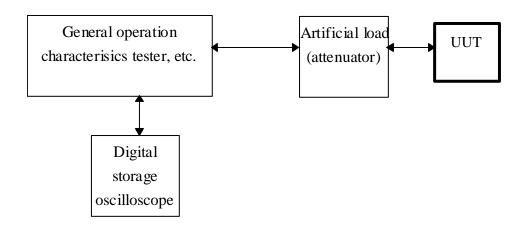
## 5.2.4 Cabinet radiation

The UUT shall be set to the frequency for reception.

The other conditions and the measurement procedures are the same as subclause 5.1.9.

#### 5.2.5 Channel selection time of mobile station

## (1) Measuring system diagram



(2) Conditions for measuring instruments and for UUT Refer to < Bit error measurement>.

# 5.2.6 Strength of secondary radio emission

The UUT shall be set to the frequency for reception.

The other conditions and the measurement procedures are the same as subclause 5.1.5.

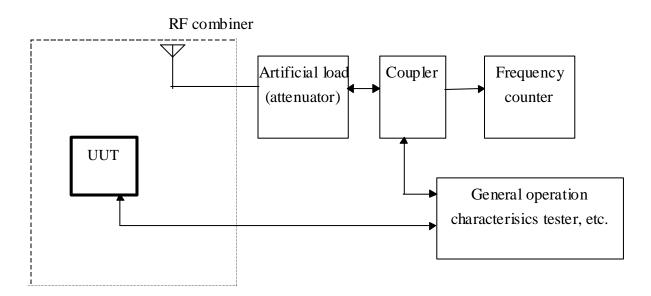
#### 5.3 The measurement methods of without attaching connectors

The UUT (transceiver) without connectors to antenna or to pattern generator should be tested following manner and referred the measurements diagram below.

The UUT should have a facility to mesure by establishing connection to the general operation characteristic tester and be changed the specific parameters by the commands from the tester. It can be applied that the commands are received directly from the tester through cables.

## 5.3.1 Transmission system

## **5.3.1.1** Frequency tolerance



- (2) Conditions for measuring instruments
- a. The reception level of UUT shall be set enough power to demodulate without errors. And the output power of the general operation characteristic tester shall be set small power to ignore the leakage of the coupler to the frequency counter.
- b. When a transmitter can output non-modulated carrier waves and features a circuitry system in which the center of modulation spectrum becomes the carrier frequency, non-modulated can be applied and the frequency counter can be directly connected to the RF combiner.

## (3) Conditions for UUT

a. Test frequency shall be set for transmission.

UUT shall be set to continuously transmitting mode.

b. When a transmitter can output non-modulated carrier waves non-modulated can be applied.

#### (4) Coding signals

Bellow are the coding signals:

The internal signal of UUT

The received signal from the general operation characteristic tester through RF combiner.

The received signal from the general operation characteristic tester through cable.

## **5.3.1.2** Transmission spurious

Measurement procedures

This measurement is done in the test site the same as the measurement of cabinet emission. The conditions for instruments or for UUT are the same as subclause 5.1.2 and the test coding signal is same subclause 5.3.1. (4).

#### (2) Result calculation

Equivalent radiation power = (above measurement power) / (relative gain of test antenna)

Relative gain = (maximum gain of the test antenna) / (1/2 dipole antenna)

## 5.3.1.3 Occupied bandwidth

The conditions for instruments or for UUT are same subclause 5.1.3 and the test coding signal is the same as subclause 5.3.1.1. (4).

## 5.3.1.4 Transmit power

## (1) Measurement procedures

This measurement shall done in the test site as same as the measurement of cabinet emission. The conditions for instruments or for UUT are same subclause 5.1.4 and the test coding signal is same subclause 5.3.1. (4).

#### (2) Result calculation

Equivalent radiation power = (above measurement power) / (relative gain of test antenna)

Relative gain = (maximum gain of the test antenna) / (1/2 dipole antenna)

## 5.3.1.5 Leakage power during carrier off period

The conditions for instruments or for UUT are the same as subclause 5.1.5 and the test coding signal is the same as subclause 5.3.1.1. (4).

## 5.3.1.6 Burst transmission transient response time

The conditions for instruments or for UUT are same subclause 5.1.6 and the test coding signal is same subclause 5.3.1.1. (4).

#### **5.3.1.7** Modulation index

The conditions for instruments or for UUT are same subclause 5.1.7 and the test coding signal is the same as subclause 5.3.1.1. (4).

## 5.3.1.8 Adjacent channel leakage power

The conditions for instruments or for UUT are the same as subclause 5.1.8 and the test coding signal is the same as subclause 5.3.1.1. (4).

#### 5.3.1.9 Cabinet radiation

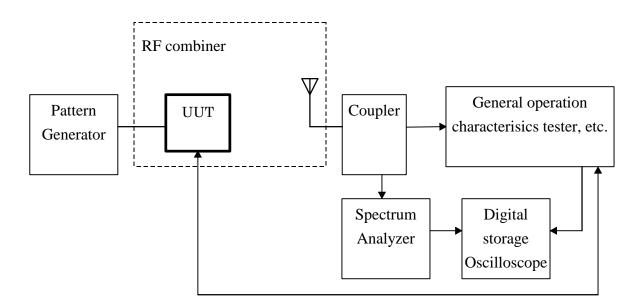
This measurement is same as subclause 5.1.3.2 Transmission spurious and the test coding signal is same subclause 5.3.1.1. (4).

## **5.3.1.10** Modulation signal rate tolerance

The conditions for instruments or for UUT are the same as subclause 5.1.10 and the test coding signal is the same as subclause 5.3.1.1. (4).

## 5.3.1.11 Deviation of absolute signal transmission time

## (1) Measuring system diagram



## (1) The conditions for instruments or for UUT

The conditions for instruments or for UUT are the same as subclause 5.1.10 and the test coding signal is the same as subclause 5.3.1.1. (4).

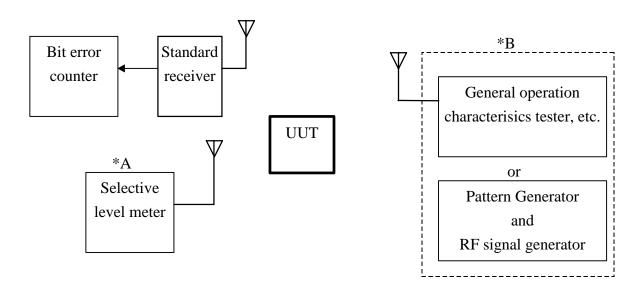
## **5.3.1.12** Eye pattern

The conditions for instruments or for UUT are same subclause 5.1.12 and the test coding is same subclause 5.3.1.1. (4).

## 5.3.2 Reception system

## **5.3.2.1** Reception sensitivity (measuring in test site)

## (1) Measuring system diagram



- (2) The conditions for instruments or for UUT
- a. The conditions of test site are the same as subclause 5.1.9 "Cabinet radiation".
- b. The set \*A should be able to measure the received power at the position of UUT. The antenna \*A shall be 1/2 dipole antenna with right-hand circular polarization.
- c. The set \*B should accord with the tester of subclause 5.1.2
- d. The standard receiver should be able to receive the signal from UUT and output demodulated data in accordance with subclause 5.1.2 to the bit error counter.

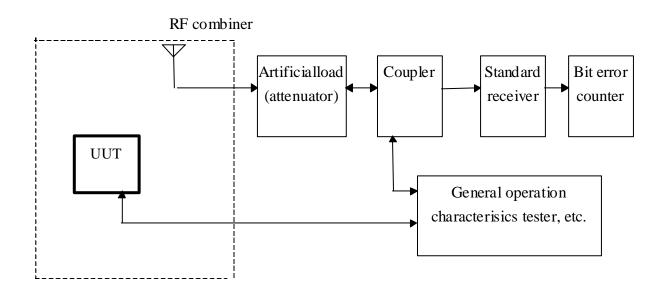
The standard receiver should be placed at about 3 m from the UUT and an about 4.2 m from the antenna \*B in order to receive the signal from UUT without errors and not to interfere the measurement.

- e. When UUT is able to output the demodulated data from attached connectors, the bit error counter can be applied to connect directly to UUT.
- (3) Conditions for unit under test (UUT)

a. The UUT shall be set to the frequency for reception.

## **5.3.2.4** Reception sensitivity (measuring with RF combiner)

## (1) Measuring system diagram



## (2) The conditions for instruments

- a. The RF combiner shall be corrected at each transmission frequency in test site the same as measuring "cabinet radiation" assuming that the effect to UTT is negligible.
- b. The reception level of standard receiver from UUT shall be set to enough power to receive without errors. And the output power of the general operation characteristic tester shall be set small power to ignore the leakage of the coupler to the standard receiver.
- (3) Conditions for unit under test (UUT)
- a. The UUT shall be set to the frequency for reception.

## 5.3.2.3 Adjacent signal selectivity

The conditions for instruments or for UUT are the same as subclause 5.2.2 using RF combiner.

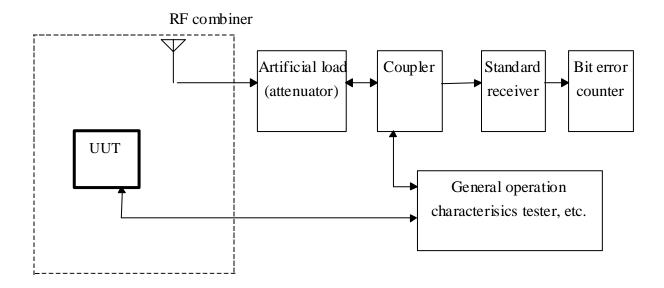
## **5.3.2.4 Spurious response**

- (1) Measuring in test site
- a. The test site and receiving power are same as subclause 5.3.2.1 "Reception sensitivity".
- b. The test circuit for combining the undesired signal with the desired signal is the same as the circuit in subclause 5.2.2. The undesired signal shall be set to the specific level, which meets the ratio to the desired signal is equal to the defined value in spurious response specification.
- (2) Measuring with RF combiner
- a. The RF combiner and receiving power are same as subclause 5.3.2.1 "Reception sensitivity".
- b. The test circuit for combining the undesired signal with the desired signal is the same as the circuit in subclause 5.2.2. The undesired signal shall be set to the specific level, which meets the ratio to the desired signal is equal to the defined value in spurious response specification.

#### 5.3.2.5 Cabinet radiation

This measurement is the same as subclause 5.1.3.2 "Transmission spurious".

## 5.3.2.6 Channel selection time of mobile station



(2) Conditions for measuring instruments and for UUT

Refer to < Bit error measurement>.

# 5.3.2.7 Strength of secondary radio emission

The UUT shall be set to the frequency for reception.

The other conditions and the measurement procedures are the same as subclause 5.1.5.

#### **Chapter 6 Definitions and Abbreviations**

#### **6.1 Definitions**

## [Action]

An operation which should be performed by the receiving Service User in the layer 7. Its semantic is defined as part of the element definition.

## [Activation slot (ACTS)]

Communication slot for multiple activation channels (ACTC) for remote mobile station to enter the communication link of base station.

### [Agent]

A management services user that can manage the managed object regarding the interactions of system management and send the notification in place of the management object.

## [Association]

Service to register the remote mobile station link address to the communication link of the base station to permit communication between base station and remote mobile station. It has the same meaning as the initialization.

## [Application Entity (AE)]

The aspect of an application process pertinent to communication system.

#### [Application service data unit (ASDU)]

Data associated with a SP invocation of an Application Service Element in the layer 7.

## [Application data unit (ADU)]

Data unit specified in an application and transferred between two application entities.

#### [Application process]

An element within a communication system which performs the information processes of a particular application.

## [Application protocol data unit (APDU)]

Data Units exchanged between peer Application Service Elements.

#### [Application Service Element (ASE)]

A part of an Application Entity resp. The layer 7 that provides a communication environment capability, using underlying LLC services where appropriate. The Application

Service Elements describe the encapsulation of data structures by offering a well-defined set of Service

## [Attributes]

An Attribute has an associated value, which can exhibit structure. The value of an Attribute can determine or reflect the Behaviour of the element. The value of an Attribute is observed or modified by sending a request to an element to read (GET) or write (SET) the value. Operations on Attributes are defined to be performed upon the Element that contains the Attributes and not directly upon the Attributes.

## [Attribute Identifier]

An identifier used to distinguish an attribute of an element from all other attributes.

## [Base station]

Fixed communication equipment on the roadside. Provides one or more down channels and one or more up channels. Performs communication with plural mobile stations. It has the same meaning as the roadside unit (RSU) or the beacon.

## [Beacon Service Table (BST)]

A BST is generated in the layer 7 of the base station. On the layer 7 of the base station, the I-KE collects application identifiers, initial data, and protocol layer parameter relevant for the communication. The application identifiers are stored in the BST as a priority list defining the order in which applications are served. The base station transmits the BST. The reception of the BST on the mobile station side is the initiator of each data transfer from applications on mobile station side. The vehicle's I-KE evaluates a received BST and indicates the availability (including parameter) of services to the corresponding APs and Application Service Elements.

## [Behavior]

The way in which elements, attributes, notifications and actions interact with each other or with actual resources they model. It is part of the definition of an element.

#### [Broadcast Kernel Element (B-KE)]

The B-KE of the layer 7 is responsible for the collection, broadcast and retrieval of data for plural applications and/or plural mobile stations.

## [Broadcast link address]

The pre-defined SAP used as a broadcast (all parties) address. It can never be the address of a single SAP on the layer 2.

### [Braoadcast Pool]

This is defined in the layer 7. File, cyclically broadcast from the base station to the mobile stations. Records may be independent inserted from several Service Users.

### [Coding]

A function performed by the Transfer-service-provider, which transfers the data from a special local syntax (related to the local hardware) into transfer syntax common for all communication systems (with the same applications on them). The peer Transfer-service-provider decodes this data from transfer syntax into its own local syntax. The common abstract description of this data is the abstract syntax notice 1 (ASN.1).

## [Command]

An instruction in data communications. It is represented in the control field of a PDU and transmitted by an LLC. It causes the addressed LLC(s) to perform a specific data link control function.

## [Communication System]

A set of one or more computers, the associated software, peripherals, human operators, physical processes, information transfer means, etc., that forms an autonomous whole capable of performing information processing and/or information transfer and which complies with the requirements of the communication standards in its communication with other communication systems.

#### [Concatenation]

A function performed by the Transfer Kernel Element of the layer 7 to map multiple Application Layer Protocol Data Units or the layer 7 Fragments into one layer 2 Logical Service Data Unit.

## [Data link]

An assembly of two or more terminal installations and the interconnecting communications channel operating according to a particular method that permits information to be exchanged between the remote layer 2 entities.

#### [Data link layer]

It has the same meaning as the layer 2.

## [Down link]

Communication channel on which the base stations transmits its information to mobile stations.

### [Element]

Application Service Element or User Element, Elements in the layer 7 are abstractions of

data processing and data communication resources. These elements represent the parts of the communication system building up resp. using the layer 7 functionalities.

## [Element Class]

A group of different Application Service Elements resp in the layer 7. User Elements which share the same definition but use different resources (e.g. memory locations) to represent their state and have different Distinguished Names and/or Element Identifiers.

#### [Element Identifier]

The registered name of a layer 7 (Application Layer) Kernel Service User which is unambiguous in each piece of equipment and the same for the same Element in each Communication System.

## [Entity]

An active element within a system.

## [Encapsulation]

A relationship between an Element and its Attributes and Behaviour.

## [Fragmentation]

A function performed by the Transfer-service-provider of the layer 7 to map one Transfer-SDU on plural LLC-SDUs.

#### [Frame control message Slot (FCMS)]

Frame control information field for the communication profile and information such as data slot, for making communication with base station.

## [Head of Line]

Queueing discipline (also: strict priority queueing or fixed priority queueing), a number of queues are served in priority order, each queue is served in First-Come-First-Serve order.

#### [Identifier]

The unique IDs of equipment. The term identifier is used in a different concept from the term element identifier.

## [Initialzation Kernel Element (I-KE)]

The I-KE is responsible for the initialisation of the communication on the level of the Layer 7.

### [Initialization]

It has the same meaning as the association.

## [Instance (Instantiation)]

The process of creating an Element in the layer 7 according to an Element Class Definition. An identifier is used to name each element unambiguiously.

### [Layer 1]

The conceptual layer of transmitting or receiving signals through physical medium channel. This layer provides service for the layer 2. It is the same meaning of the physical layer.

## [Layer 2]

The conceptual layer of control or processing logic existing in the hierarchical structure of a station that is responsible for maintaining control of the data link. This layer provides service for the layer 7. It has the same meaning as the data link layer.

## [Layer 7]

The layer 7 contains all functions, which imply communication between a base station and a mobile station not already performed by lower layers. These include functions performed by programs as well as functions performed by human beings.

This layer provides service for applications. It has the same meaning as the application layer.

## [Layer management entity (LME)]

Management entity determined for each layer for facilitating control of the entire system, which is not specified by services of each layer (the layer 1, the layer 2 (MAC sublayer and LLC sublayer) and the layer 7).

## [Link address]

Service access point address at the beginning of an LPDU, APDU, which identifies the SAP, designated to receive PDU and the SAP transmitting the PDU.

#### [Link service data unit (LSDU)]

Data unit exchange between LLC sublayer and more significant layer located above an LLC sublayer.

#### [LLC Protocol Data Unit (LPDU)]

Data Unit transmitted between two LLC protocols instances.

#### [Logical link control (LLC)]

A part of communication facility that supports the logical link control function of one or more logical links. The LLC generates command PDUs for transmission, and interprets received command PDUs and response PDUs.

## LLC provides the following functions.

- (1) Initialization of transmission/reception of PDU
- (2) Control of data flow
- (3) Interpretation of received command PDUs and generation of appropriate response PDUs.
- (4) Actions regarding error control and its recovery function in LLC sublayer

## [LLC control field]

The first control field of LPDU. The content of the control field is interpreted by the receiving destination LLC(s), designated by the link address, as a command, from the source LLC designated by the link address, instructing the performance of some specific function, or as a response, from the source LLC designated by the link address.

### [LLC Service Data Unit (LSDU)]

Vertically transmitted data unit between the layer 7 and the LLC sublayer.

## [Logical Link protocol data unit (LPDU)]

Data unit delivered from or to the LLC sublayers.

## [MAC control field]

The portion of the frame that holds the control information fields that permits MAC sublayer to perform suitable control.

#### [MAC protocol data unit (MPDU)]

Data unit exchanged between MAC sublayers.

## [MAC service data unit (MSDU)]

Data unit exchanged between LLC sublayer and MAC sublayer.

## [Managed object]

A side of the resource management in the OSI environment managed via system management process.

## [Management Information Provider]

A whole entity provides the management information to Management Information Service Users.

#### [Management Information Base]

A conceputual memory of information database in the OSI environment.

### [Management Information Service]

Services are provided by Application Servic User Elements specified in the system management entity.

#### [Management Information Service User]

Users of the Management Information Services provided by Management Information Provider.

## [Medium access control (MAC)]

The part of a data station that supports the medium access control functions that resides just below the LLC sublayer. The MAC procedures include framing/deframing data units, performing error checking, and acquiring the right to use underlying physical medium.

### [Message data slot (MDS)]

Communication slot for data transmission/reception in a communication frame.

## [Mobile station]

A mobile communication facility capable of receiving information from the base stations on the downlink, also capable of transmitting information on the uplink. It is the same meaning of the on-board equipment.

## [Multicast (group) link address]

A destination address assigned to a collection (group) of SAPs to facilitate their being addressed collectively.

#### [Multiplexing]

A function within the Transfer-service-provider of the layer 7 by which one LLC-SAP is used to support more than one Transfer-service-user.

## [Notification]

At the layer 7, Elements may be defined to emit notifications when some internal or external event occurs Notifications are specific to the elements that emit them. At system management, the notifications are defined as the notification of the information that has occured in a particular management object and emitted according to the corresponding phenomena.

## [Octet]

A bit-oriented element that consists of eight contiguous binary bits.

#### [On-board equipment (OBE)]

It has the same meaning as the mobile station.

## [Operation]

An operation is the means to access a functionality or information of an element or to change its state. The execution of the operation is controlled by the element.

## [Private link address]

Used as an address to perform point-to-point communication between the base station. The remote mobile station creates this.

#### [Profile]

Information about capabilities and/or settings in the different communication layers and/or Application Processes. A profile is identified by an INTEGER.

### [Protocol data unit (PDU)]

The sequence of contiguous octets delivered as a unit from or to the MAC sublayer. Valid LLC PDU (LPDU) is at least 1 octet in length and includes, an LLC Control Field. An LPDU may or may not include an LPDU information field.

## [Response]

In data communications, a reply represented in the control field of a response PDU. It advises the destination LLC of the action taken by the source LLC to one or more command PDUs.

#### [Road side equipment (RSU)]

It has the same meaning as the base station.

#### [Service]

The capabilities and features provided by N-layer to N-user.

## [Service Primitive (SP)]

An abstract implementation of independent interaction between a Service User and a Service Provider.

## [Service Provider]

A Service Element or a communication Layer provides a special capability to Layer Service Elements or a User Element by means of Service Primitives.

## [Service User]

A Service Element or a User Element which makes use of a service of a Service Provider.

## [System management Application Entity]

An application entity which executes the function of the system management.

## [System management entity (SME)]

System management layer that functions in coordination with the layer management entity that is determined for each layer for facilitating controlling of the entire system, which is not specified by services of the layer 1, the layer 2 or the layer 7.

## [System Management Service]

Group of service primitives named for providing services in system management entity.

## [System Mangement Operation]

Operation for executing the system management to managed objects.

### [Transfer Kernel Element (T-KE)]

The T-KE of the layer 7 is responsible for the transfer of an APDU to the peer entity. It includes the needed, but extremely reduced, functionality from the layer 2 to the Layer 7. These are (de-) coding to transfer syntax, (de-) Fragmentation, and (de-) Multiplexing with priority handling.

## [Up link]

Communication channel on which the mobile station transmits its information to the base station.

#### [User Element]

The representation of that part of the application process in the layer 7 which uses those application service elements needed to accomplish the communication elementives of the application process. Replacing the application processes for each layer process, it has the same meaning.

### [Vehicle Service Table (VST)]

The VST is the answer of the I-KE inside the layer 7 of the mobile station on the BST. It contains the identifiers of all application present in the BST and registered in the mobile station and the profile used for further communication.

### 6.2 Abbreviation

[A]

ACPI : Activation Possibility Identifier

Ack : Acknowledged ACKC : Ack Channel

ACn : C/RAcknowledge command/response

ACTC : Activation Channel

ACTS : Activation Slot

ADU : Application Data Unit

AI : Acknowledgement Information field

AID : Application Element Identifier

AP : Application Process API : Application Identifier

APDU : Application Protocol Data Unit
ASDU : Application Service Data Unit
ASE : Application Service Element
ASK : Amplitude Shift Keying

ASN.1 : Abstract Syntax Notation One

ATI : Area Type Identifier

[B]

BER : Bit Error Rate
BP : Broadcast Pool

B-KE : Broadcast Kernel Element BST : Beacon Service Table

[C]

CCZ : Continuous Communication Zone identifier
 CEN : European Committee for Standardization
 CI : Control Information subfield of SCI
 CM : Communication Mode identifier

CRC : Cyclic Redundancy Check

C/L : Continued/Last

C/R : Command/Response CW : Continuous Wave

[D]

DSRC : Dedicated Short-range Communication

DR : Direction Identifier

[E]

EID : Element Identifier

e.i.r.p : Equivalent Isotropic Radiation Power

[F]

FCMS : Frame Control Message SlotFCMC : Frame Control Message ChannelFDMA : Frequency Division Multiple Access

FID : Fixed Equipment ID

FRG : Fragmentation

FSI : Frame Structure Information field

FTI : Frequency Type Identifier

[G]

[H]

HDR : High Data Rate

[I]

ID : Identifier

IID : Invoker Identifier

IMI : Initialisation Mode Identifier

ITU : International Telecommunication Union ITU-R : ITU-Radio Communication Bureau

I-KE : Initialisation Kernel Element

ISO : International Organization for Standardization

[J]

[K]

[L]

L1 : Physical Medium Laye (Layer 1)

L2 : Data Link Layer (Layer 2) L7 : Application Layer (Layer 7)

LI : Length indicator information field of LPDU

LID : Link Address

LLC : Logical Link Control

LME : Layer Management Entity

LPDU : Link Protocol Data Unit

LRI : Link Request Information field
LSAP : LLC Service Access Point
LSB : Least Significant Bit

LSDU : LLC Service Data Unit

[M]

MAC : Medium Access Control

mand. : Mandatory

MDC : Message Data Channel

MDS : Message Data Slot

MIB : Management Information Base

MPDU : MAC Protocol Data UnitMSB : Most Significant BitMSDU : MAC Service Data Unit

[N]

Nack : Not acknowledged nonmand : Non Mandatory

[O]

OBE : On-Board Equipment ( Mobile station)

OSI : Open Systems Interconnection

[P]

PDU : Protocol Data Unit

PHY : Physical Layer (Layer 1)

PR : Preamble

PN : Pseudo random Noise

PVI : Protocol Version Information field

req/ind : request/indication resp/conf : response/confirm

[Q]

[R]

RF : Radio Frequency

RLT : Release Timer information field RSU : Road Side Unit (base station)

[S]

SAP : Service Access Point

SC : Service Code

SCI : Slot Control Information field

SEQ : Sequence Number SI : Slot Identifier

SIG : Signaling Channel information field

SLN : Slot Number Information field SME : System Management Entity

SP : Service Primitive

STA : Status of receiving ACTC

[T]

TICS : Transport Information and Control System

TDD : Time Division Duplex

TDMA : Time Division Multiple Access

TDI : Time Division Identifier
T-KE : Transfer Kernel Element

TRI : Transmitter/Receiver Identifier

[U]

UI : Unnumbered Information

UW : Unique Word

[V]

VST : Vehicle Service Table

[W]

WCNC : Wireless Call Number Channel (Call sign)

WCNS : Wireless Call Number Slot

#### 6.3 Variables

## 6.3.1 Vraiables in the layer 1

k1, k1max : Number of ACTSs (activation slots) in half-duplex mode k2, k2max :Number of ACTSs (activation slots) in full-duplex mode n1, n1max :Number of MDSs (message data slots) in half-duplex mode :Number of MDSs (message data slots) in full-duplex mode

m :Number of slots allocated following FCMS. m is the number of valid

SCI (slot control identifier) field in half-duplex mode and 2m is in full-

duplex mode.

### 6.3.2 Vraiables in the layer 2

(1) MAC sublayer

ASGN.LID : Assignment link address variable
ASGN.DIR : Assignment direction variable
ASGN.RS : Assignment response sate variable
ASGN.PR : Assignment priority variable

ASGN : Assignment request variable

ASL, ASLmax : Base station ACTS assignment variable

FQBUSY : Base station threshold value for transmission queue state variable MQBUSY : Mobile station threshold value for a transmission queue state variable

NFR1, NFR1max: Retry counter of base station (downlink MDS allocation) NFR2, NFR2max: Retry counter of base station (uplink MDS allocation) NMR, NMRmax: Retry counter of mobile station (uplink MDS transmission)

NRQ, NRQmax : Link request counter of a mobile station

NRT : Re-link entry restriction counter NUMLINK : Base station connection variable

NAXLINK : Base station link connection maximum number variable

NUMQ : Number of a transmission queue
RSQ : Reception sequence state variable
SLT\_STATUS : Slot assignment state variable
TR\_STATUS : Transmission request state variable
TSQ : Transmission sequence state variable

WTC, WTCmax : Number of a mobile station WCNC transmission

(2) LLC sublayer

V (RI) : receive state variable (LLC) V (SI) : transmit state variable (LLC)

V (RB) : Reception status state variable (LLC)

N10 : Maximum number of octets of a LPDU (LLC)

N11 : Maximum number of transmission (LLC)

N13 : Acknowledgment time (LLC)

# Annex A. Protocol parameters

# 1. Layer 1 Management Information Base (MIB)

[Informative]

**Table 1.1 Control Variables of the Layer 1 in the base station** 

Parameter	Meaning	Type	Length	Value	Note	
PHY_TEST						
CWMode	CW Transmission	BIT STRING	2 bit	03	0: CW Transmission Inhibit (*)	
	Mode Selection				1:1 Fixed Pattern Selection	
					2:0 Fixed Pattern Selection	
					3: Undefined	
PNSendMode	PN Pattern	BIT STRING	2 bit	03	0: PN Pattern Transmission	
	Transmission Mode				Inhibit (*)	
	Selection				1:1 Consecutive Transmission	
					Selection	
					2:0 Burst Transmission	
					Selection	
					3: Undefined	
SendMode	Test Mode	BIT STRING	2 bit	03	0: Pattern Transmission Inhibit (*)	
	Transmission Pattern				1:1 Fixed Pattern Selection	
	Selection				2:1,0 Repeated Pattern	
					Selection	
					3: Undefined	
CarrierOFF	Carrier Mask	BOOLEAN	1bit	0,1	0: Carrier Transmission	
					Authorized (*)	
					1: Carrier Transmission Inhibit	

Note) (\*) indicates the default value.

Table 1.2 Control Variables of the Layer 1 in the mobile station

Parameter		Meaning	Type	Length	Value	Note
PHY_CNT						
	SIG_LEVEL	Receiving Level	OCTET STRING	2 oct		Depending on the precision of signal quantization
	CH_SEL	Frequency Switching	BOOLEAN	1 bit	0,1	<ul><li>0: A Mode Selection</li><li>1: B Mode Selection</li></ul>
PHY	TEST					
	CWMode	CW Transmission Mode Selection	BIT STRING	2 bit	03	CW Transmission Inhibit (*)     1: 1 Fixed Pattern Selection     2: 0 Fixed Pattern Selection     3: Undefined
	PNSendMode	PN Pattern Transmission Mode Selection	BIT STRING	2 bit	03	PN Pattern Transmission     Inhibit (*) 1: 1 Consecutive Transmission     Selection 2: 0 Burst Transmission     Selection 3: Undefined
	SendMode	Test Mode Transmission Pattern Selection	BIT STRING	2 bit	03	0: Pattern Transmission prohibit (*) 1: 1 Fixed Pattern Selection 2: 1,0 Repeated Pattern Selection 3: Undefined
	CarrierOFF	Carrier Mask	BOOLEAN	1bit	0,1	O: Carrier Transmission Authorized (*)  1: Carrier Transmission prohibit

*Note)* (\*) *indicates the default value.* 

## 2. Layer 2

## 2.1 Value of Timer Counter used in MAC sublayer (see subclause 4.3.3.4)

- (1) Maximum value of Retry Counter in the base station (downlink MDC) (NFR1max) NFR1max shall be 127at maximum.
- (2) Maximum value of Retry Counter in the base station (uplink MDC) (NFR2max) NFR2max shall be 127at maximum.
- (3) Maximum value of Retry Counter in the mobile station (uplink MDC) (NMRmax) NMRmax shall be 127 at maximum.
- (4) Maximum value of Link Request Counter in the mobile station (NRQmax) NRQmax shall be 127 at maximum.
- (5) Re-link Entry Request Constraint Counter (NRT) [Informative] According to the Status of Receiving ACTC (STA) as shown in Table 4.2.4.2.1.8.1-3, the

value shall be as shown in Table 2.1-1.

**Table 2.1-1 Values of Re-link Request Constraint Counter** 

Ī	STA subfield		Status of	NRT
	b7	b8	Receiving ACTC	
Ī	0	0	100 ~50%	RT1 (=1)
Ī	1	0	~25%	RT2 ( = 2)
Ī	0	1	~12.5%	RT3 ( = 4)
Γ	1	1	~0%	RT4 (= 4)

(6) Value of Receiving ACTC (TRA)

[Informative]

STA, shown in Table 4.2.4.2.1.8.1-3, shall be specified as shown in Table 2.1-2 according to the Value of Receiving ACTC.

Table 2.1-2 Values of receiving ACTC (TRA)

STA subfield		Status of	TRA
b7	b8	Receiving ACTC	( = MAXLINK-NUMLINK )
0	0	100 ~50%	SLI (= MAXLINK)
1	0	~25%	SL2 (= MAXLINK/2)
0	1	~12.5%	SL3( = MAXLINK/4)
1	1	~0%	SL4 (= MAXLINK/8)

(7) The maximum value of the base station connection variable (MAXLINK)

[Informative]

MAXLINK shall be greater than the number of slots available as MDSs.

- (8) The maximum value of the base station assignment variable (ASLNmax) ASLNmax shall be less than 3 at maximum.
- (9) The maximum number of the WCNC transmission counter (WTCmax) WTCmax shall be 2.
- (10) Threshold value for the justification of the base station status (FQBUSY) The FQBUSY shall be 90% of the queue capacity for transmission.
- (11) Threshold value for the justification of the mobile station status (MQBUSY) The MQBUSY shall be 90% of the queue capacity for transmission.

## 2.2 Timer, Counter and Field Length used in the LLC sublayer (See subclause 4.3.4.5.5)

- (1) Maximum number of Octets in a PDU in the LLC sublayer (N10) The maximum number for N10 is not defined in this standard.
- (2) Minimum number of octets in a PDU in the LLC sublayer. Minimum number of octets in a Command PDU shall be 1 octet.

Minimum number of octets in a Response PDU shall be 2 octets.

- (3) Maximum Number of Transmissions by the LLC sublayer (N11). N11 shall be 127 at maximum.
- (4) Response (acknowledgement) time in the LLC sublayer on the base station (N13FE) N13FE is the time corresponding to 1 frame.
- (5) Response (acknowledgement) time of the LLC sublayer on the mobile station (N13ME) N13ME is the time corresponding to 1 frame.

# 2.3 Management Information Base (MIB)

# [Informative]

**Table2.3-1 Variables of Frame Control Message Channel (FCMC)** 

Parameter		Meaning	Type	Length	Value	Note
FCMC						
SI	PVI	Protocol Version Information field	BIT STRING	2 bit	03	
G						
	FTI	Frequency Type Identifier	BIT STRING	2 bit		
	CCZ	Continuous Communication Zone Identifier	BIT STRING	1 bit		
	TRI	Transmitter / Receiver Identifier	BIT STRING	2 bit		
	TDI	Time Division Identifier	BIT STRING	1 bit		
	ATI	Area Type Identifier	BIT STRING	2 bit		
FIE	)	Fixed Equipment ID	OCTET STRING	1 bit		
FSI	-					
	CM	Communication Mode Identifier	BIT STRING	1 bit		
	SLN	Slot Number Information Field	BIT STRING	3 bit		
RL'	Т					
	VALID	Release Timer Validation Identifier	BIT STRING	1 bit		
	VALUE	Release Time Value	BIT STRING	7 bit	0127	0.2 sec / bit
SC		Service Code	OCTET STRING	7 oct		
SCI	I(n)					n = 18
	CI	Control Information Subfield	OCTET STRING	1 oct		See Table2.32,
						Table2.33 and
						Table2.34
	LID	Link Address Field	OCTET STRING	4 oct		

# **Table 2.3-2 Control Variables of MDS**

Paran	neter	Meaning	Type	Length	Value	Note
CI	SI	Slot Identifier	BIT STRING	2 bit	00	MSB is in the left
	ST	Signal Type Identifier	BIT STRING	3 bit		
	DR	Direction Identifier	BIT STRING	1 bit		

# **Table 2.3-3 Control Variables of ACTS**

Param	neter	Meaning	Type	Length	Value	Note
CI	SI	Slot Identifier	BIT STRING	2 bit	11	MSB is in the left
	ACPI	Activation Possibility	BIT STRING	1 bit		
		Identifier				
	STA	Status of receiving ACTC	BIT STRING	2 bit		

# **Table 2.3-4 Control Variables of WCNS**

Param	neter	Meaning	Type	Length	Value	Note
CI	SI	Slot Identifier	BIT STRING	2 bit	00	MSB is in the left

Table 2.3-5 (1) Variables of the MAC sublayer in the base station

Para	meter	Meaning	Type	Length	Value	Note
ASGN	LID	Link Address Field	OCTET STRING	4 oct		
	DIR	Direction	BIT STRING	1 bit		1: Uplink 0: Downlink
	RS	Response	BIT STRING	1 bit		1: Response, 0: No Response
	PR	Priority	BIT STRING	1 bit		1: Priority Assignment
		·				0: Normal Assignment
FMAC_	MAXLINK	Maximum Number of	INTEGER	1 oct	0127	
_CNT		Link Connections				
	NUMLINK	Current Number of	INTEGER	1 oct	0127	
		Link Connections				
	ASLNmax	Number of ACTS	INTEGER	1 oct	03	
		Location				
	FQBUSY	Threshold vslue of	INTEGER	1 oct	0127	
		Transmission State				
	SL1	Threshold value of	INTEGER	1 oct		SL1 < SL2
		Transmission State				
	SL2	Threshold value of	INTEGER	1 oct		SL2 > SL3
		Transmission State				
	SL3	Threshold value of	INTEGER	1 oct		SL3 > SL4
		Transmission State				
	SL4	Threshold value of	INTEGER	1 oct		
		Transmission State				
	NFR1max	Maximum value of	INTEGER	1 oct	0127	
		Retry Counter in				
		Base Station (BS)				
	NFR2max	Maximum value of	INTEGER	1 oct	0127	
		Retry Counter in				
		Mobile Station (MS)				
FMAC	ASLN	Number of ACTS	INTEGER	1 oct	0	
STATUS		Location			ASLNmax	
	NUMQ	Status value of	INTEGER	1 oct	0127	1: busy
		Transmission Queue				0: idle
	TR	Transmission State	BOOLEAN	1 bit		1: outtime
	STATUS	Variable				0: intime
	SLT	State Variable of Slot	BOOLEAN	1 bit		n = 18
	STATUS	Assignment				
FMAC_R	ETRY(n)					
	NFR1	Retry Counter in BS	INTEGER	1 oct	0127	
	NFR2	Retry Counter in MS	INTEGER	1 oct	0127	
FMAC_S	EQ(m)					m = 1MAXLINK
	TSQ	State Variable of	INTEGER	1 oct	015	
		Transmit Sequence				
	RSQ	State Variable of	INTEGER	1 oct	015	
		Receiving Sequence				

Table2.3-5 (2) Variables of the MAC sublayer in the base station

Para	meter	Meaning	Type	Length	Value	Note
FMAC_T	EST					
	ACK	Mask Variable of	BOOLEAN	1 bit	0,1	0 : Transmission admitted (*)
	MASK	ACKC Transmission				1 : Transmission inhibit
		Process				
	RETRY_	Mask Variable of	BOOLEAN	1 bit	0,1	0 : Retry process admitted (*)
	MASK	Retry Process				1 : Retry process prohibit
	CRC	Mask Variable of	BOOLEAN	1 bit	0,1	0 : CRC effective (*)
	MASK	CRC				1 : CRC invalid ( Does not
						destroy receiving data)
	CRC	Number of CRC	INTEGER	2 oct	032767	Accumulation value of CRC
	ERR(n)	Errors				Errors on each slot.
						When it overflows, -1 shall be
						set and further accumulation
						shall be prohibited. n = 18

*Note)* (\*) *indicates the default value.* 

Table 2.3-6 Variables of LLC sublayer in the base station

Parai	neter	Meaning	Type	Length	Value	Note
FLLC_CN	NT					
	N10	Maximum Value of Octets in an LPDU	INTEGER	2 oct		1 octet / bit
	N11	Maximum Number of Transmissions	INTEGER	1 oct	0127	
	N13FE	Response Time	INTEGER	2 oct		
FLLC_ST	ATUS(m)					
	V(SI)	Transmit State Sequence Variable	BOOLEAN	1 bit	0,1	m = 1 MAXLINK
	V(RI)	Receive State Sequence Variable	BOOLEAN	1 bit	0,1	
	V(RB)	Reception Status State Variable	OCTET STRING	1 oct		

Table2.3-7 (1) Variables of MAC sublayer in the mobile station

Para	neter	Meaning	Type	Length	Value	Note
ACTC						
	FID	Fixed Equipment ID	OCTET STRING	1 oct		
	LID	Link Address	OCTET STRING	4 oct		
	LRI	Link Request	OCTET STRING	1 oct		See Table 2.38
		Information Field				
OMAC_C	ENT					
	IDNR	ID Number	BIT STRING	63 bit		See Annex C
	NRQmax	Maximum Number of	INTEGER	1 oct	0127	
		Link Requests				
	RT1	Limiting Cycle of Link	INTEGER	1 oct		1frame / bit
		Request				RT1 < RT2

Table2.3-7 (2) Variables of MAC sublayer in the mobile station

Para	meter	Meaning	Туре	Length	Value	Note
OMAC_C	CNT		<u> </u>			
	IDNR	ID Number	BIT STRING	63 bit		See Annex C
	NRQmax	Maximum Number of	INTEGER	1 oct	0127	
		Link Requests				
	RT1	Limiting Cycle of Link	INTEGER	1 oct		1frame / bit
		Request				RT1 < RT2
	RT2	Limiting Cycle of Link	INTEGER	1 oct		1frame / bit
		Request				RT2 < RT3
	RT3	Limiting Cycle of Link	INTEGER	1 oct		1frame / bit
		Request				RT3 < RT4
	RT4	Limiting Cycle of Link	INTEGER	1 oct		1 frame / bit
		Request				
	MQBUSY	Threshold value of	INTEGER	1 oct	0127	
		Transmission State				
	NMRmax	Maximum Number of	INTEGER	1 oct	0127	
		Retries				
	WTCmax	Maximum Number of	INTEGER	1 oct	2	
		WCNC Transmissions				
OMAC_S	STATUS					
	NRQ	Maximum Number of	INTEGER	1 oct	0127	
		Link Requests				
	NRT	Limiting Counter of	INTEGER	1 oct	0127	
		Link Request				
	NMR	Number of Retries	INTEGER	1 oct	0127	
	NUMQ	Status Value of	INTEGER	1 oct	0127	
		Transmission Queue				
	TR	Transmission State	BOOLEAN	1 bit		1: busy, 0 : idle
	_STATUS	Variable				
	TSQ	Transmit State	INTEGER	1 oct	015	
		Sequence Variable				
	RSQ	Receive State	INTEGER	1 oct	015	
		Sequence Variable				
	WTC	Number of WCNC	INTEGER	1 oct	03	
		Transmissions				
OMAC_7	TEST					
	ACK_	Mask Variable of	BOOLEAN	1 bit	0,1	0 : Transmission admitted (*)
	MASK	ACKC Transmission			,	1 : Transmission inhibit
		Process				
	RETRY_	Mask Variable of Retry	BOOLEAN	1 bit	0,1	0 : Retry process admitted (*)
	MASK	Process			,	1 : Retry process inhibit

Table 2.3-7 (3) Variables of MAC sublayer in the mobile station

Para	meter	Meaning	Type	Length	Value	Note
OMAC_T	EST					
	CRC	Mask Variable of CRC	BOOLEAN	1 bit	0,1	0 : CRC effective (*)
	MASK					1 : CRC invalid ( No
						receiving data destroyed)
	CRC	Number of CRC Errors	INTEGER	2 oct	032767	Accumulation value of CRC
	ERR					Errors on each slot.
						When it overflows, -1 shall be
						set and further accumulation
						shall be inhibited.
						n = 18

*Note)* (\*) indicates the default value.

**Table2.3-8 Variables of Link Request Information** 

Para	meter	Meaning	Type	Length	Value	Note
LRI						
	PR	ID Information of Priority Assignment	BIT STRING	1 bit		
	INIT	ID Information of Initialization Mode	BIT STRING	1 bit		
	RES PVI	Protocol Version Response	BIT STRING	2 bit		
	RES AID	ID Information of Application	BIT STRING	4 bit		

# Table 2.3.9 Variables of LLC sublayer in On Board Equipment

Parar	neter	Meaning	Type	Length	Value	Note
OLLC_C	NT					
	N10	Maximum Value of Octets in an LPDU	INTEGER	2 oct		1 octet / bit
	N11	Maximum Number of Transmissions	INTEGER	1 oct	0127	
	N13ME	Response Time	INTEGER	2 oct		
OLLC_ST	TATUS					
	V(SI)	Transmit State Sequence Variable	BOOLEAN	1 bit	0,1	m = 1 MAXLINK
	V(RI)	Receive State Sequence Variable	BOOLEAN	1 bit	0,1	
	V(RB)	Reception Status State Variable	OCTET STRING	1 oct		

### **3. Layer 7**

# 3.1 Timer of Layer Management

(1) Release Timer (t10)

[Informative]

The value of the t10 is specified by the RLT field described in subclause 4.2.4.2.1.6. The number of units is defined within b3 through b8 field (0 through 31) and a unit time is also defined within b2,b3 (0.2, 2, 20, 200 second).

(2) Period of Broadcast Transmission (t11) (See subclause 4.4.6.1) [Informative] T11 shall be the time for 1 frame.

(3) Transmission Wait Timer (t12) (See subclause 4.4.6.3) T12 shall be a half time of which for 1 frame.

### 3.2 Management Information Base (MIB)

[Informative]

**Table3.2-1 Variables of Application List** 

Parameter		Meaning	Type	Length	Value	Note
aplist(i)						i is the number of registered applications
	aid	Application Identifier	INTEGER	1oct	0,.127	
	eid	Element Identifier	INTEGER	1oct	0,.127	
	mandatory	Mandatory /	BOOLEAN	1oct	0,1	0 : Non Mandatory
		Non Mandatory				1 : Mandatory
	priority	Priority	INTEGER	1oct	0,.127	
	profile	Profile	INTEGER	1oct	0,.127	
	parameter	Parameter				Depending on the definition of each application

**Table3.2-2 Variables of Communication Control** 

Para	meter	Meaning	Type	Length	Value	Note
com_ctl (j	j)					j is the number of linked applications
	link address	Link Address	BIT STRING	32 bit		
	aid	Application Identifier	INTEGER	1oct	0,.127	
	eid	Partner's Element Identifier	INTEGER	1oct	0,.127	
	iid	Element Identifier				
	mandatory	Mandatory / Non Mandatory	BOOLEAN	1oct	0,1	0 : Non Mandatory 1 : Mandatory
	priority	Priority	INTEGER	1oct	0,.127	
	profile	Profile	INTEGER	1oct	0,.127	
	parameter	Parameter				Depending on the definition of each application
	norm_ end	Result of AP Execution	BOOLEAN	1oct	0,1	0 : Abnormal Termination 1 : Normal Termination
	connect_ status	Connection State Variable	BOOLEAN	1oct	0,1	0 : Performing 1 : Terminated
	release timer	Release Timer	INTEGER	1oct		RLT of FCMC is set
	service_ code	Information of Service Application	OCTET STRING	7 oct SC of FCMC		SC of FCMC is set
	lri Link Request Information		BIT STRING	8 bit		LRI of ACTC is set
	tri	Sender / Receiver Identifier	INTEGER	1oct	0,1	0 : First Antenna 1 : Second Antenna

**Table3.2-3 Proprietary Information of the base station** 

Parai	neter	Meaning	Type	Length	Value	Note
beacon						
	man_id	Manufacturer ID	INTEGER	2oct	0,.65535	
	ind_id	Device ID	INTEGER	4oct	0,	
BEACON	CNT					
	t11	Period of Broadcast Transmission	INTEGER	1oct	0,.127	Used by B-KE
	t12	Transmission Waiting Timer	INTEGER	loct	0,.127	Used by T-KE
	17profile	Application Layer Profile	INTEGER	1oct	0,.7	
	time Current Time		INTEGER	5oct	0,	

**Table3.2-4 Proprietary Information of the mobile station** 

Parai	neter	Meaning	Type	Length	Value	Note
obe_conf						
	eq_class	Equipment Class	INTEGER	2oct	0,.32767	
	man_id	Manufacturer ID	INTEGER	2oct	0,.65535	
	obe Mobile station Status		INTEGER	2oct	0,.65535	OPTIONAL
	status					
OBE_CN	Γ					
	t12	Transmission Waiting	INTEGER	1oct	0,.127	Used by T-KE
		Timer				
	17profile Application Layer		INTEGER	1oct	0,.7	
		Profile				
	time	Current Time	INTEGER	5oct	0,	Option

# 4. System Management

### 4.1 Failure Detection Timer of the mobile station

The t9, maximum value of Failure Detection Timer, shall be greater than 60 seconds.

## **4.2 Management Information Base (MIB)**

[Informative]

Procedure element of the function assigned to System Management. The agent manages the variables to be used. It is added according to the contents of Application for Management. The agent also manages such variable as a Management Variable of each layer, which is not able to set the value autonomously (i.e. the set value is unknown) and requires to be preserved.

Table 4.2-1 Management Variables of the base station (BS)

Parameter	Meaning	Type	Length	Value	Note
FCMC	FCMC Variable				See Annex A, Table 2.31
FMAC_CNT	Control Variable of				See Annex A, Table 2.35
	MAC sublayer in BS				
FLLC_CNT	Control Variable of				See Annex A, Table 2.36
	LLC sublayer in BS				
beacon	Proprietary Information				See Annex A, Table 3.33
	of BS				
BEACON_CNT	Proprietary Information				See Annex A, Table 3.23
	of BS				

# **Table4.2-2 Management Variables of the mobile station (MS)**

Parameter	Meaning	Type	Length	Value	Note
OMAC_CNT	Control Variable of				See Annex A, Table 2.37
	MAC sublayer in MS				
OLLC_CNT	Control Variable of				See Annex A, Table 2.39
	LLC sublayer in MS				
obeconf	Proprietary Information				See Annex A, Table 3.24
	of MS				
OBE_CNT	Proprietary Information				See Annex A, Table 3.24
	of MS				
t9	Failure Detection Timer	INTEGER	1 oct	060	1 second / bit

#### **Annex B. Communication Environment**

[Informative]

This annex describes the communication environment assumed in the standards of the layer 2 and the layer 7.

#### **0.Basic Classifications of Communication Environment**

The following shows the fundamental classifications of communication environment. AP and the FID represent an application (communication service user) and an identifier of BS (Base Station), respectively.

(1) Classification A: Presence of Overlapping Communication Areas

Separate (Sep.): Each BS has its own Communication area separately. Overlap (Ovr.): Plural communication areas of BSs are overlapped.

(2) Classification B: Communication Links when plural BSs exist (location of BSs)

Normal: BSs are not in the closest positions to each other (some communication areas may be overlapped).

Real Time: BSs are in the closest positions to each other (both separated and overlapped communication areas may exist).

Being the closest position here means that both communication areas of BSs become narrow and get close to each other to the range that causes some troubles, when the association to the BS to be communicated consequently is suppressed by the Release Timer for a certain period of time (for example, an MS (Mobile Station) moves between different communication areas of BSs in less than a few seconds). However, even when the communication areas of BSs closely exist, if both communication areas are wide and the association suppressed by the Release Timer causes no trouble, these BSs are not included in this case.

(3) Classification C: Classification of the Communication Transactions (Applications) performed in BSs when plural BSs exist.

AP Same: The same contents of communication (i.e. the contents of communication frame) are performed in plural BSs. The same FID is used in the BSs.

AP Parallel: The different contents of communication are performed with the same transaction in plural BSs, so the multi-transaction is not necessary. The different FIDs are used in the BSs when they closely exist. The number of BSs is not defined. Generally, BSs are installed transversely.

AP Independent (Apind.): The transactions are performed in plural BSs independently. The different FIDs are used in the BSs when they closely exist. The numbers of BSs are not defined.

AP Ordered: The transactions have an order and need to be performed consecutively in plural

BSs. The different FIDs are used in the BSs when they closely exist. Generally, BSs are installed transversely.

(In principle here, MS shall give different FIDs, when the contents of communication in plural BSs are different.)

## (4) Classification D: Control Method of Communication in plural BSs

TDMA: the Time Division Multiplexing controls Associated BSs. FDMA: the Frequency Division Multiplexing controls Associated BSs. None: Control Methods mentioned above are used in the associated BSs.

In this annex, the communication environment is described with the combination of Classification A through D mentioned above. For example, the combination of Classification A: Separate, Classification B: Normal, Classification C: AP same and Classification D: TDMA shall be described as 'Separate, Normal, AP same and TDMA'.

### 2. Basic Communication Environment assumed in the Standard of the layer 2, 7

Table. 1 shows an example of the variable setting within FCMC and the basic communication environment assumed in the Standard of Layer 2 and 7. Fig.1, 2, 3, 4 and 5 show the location of BSs.

Table.1 (1) Examples of Variables Setting within FCMC and Basic Communication Environment assumed in the Standard of the layer 2 and the layer 7

Case	A	В	С	D	BS	CCZ	TRI	TDI	FID	Associ-	Note
No.		Commu-	AP	Commu-	Loca-					ation	
		nication	Environ-	nication	tion						
		Link	ment	Control							
1	Sep.	Normal	AP Same		Fig.3	0	xx	0	Same	possible	2 BSs arranged in Traveling
											Direction (*1)
2	Sep.	Normal	AP		Fig.1	0	00	0	Dif-	possible	Arranged transversly
			Parallel						ferent		( Adjacent BSs )
3	Sep.	Normal	AP Inde-		Fig.	0	00	0	Dif-	possible	Arranged normally
			pendent		1,3,4				ferent		
4	Sep.	Normal	AP		Fig.	0	00	0	Dif-	possible	Depending on the
			Ordered		3,4				ferent		Application
5	Sep.	Real	AP Same	TDM	Fig.3	0	XX	1	Same	possible	2 BSs arranged in Traveling
		Time									Direction (*1)
6	Sep.	Real	AP Same	FDM	Fig.3	0	XX	0	Same	Not	2 BSs arranged in Traveling
		Time								assumed	Direction (*2)
7	Sep.	Real	AP Same	None	Fig.3	0	XX	0	Same	Partly	2 BSs arranged in Traveling
		Time								limited	Direction (*2)
8	Sep.	Real	AP		Fig.1	0	00	0	Dif-	possible	Same as Case 2 ( No
		Time	Parallel						ferent		meaning of Real Time)
9	Sep.	Real	AP Inde-	TDM	Fig.3	1	XX	1	Dif-	possible	2 BSs arranged in Traveling
		Time	pendent						ferent		Direction (*3)
											( Frequency Selection
											Process can be omitted)
10	Sep.	Real	AP Inde-	FDM	Fig.3	1	XX	1	Dif-	Partly	2 BSs arranged in
		Time	pendent	None					ferent	limited	Traveling Direction (*4)
											(Frequency Selection
											Process can not be omitted)

Table.1 (2) Examples of Variables Setting within FCMC and Basic Communication Environment assumed in the Standard of the layer 2 and the layer 7

Case	A	В	С	D	BS	CCZ	TRI	TDI	FID	Associ-	Note
No.		Commu-	AP	Commu-	Loca-					ation	
		nication	Environ-	nication	tion						
		Link	ment	Control							
11	Sep.	Real	AP	TDM	Fig.3	1	XX	1	Dif-	possible	2 BSs arranged in
		Time	Ordered						ferent		Traveling Direction (*3)
											(Frequency Selection
											Process can be omitted)
12	Sep.	Real	AP	FDM	Fig.3	1	XX	1	Dif-	Partly	2 BSs arranged in
		Time	Ordered	None					ferent	limited	Traveling Direction (*4)
											(Frequency Selection
											Process can not be omitted)
13	Ovr.	Normal	AP Same	TDM	Fig.	0	XX	0	Same	possible	Same Application
					2,5						overlapped (*5)
14	Ovr.	Normal	AP	TDM	Fig.2	0	00	1	Dif-	possible	Same Application
			Parallel						ferent		overlapped (*5)
15	Ovr.	Normal	AP Inde-	TDM	Fig.	0	00	1	Dif-	possible	Different Applications
			pendent		2,5				ferent		overlapped (*5)
16	Ovr.	Normal	AP	TDM	Fig.5	0	00	1	Dif-	possible	Ordered Applications
			Ordered						ferent		overlapped (*5)
17	Ovr.	Real	AP Same	TDM	Fig.	0	XX	1	Same	possible	Same Application
		Time			2,5						overlapped (*5)
18	Ovr.	Real	AP	TDM	Fig.2	0	00	1	Dif-	possible	Same as Case 14 ( No
		Time	Parallel						ferent		meaning of Real Time )
19	Ovr.	Real	AP Inde-	TDM	Fig.5	1	xx	1	Dif-	possible	2 BSs arranged in
		Time	pendent						ferent		Traveling Direction (*3)
											(Frequency Selection
											Process can be omitted)
20	Ovr.	Real	AP	TDM	Fig.5	1	XX	1	Dif-	possible	2 BSs arranged in
		Time	Ordered		-				ferent	=	Traveling Direction (*3)
											(Frequency Selection
											Process can be omitted)
21	Ovr.	-	-	FDM	Fig.					Not	Not assumed at this stage
				None	2,5					assumed	(*6)

Notes)

- (\*1) If no Transmitter / Receiver Identifier (TRI) is used, the configuration of more than 3 components is feasible.
- (\*2) If no Transmitter / Receiver Identifier (TRI) is used, the configuration of more than 3 components is feasible.

However, the linkage is difficult when the frequency is different.

(\*3) Due to the problem of association, the installation of more than 3 equipment arranged in traveling direction is not feasible.

- (\*4) The association is feasible but the Frequency Selection Process shall not be omitted.
- (\*5) If no Transmitter / Receiver Identifier (TRI) is used, the BS configuration of more than 3 components is feasible.
- (\*6) Broadcasting via Frequency Division Multiple Access (FDMA) within overlapped Communication areas will not be assumed for the moment.
- (\*7) In this table, x indicates that any possible case can be applied.
- (\*8) In this table, except for the case clearly defined, the detailed problems of interference between BSs and the transmission time of applications are not considered.

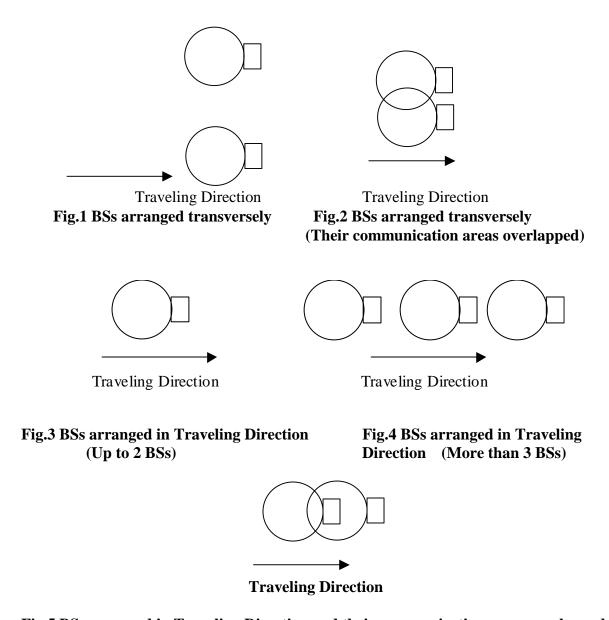


Fig.5 BSs arranged in Traveling Direction and their communication areas overlapped

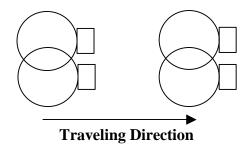


Fig.6 Communication areas of BSs overlapped and arranged at regular intervals (Combination of the communication areas showed in Fig.2 and Fig.3)

#### 3. Combination of Communication Environment

There are so many combinations of the Communication Environment described in the preceding paragraph. For a typical but complex example, the case that BSs with their communication areas overlapped and transversely located, sequentially transmit in the traveling direction (Fig.6) can be assumed. Only this case is considered in this paragraph.

The case when BSs with their communication environment overlapped and arranged transversely perform the same contents of transaction, is described as "Overlap, Normal, AP same or AP Parallel and TDMA" according to the Classification shown in the preceding paragraph. And the case that and BS sequentially transmits in the traveling direction can be described as "Separate, x, AP Ordered and x". These cases are described in Table 2.

Table. 2 Adaptation to Combination of Communication Environment

		Tran	sverse		Т	Traveling D	irection		Adapta-	In Traveling	No.1 BS	No.2 BS
Case		Arran	gement			Arrangei	ment		tion	Direction	CCZ,TRI,	CCZ,TRI,
No.											TDI(*5)	TDI(*5)
	Area	Link	AP	Con-	Area	Link	AP	Con-				
				trol				trol				
1	Ovr.	Nor-	AP	TDM	Separate	Normal	AP	X	Possible	Association	0,00,X	0,00,X
		mal	Same				ordered			Feasible		
2	Ovr.	Nor-	AP	TDM	Separate	Real	AP	TDM	Possible	2BSs (*1)	1,01,1	1,10,1
		mal	Same			Time	ordered					
3	Ovr.	Nor-	AP	TDM	Separate	Real	AP	FDM	Condi-	2BSs (*2)	1,01,0	1,10,0
		mal	Same			Time	ordered	None	tional(*3)			
4	Ovr.	Nor-	AP	TDM	Separate	Normal	AP	X	Possible	Association	0,00,X	0,00,X
		mal	Parallel				ordered			Feasible		
5	Ovr.	Nor-	AP	TDM	Separate	Real	AP	TDM	Possible	2BSs (*1)	1,01,1	1,10,1
		mal	Parallel			Time	ordered					
6	Ovr.	Nor-	AP	TDM	Separate	Real	AP	FDM	Condi-	2BSs (*2)	1,01,0	1,10,0
		mal	Parallel			Time	ordered	None	tional(*3)			

Notes)

- (\*1) There is no BS recognition for Transverse Arrangement. And Frequency Selection Process can be omitted. The number of BSs when the association is feasible in the traveling direction is up to 2.
- (\*2) There is no BS recognition for Transverse Arrangement. Frequency Selection Process shall not be omitted unless the BSs in traveling direction use the same frequency. The number of BSs when the association is feasible in the traveling direction is up to 2.
- (\*3) It means that the BS can be adapted, provided the time of Frequency Selection causes no problem.
- (\*4) After the termination of Frequency Selection Process (the termination of normal transmission also) between an MS and No.1 BS (CCZ=1) using Time Division (TDI= 1), the MS can omit Frequency Selection Process between No.2 BS. Although it is assumed that a layer of higher order than Layer 2 might request Frequency Selection Process in the various communication environment (for example, locking of Frequency Selection Process for a fixed period of time to perform the next association promptly, in the case of normal / abnormal termination of transmission and so on), the adoption of these procedure leaves to the manufacturer's discretion.
- (\*5) In this case, it is assumed that the FIDs of BSs are different.

### Annex C. WCNC (Call sign) format

1. The configuration of the Wireless Call Number Channel (WCNC; Call sign) shall comply with the following specification.

A WCNC shall be the same format as a call sign signal specified within the Notification No. 526 issued by Ministry of Post and Telecommunication of Japan.

The mobile station must transmit the WCNC shown in Fig. 1.1, in the WCNC field of the corresponding slot (See Fig. 4.2.4.2.4), immediately after the WCNS assignment.

The WTCmax (See subclause 4.3.3.5.1.2.2) specifies the number of transmissions in a communication transaction.

The mobile station shall have an unique ID number, and transmit it in response to the base station's request.

Fig.1.1 shows the specified configuration of WCNC. It consists of Preamble (PR) Signal, Unique Word (UW3), ID Number (IDNR). The detailed field format of WCNC shall be as follows:

Note) the Link Address for WCNS assignment assumes that the mobile station uses a unique Link Address. However, for using Group Address and so on, it is specified separately.

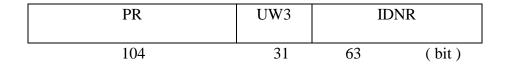


Fig.1.1 WCNC Format

#### 1.1 Preamble (PR)

A preamble shall be the 104 bits in length as follows. This field shall be transmitted most significant bit (MSB) first in expressed sequence.

## 1.2 Unique Word (UW3)

It is used for the TDMA Frame Synchronization. It shall be the configuration with 31 bit M sequence code as follows and transmit most significant bit (MSB) first in expressed sequence.

.

### 1.3 ID Number (IDNR)

It consists of ID name and Error Correcting Code. The configuration shall be as follows:

 $\begin{bmatrix} a_{62}, a_{61}, a_{60}, a_{59}, a_{58}, a_{57}, a_{56}, a_{55}, a_{54}, a_{53}, a_{52}, a_{51}, a_{50}, a_{49}, a_{48}, a_{47}, a_{46}, a_{45}, a_{44}, a_{43}, a_{42}, a_{41}, a_{40}, a_{39}, a_{38}, a_{37}, a_{36}, a_{35}, a_{34}, a_{33}, a_{32}, a_{31}, a_{30}, a_{29}, a_{28}, a_{27}, a_{26}, a_{25}, a_{24}, a_{23}, a_{22}, a_{21}, a_{20}, a_{19}, a_{18}, a_{17}, a_{16}, a_{15}, a_{14}, a_{13}, a_{12}, a_{10}, a_{9}, a_{8}, a_{7}, a_{6}, a_{5}, a_{4}, a_{3}, a_{2}, a_{1}, a_{0} \end{bmatrix}$ 

From  $a_{62}$  through  $a_0$ , they shall be the factors of the following polynomial's term from the 62 th through the 0 th on the finite field which has the order of 2.

$$\begin{matrix} \overset{50}{X^{12\cdot}}(\Sigma\ b_i\ X^i) + R\ (X). \\ t = & \end{matrix}$$

Where from  $b_0$  through  $b_{47}$ , they shall be corresponded to each digit from 1st through the 48th of IDNR, which is converted from number of 12 digits into binary numeral according to Table 1.1 and from  $b_{48}$  through  $b_{50}$ , they shall be zeros.

In addition, R (X) shall be a residue polynomial when

$$X^{12} \cdot (\Sigma \ b_1 \ X^i)$$
 is divided by  $(X12 + X^{10} + X^8 + X^5 + X^4 + X^3 + 1)$ .

**Table 1.1 Conversion Table of IDNR into Binary Numeral** 

Number of	1	2	3	4	5	6	7	8	9	0
IDNR										
Binary	0011	0100	0101	0110	0111	1000	1001	1010	1011	1100
Numeral										

The IDNR shall be a decimal number of 12 digits and specified separately by the Minister of Posts and Telecommunications.

### Annex D. Encryption Key

Encryption Key is used for an initial value of a shift register for scramble and the key length is 2 octets (16 bits).

Encryption Key is generated according to the link addresse (LID) provided by association procedures between the base station and the mobile station. It shall be translated LID using the same translation table, which is previously prepared in the base station or the mobile station.

Generation of the translation table shall comply with the following:

(1) It shall be used the portion of 2 octets on LSB side of the LID shown in subclause 4.2.4.2.1.8.2 (including the extender).

Specifically, S0 in Fig. 4.2.6.2 corresponds to bit 1 of the first octet, and S15 corresponds to bit 8 of the second octet. (Between S0 and S15, correspond sequentially)

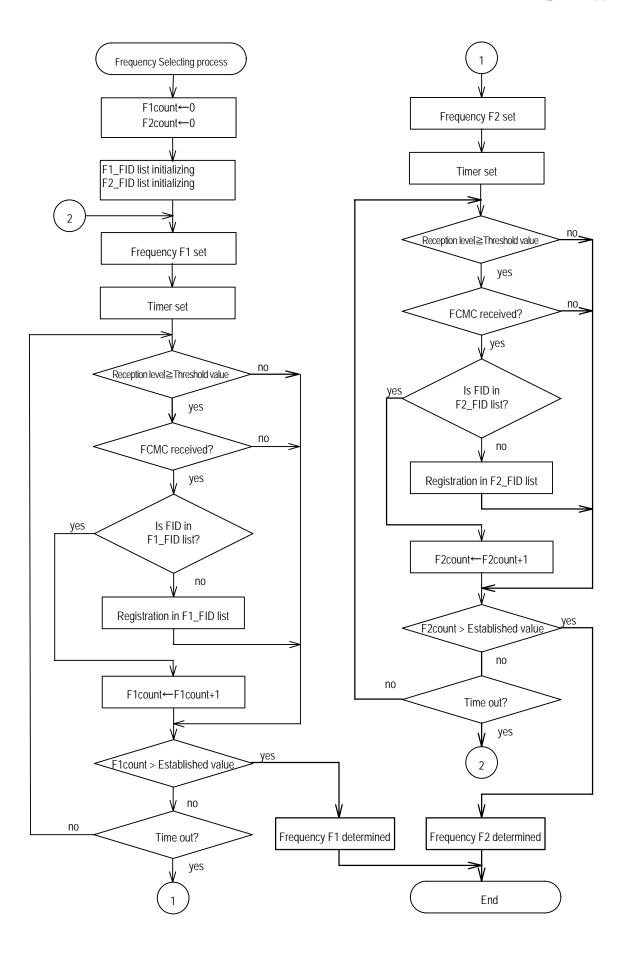
- (2) If the LID is a Private Link Address, the bit is shifted "1" to "0" or "0" to "1", and used as a Encryption Key.
- (3) Encryption Key of Broadcast Address shall be "0000 0000 0000 0000".
- (4) Encryption Key of Group Address is to be specified in future systems.

# **Annex E. Frequency Selection Procedures**

[Informative]

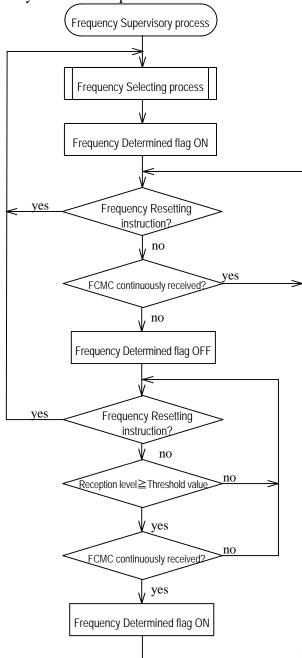
1. Frequency Determination Procedures

Frequency Selection Procedures is shown for reference. A FCMC reception is regarded a reception time of a FCMC which is identified correct ones by the FCS inspection. F1\_FID and F2\_FID lists are used for logging of FID received by the array structure.



# 2. Frequency Supervisory Proceures

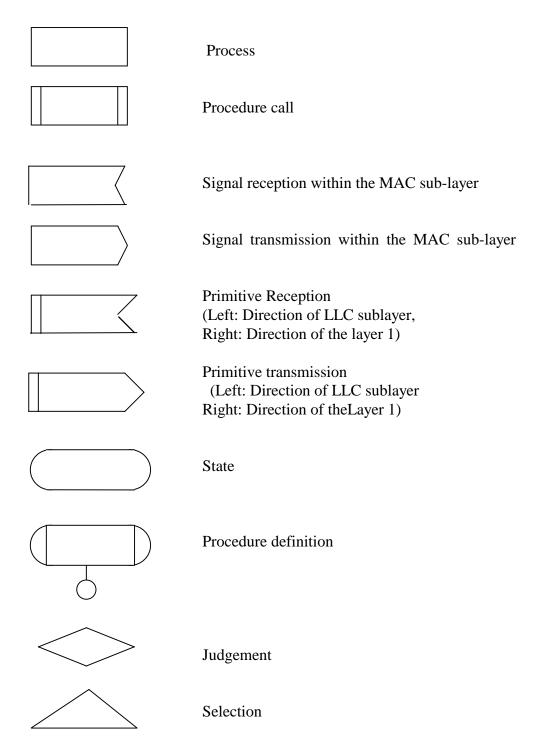
**Frequency Supervisory Proceures is shown** in the following figure. This supervisory procedures is not necessarily to be an independent function module.



# Annex F. Layer 2 (MAC) SDL diagram

[Informative]

The following symbols and abbreviations are used in these descriptions. The symbols, their meanings and complete descriptions of their application methods are in ITU-T Z-Series recommendations.



Note) All binary numbers described in the SDL diagram are in the order from MSB to LSB.

### 1. SDL Diagram of MAC Sub-Layer

Only SDL diagram of the MAC sublayer is described in this chapter. The LLC sublayer is described in the state transition list.

#### 1.1 Overview of State Machines

MAC operation is described in six communication state machines. They operate simultaneously. If there are differences between terms used in this subclause and previous subclauses, those in previous subclauses take precedence. Fig. F-1 shows the relation between those state machines. In the figure, a bold arrow line shows a transfer path for data frames and fragments and also for control signals and status data. A slim arrow line is used for transfer of control signals and status data only.

#### (1)MAC Data Service State Machine

Provides LLC sublayer with the MAC data service interface.

#### (2)MAC Management Service State Machine

Provides MAC sublayer management entity (MACLME) or system management entity (SME) with the MAC management service interface.

#### (3)MAC Control State Machine

Provides adjustment function to transfer frames and fragments via Layer 1.

## (4)MAC Management State Machine

Provides MAC management functions such as synchronizing management and association. Bears major responsibility for maintenance and management of the MAC MIB (Management Information Base).

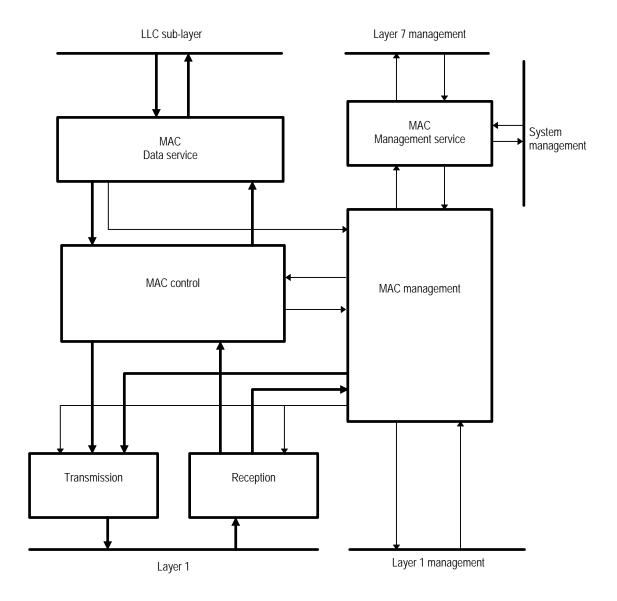
### (5)Transmission State Machine

Transfers frames for transmission at the physical channel of Layer 1 and performs CRC generation.

## (6)ReceptionState Machine

Checks validity, CRC and double reception of receive frames from Layer 1.

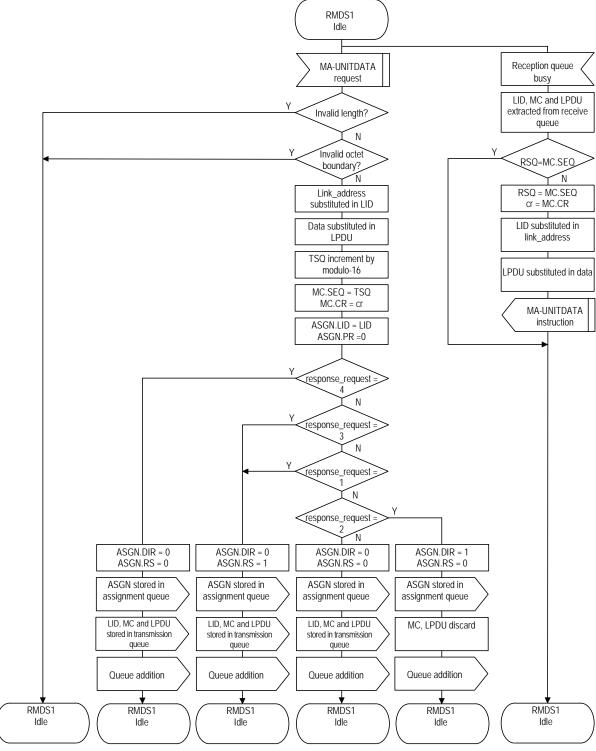
# 1.2 Outline of MAC Sub-Layer State Machine



Attached Fig. F-1 Outline of State Machine

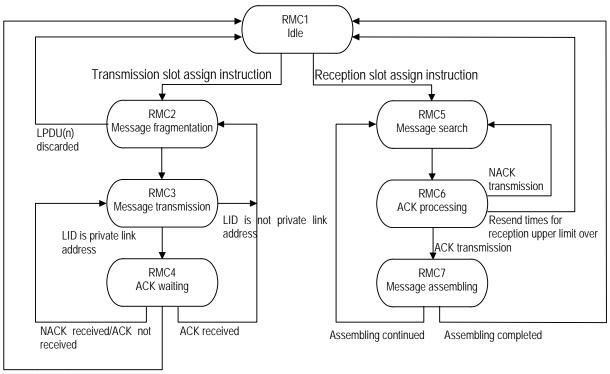
- 2. SDL Diagram of State Machine
- 2.1 SDL Diagram of State Machine at Base Station





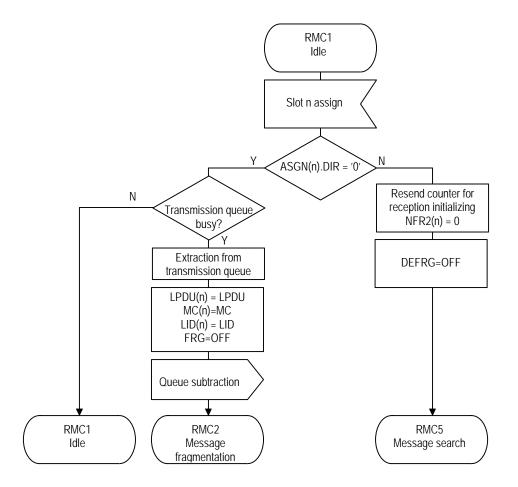
Attached Fig. F-2 SDL Diagram of the layer 2 MAC sublayer (Base Station)

# 2.1.2 MAC Control State Machine

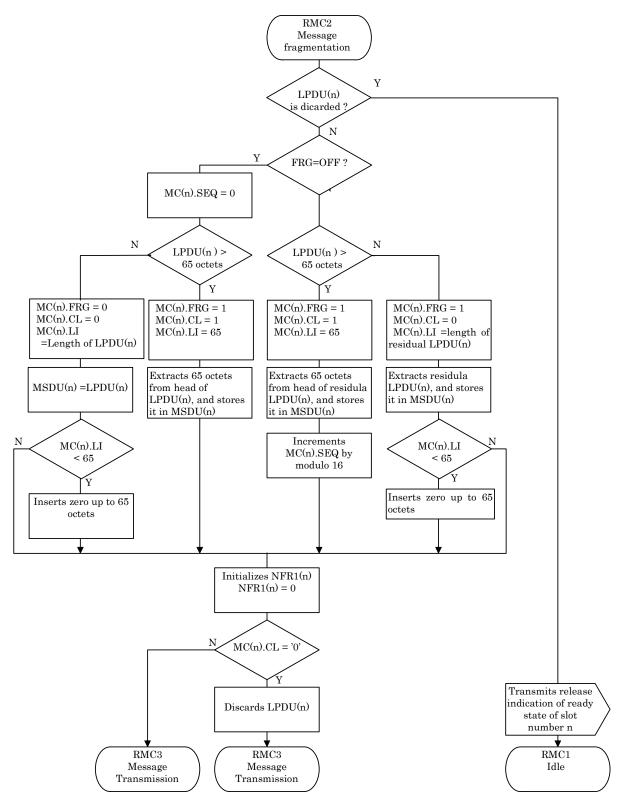


Resend times for transmission upper limit over

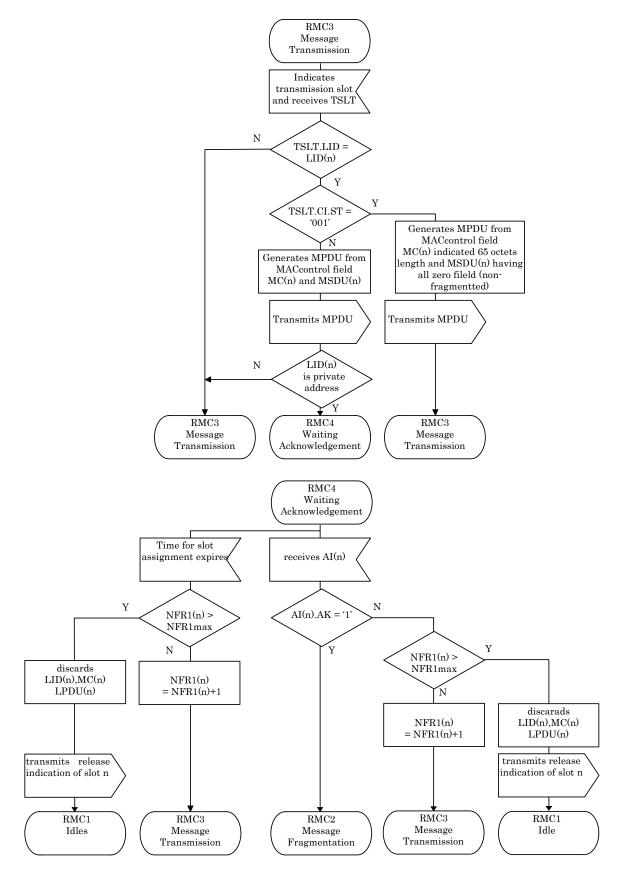
**Attached Fig. F-3 Outline of MAC Control State Machine (Base Station)** 



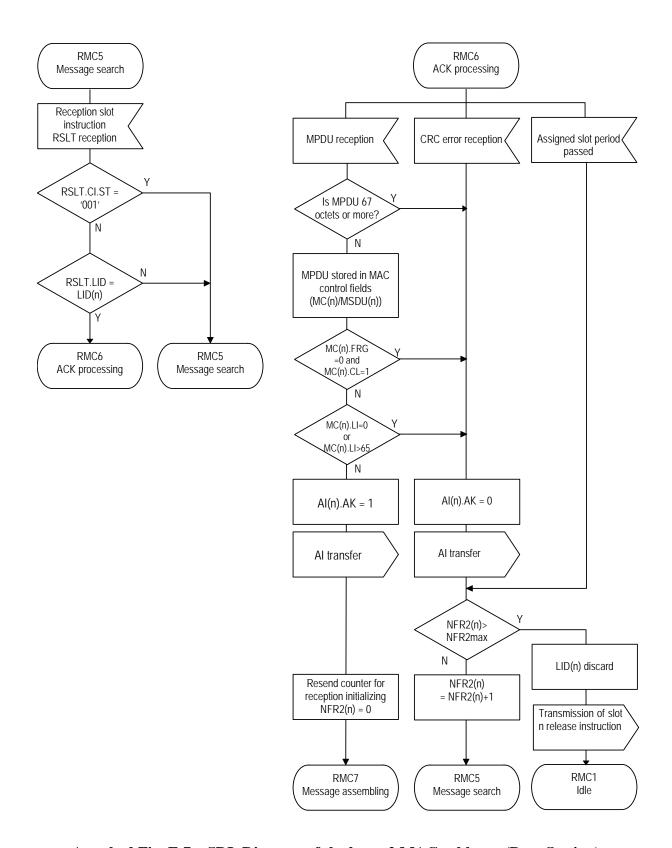
Attached Fig. F-4 SDL Diagram of the layer 2 MAC sublayer (Base Station)



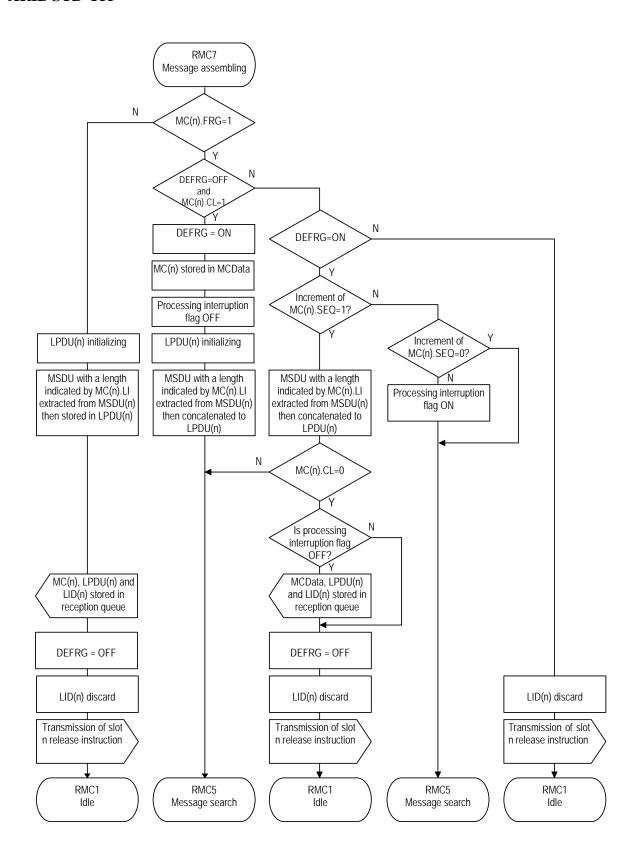
Attached Fig. F-5 SDL Diagram of the layer 2 MAC sublayer (Base Station)



Attached Fig. F-6 SDL Diagram of the layer 2 MAC sublayer (Base Station)

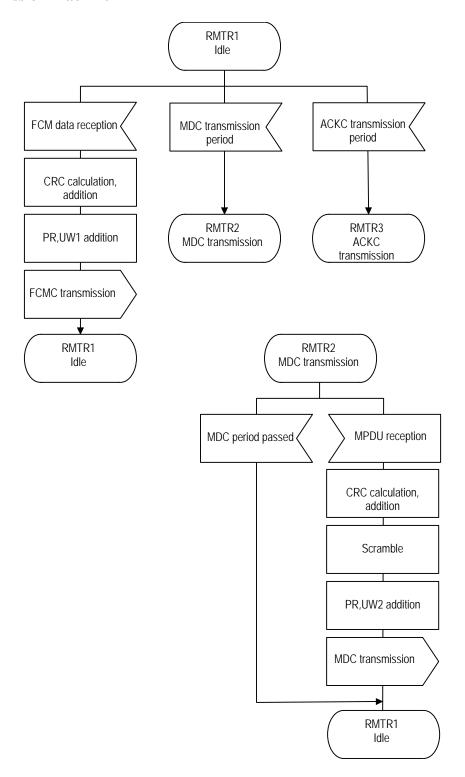


Attached Fig. F-7 SDL Diagram of the layer 2 MAC sublayer (Base Station)

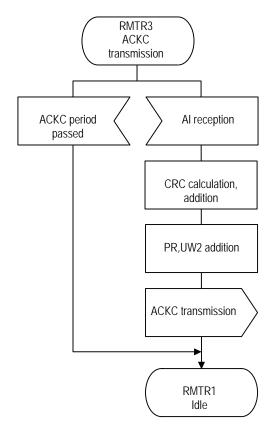


Attached Fig. F-8 SDL Diagram of the layer 2 MAC sublayer (Base Station)

### 2.1.3 Transmission Machine

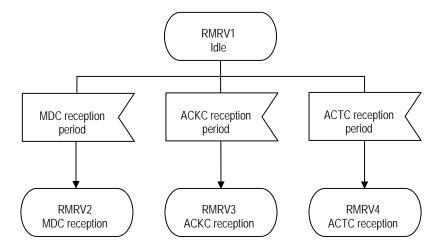


Attached Fig. F-9 SDL Diagram of the layer 2 MAC sublayer (Base Station)

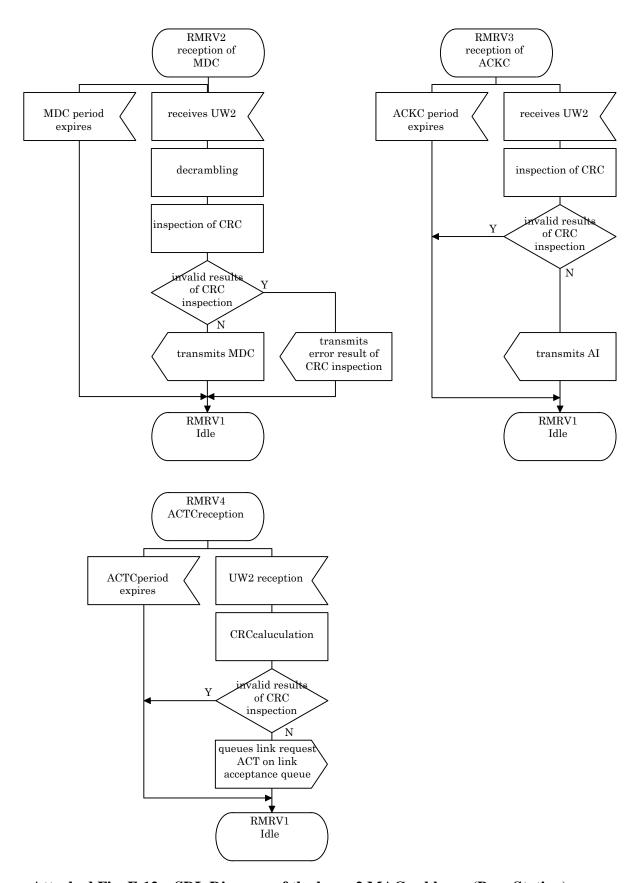


Attached Fig. F-10 SDL Diagram of the layer 2 MAC sublayer (Base Station)

# 2.1.4 Reception State Machine



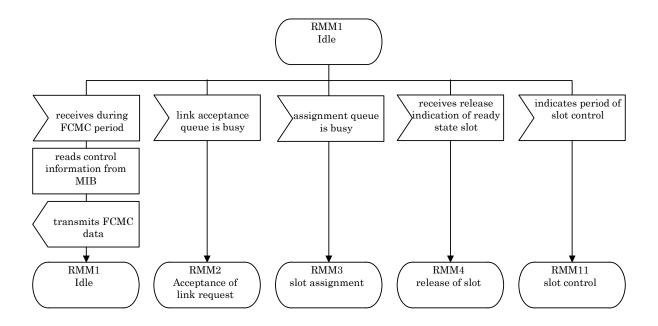
Attached Fig. F-11 SDL Diagram of the layer 2 MAC sublayer (Base Station)



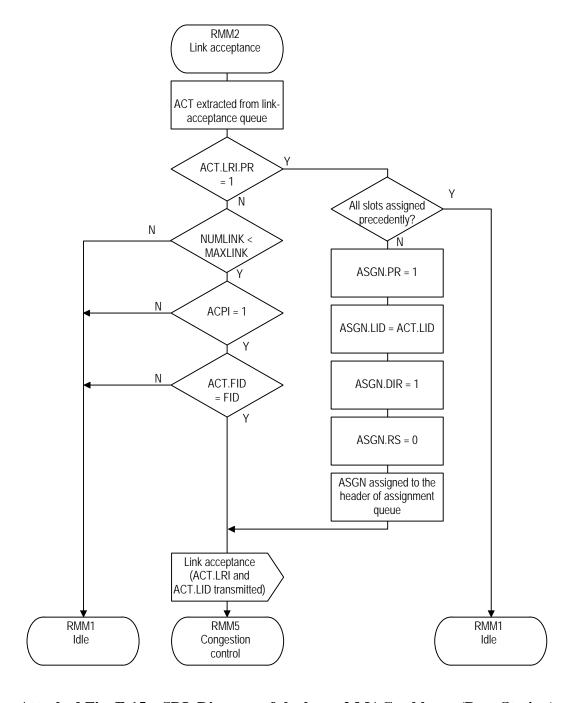
Attached Fig. F-12 SDL Diagram of the layer 2 MAC sublayer (Base Station)

#### 2.1.5 MAC Management State Machine RMM5 Congestion control NUMLINK update RMM3 RMM2 Slot assign Link acceptance Link acceptance disabled Link acceptance Assignment queue busy RMM1 queue busy Idle Slot control time Slot release instruction RMM4 RMM11 Slot release Slot control Slot n instruction Slot n instruction RMM10 RMM6 RMM7 Frame generation Transmission slot Reception slot generation generation MDS transmission time MDS reception time RMM8 RMM9 Transmission MDS Reception MDS data generation data generation

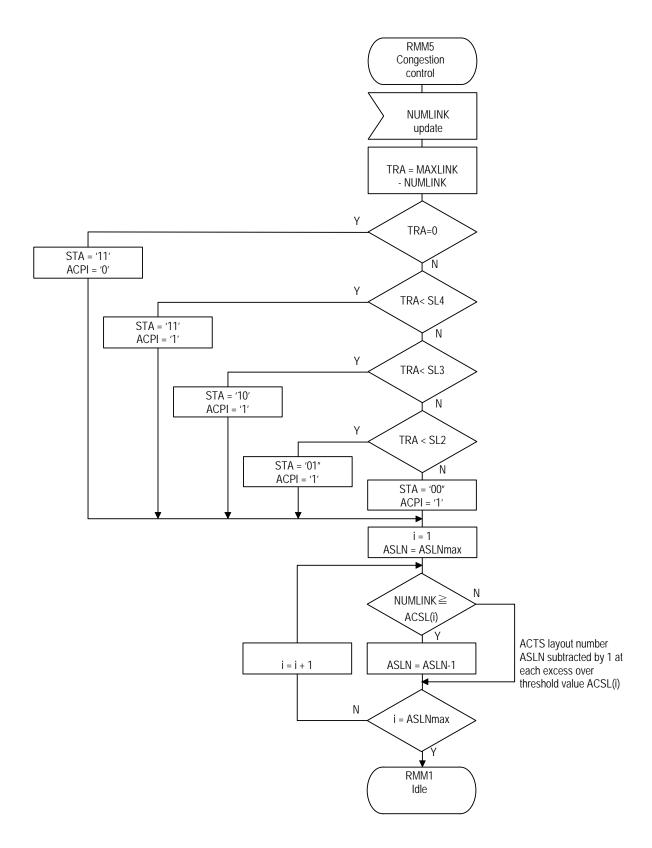
Attached Fig. F-13 SDL Diagram of the layer 2 MAC sublayer (Base Station)



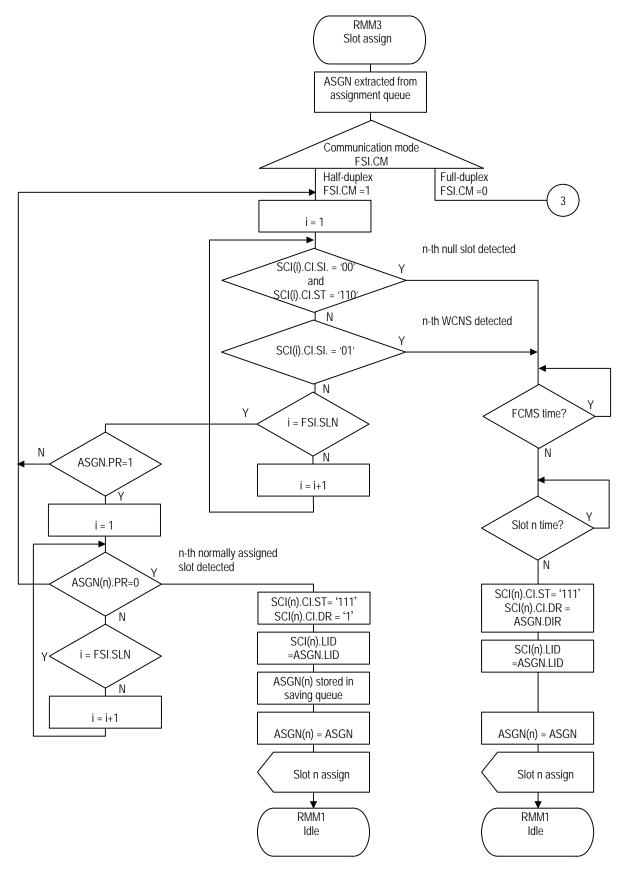
Attached Fig. F-13 SDL Diagram of the layer 2 MAC sublayer (Base Station)



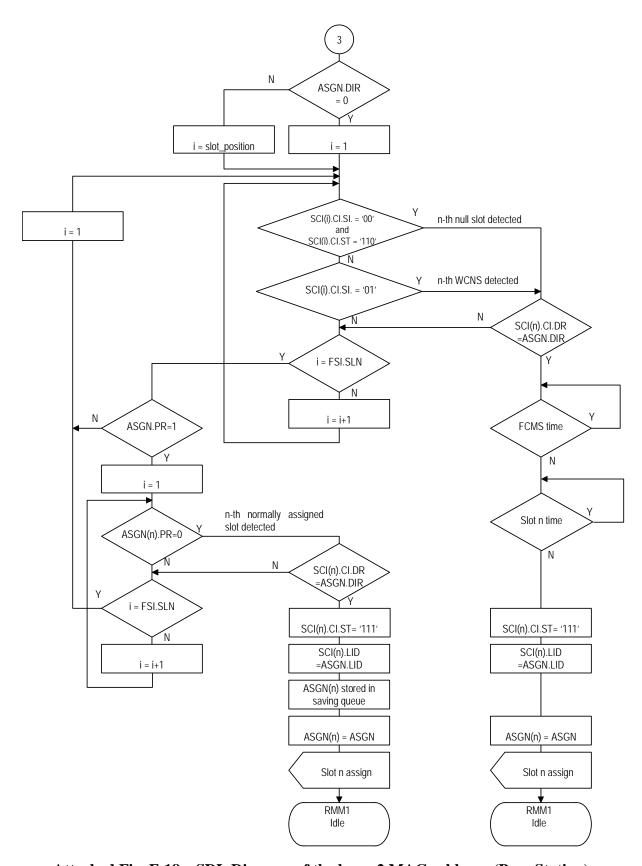
Attached Fig. F-15 SDL Diagram of the layer 2 MAC sublayer (Base Station)



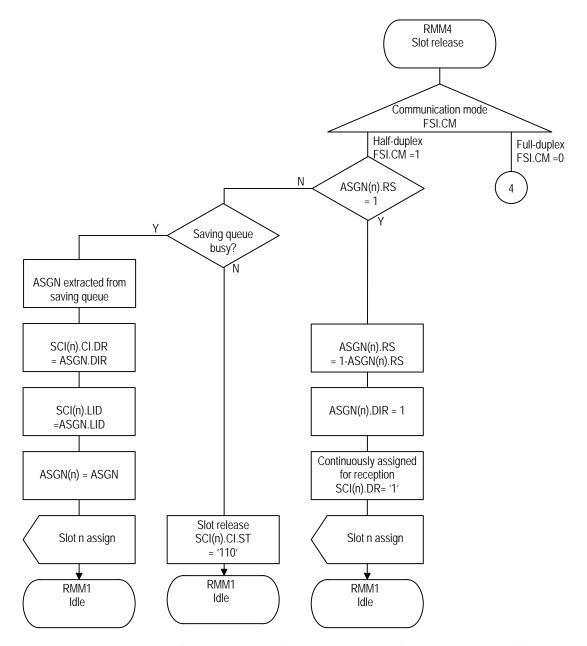
Attached Fig. F-16 SDL Diagram of the layer 2 MAC sublayer (Base Station)



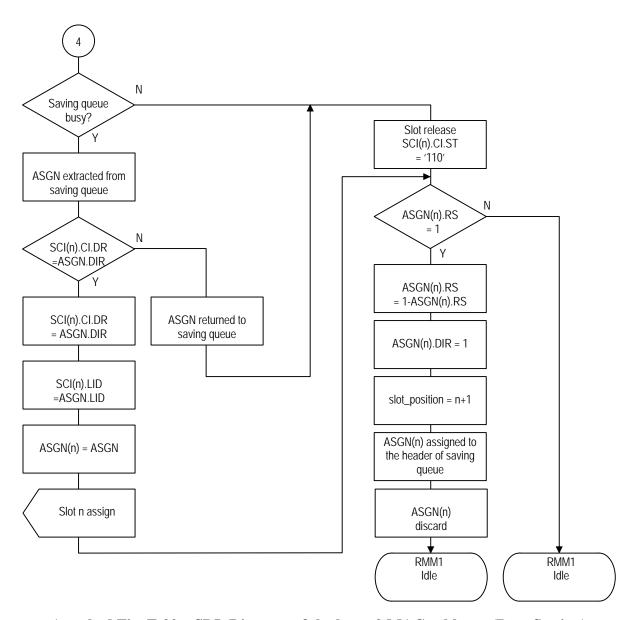
Attached Fig. F-17 SDL Diagram of the layer 2 MAC sublayer (Base Station)



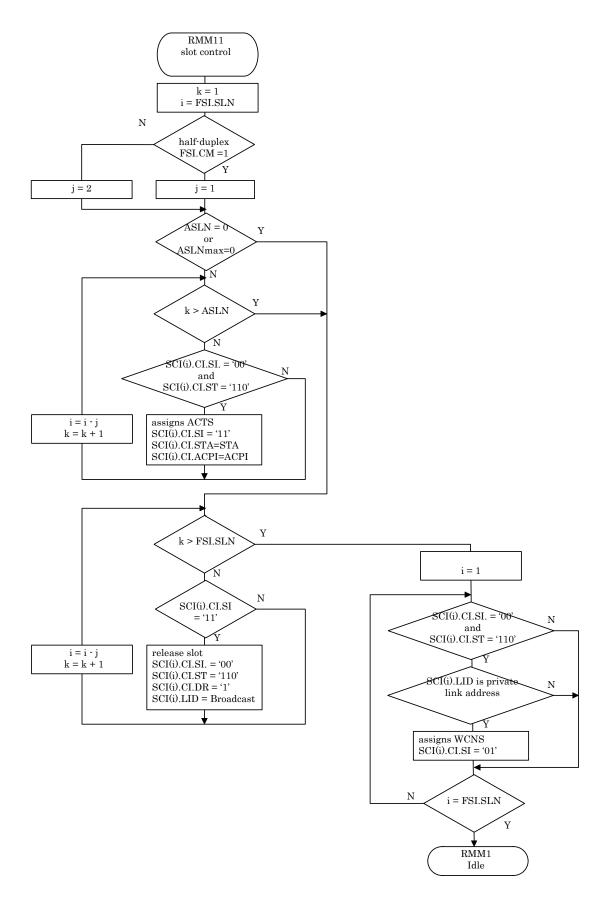
Attached Fig. F-18 SDL Diagram of the layer 2 MAC sublayer (Base Station)



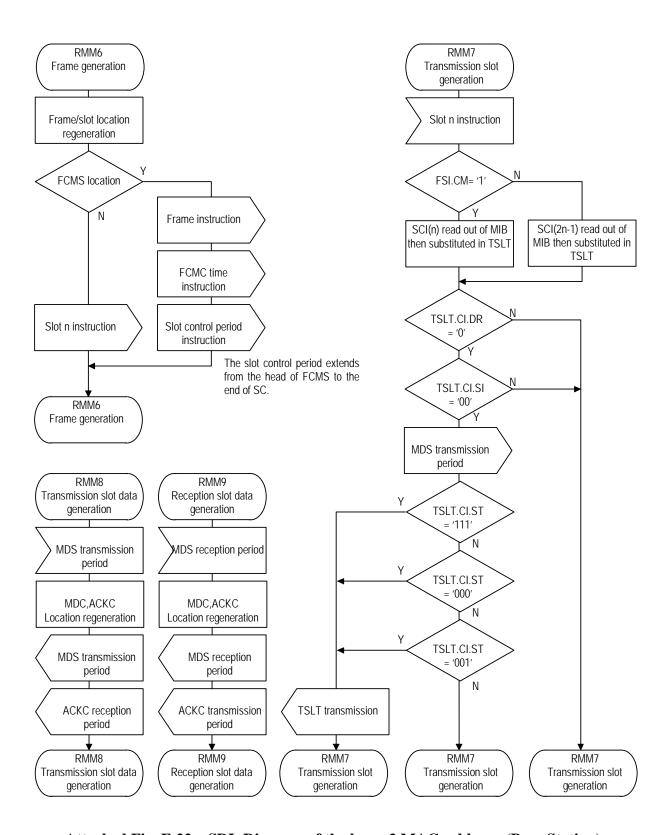
Attached Fig. F-19 SDL Diagram of the layer 2 MAC sublayer (Base Station)



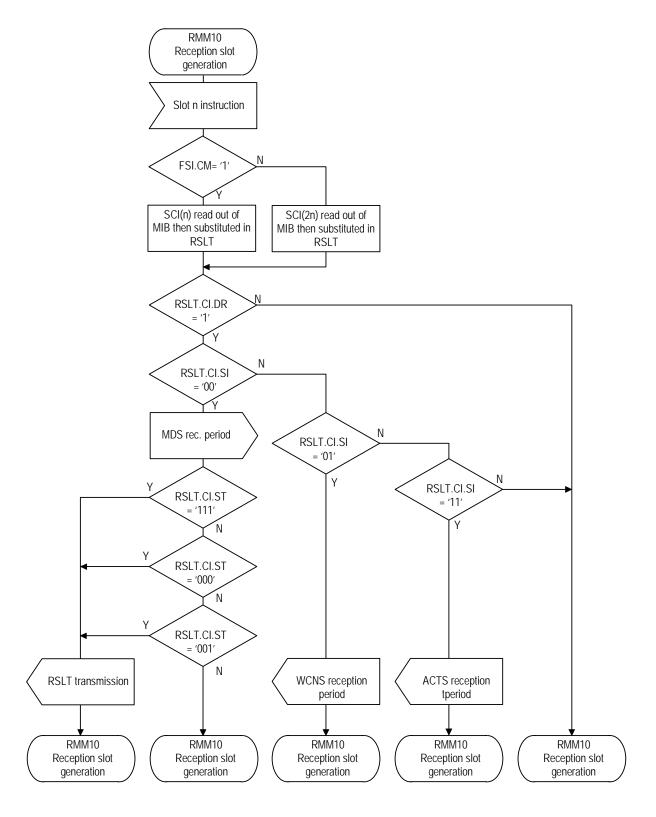
Attached Fig. F-20 SDL Diagram of the layer 2 MAC sublayer (Base Station)



Attached Fig. F-21 SDL Diagram of the layer 2 MAC sublayer (Base Station)

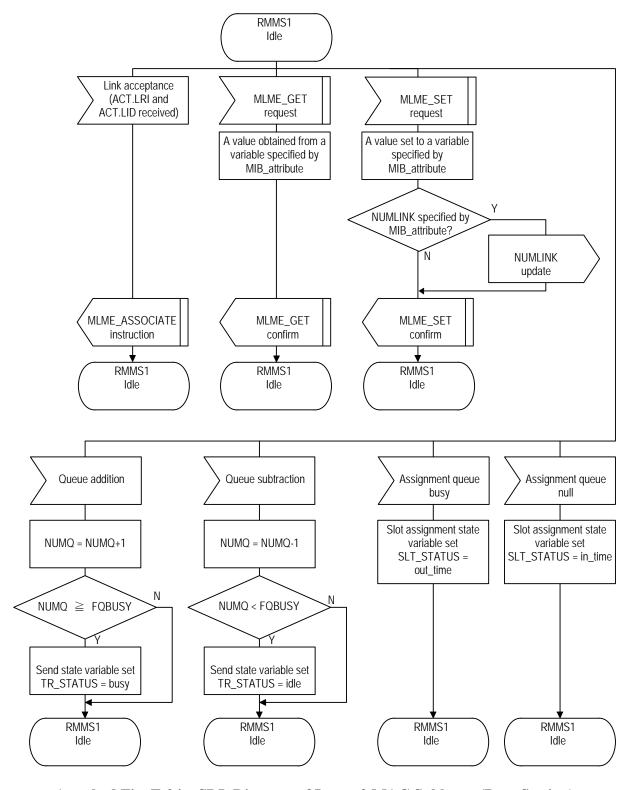


Attached Fig. F-22 SDL Diagram of the layer 2 MAC sublayer (Base Station)



Attached Fig. F-23 SDL Diagram of the layer 2 MAC sublayer (Base Station)

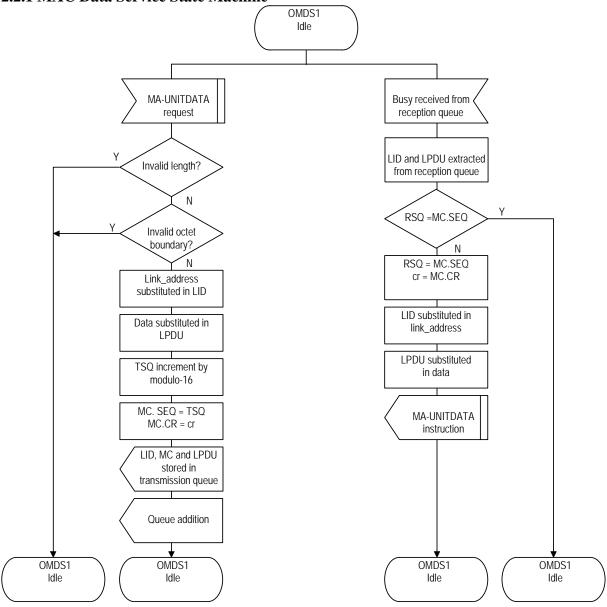
## 2.1.6 MAC Management Service State Machine



Attached Fig. F-24 SDL Diagram of Layer 2 MAC Sublayer (Base Station)

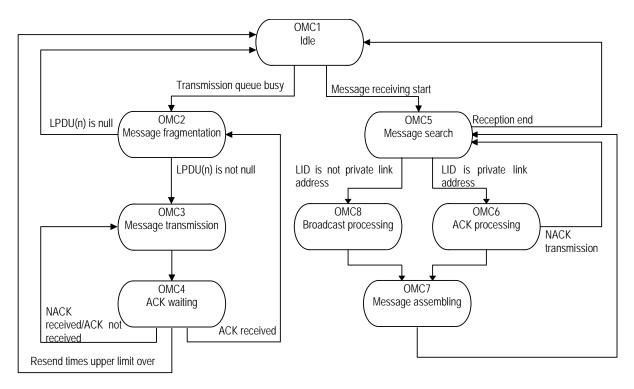
#### 2.2 MAC Control Procedures at Mobile Stations

## 2.2.1 MAC Data Service State Machine

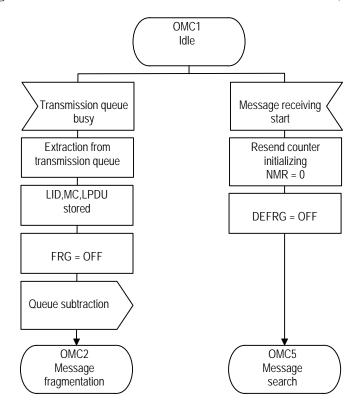


Attached Fig. F-25 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

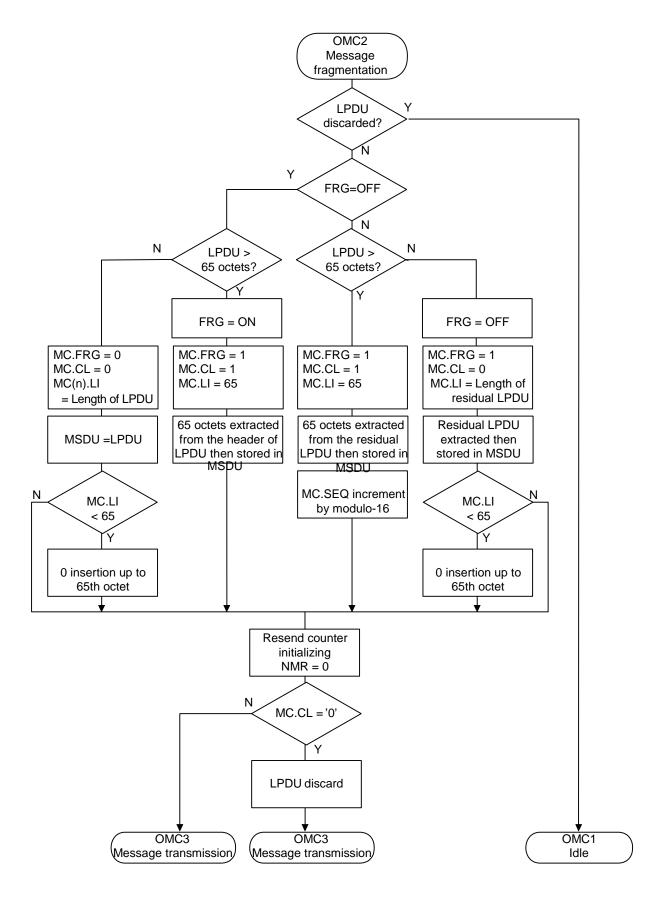
#### 2.2.2 MAC Control State Machine



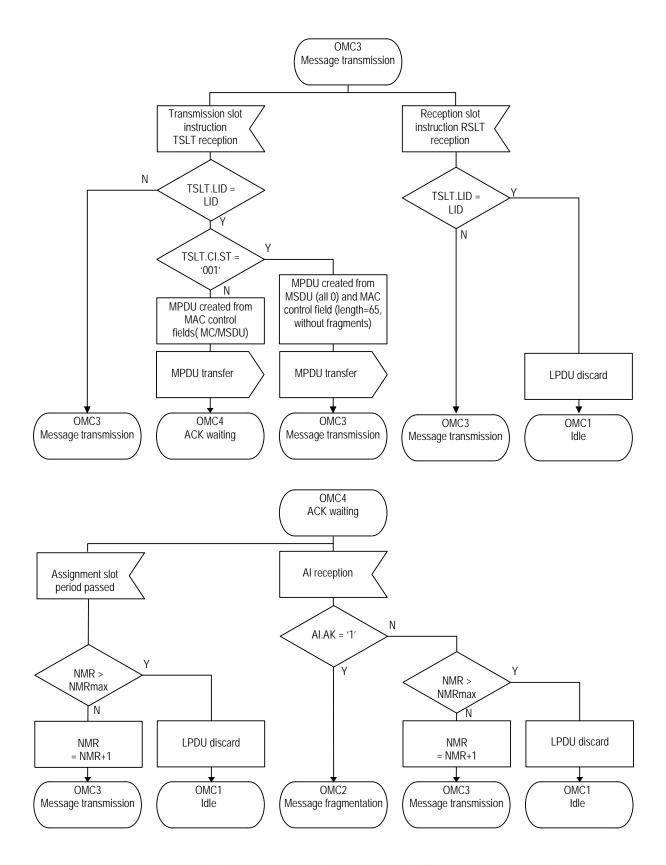
Attached Fig. F-26 Outline of MAC Control State Machine (Mobile Station)



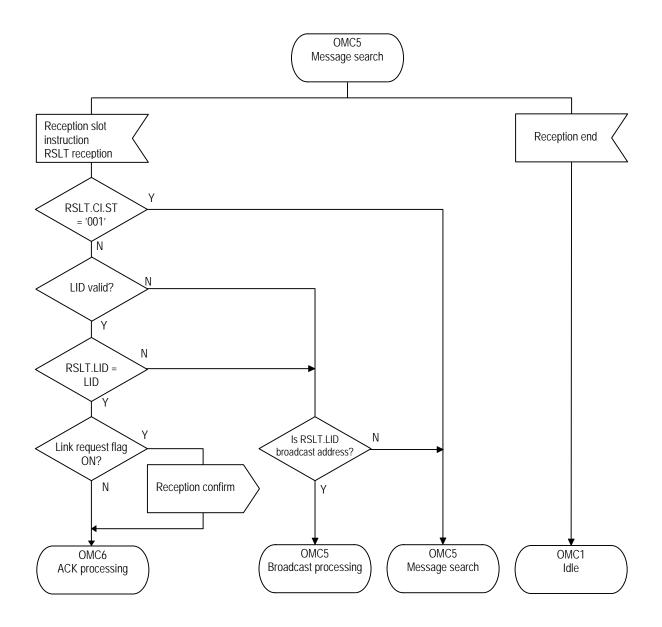
Attached Fig. F-27 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



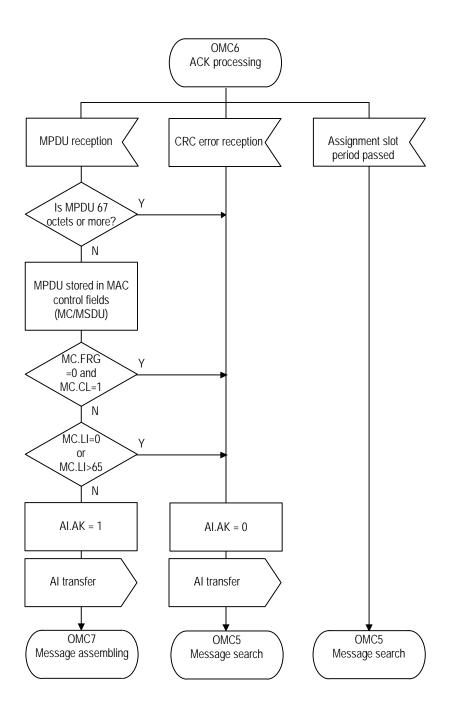
Attached Fig. F-28 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



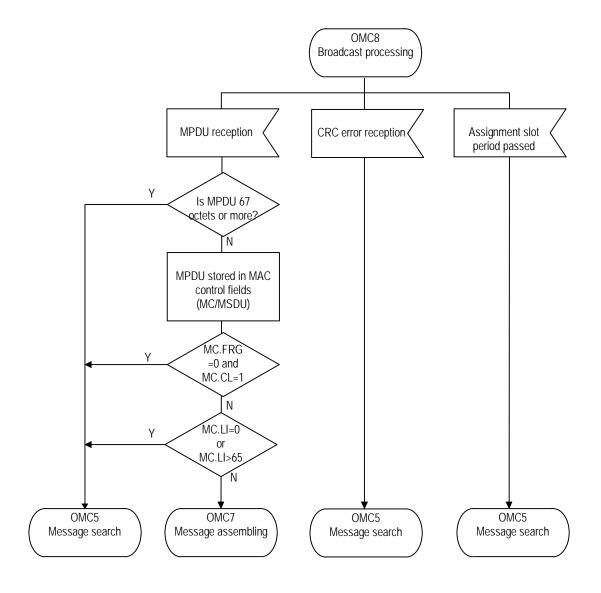
Attached Fig. F-29 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



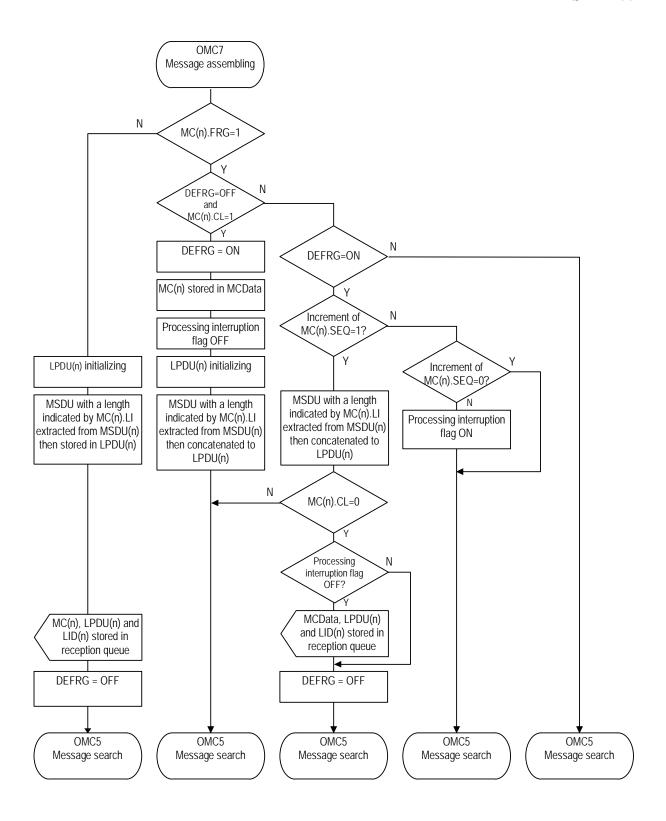
Attached Fig. F-30 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



Attached Fig. F-31 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

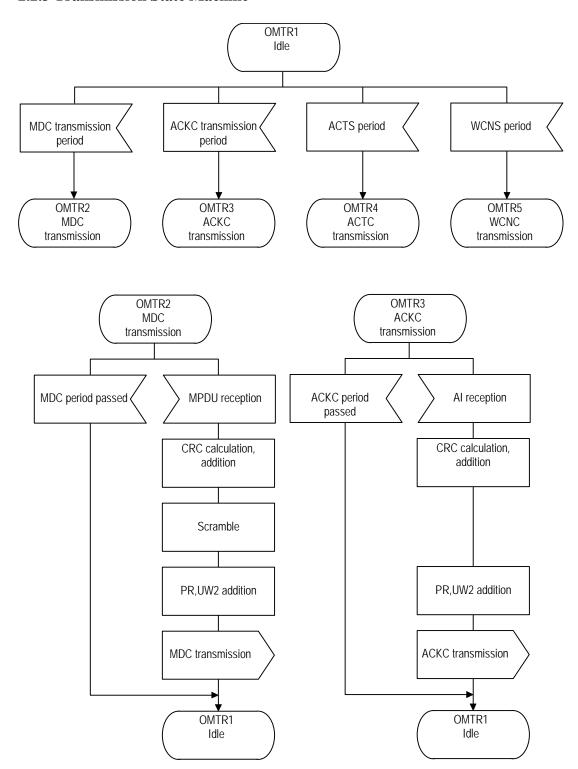


Attached Fig. F-32 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

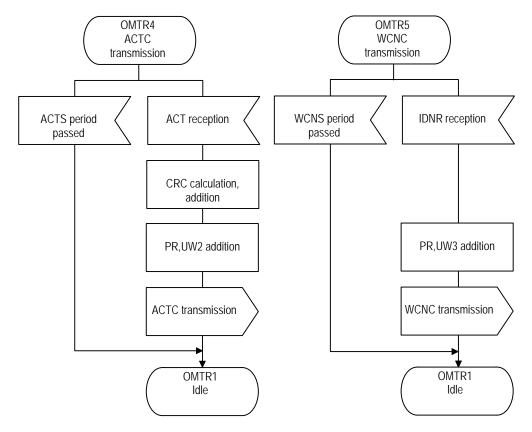


Attached Fig. F-33 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

#### 2.2.3 Transmission State Machine

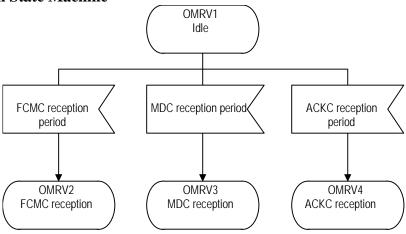


Attached Fig. F-34 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

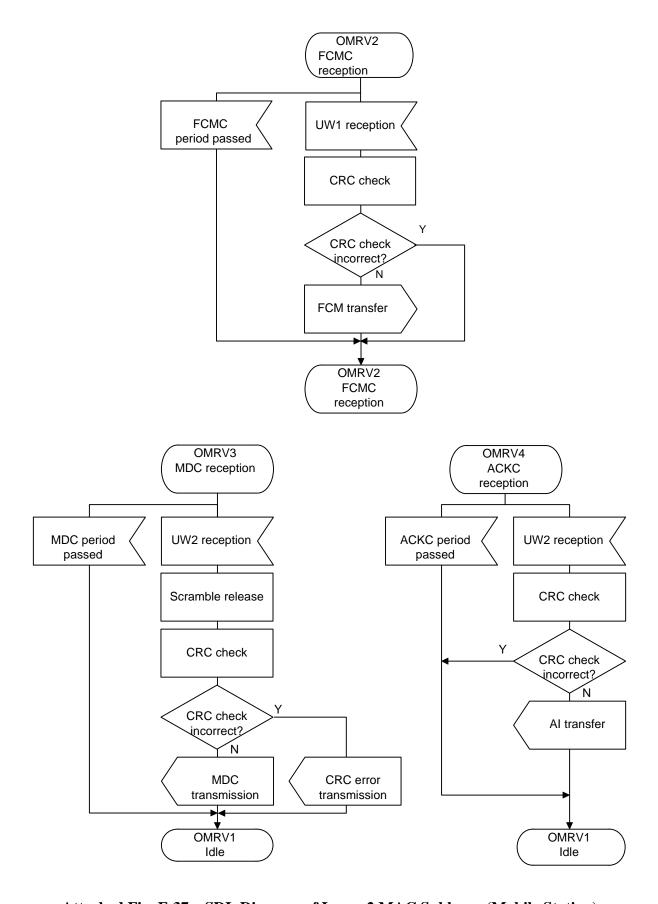


Attached Fig. F-35 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

# 2.2.4 Reception State Machine

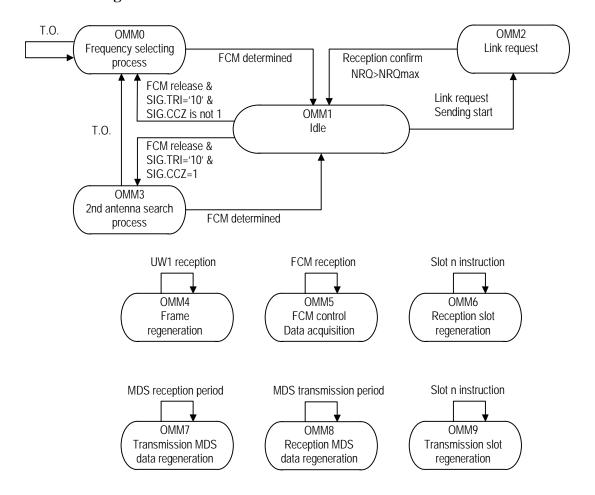


Attached Fig. F-36 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



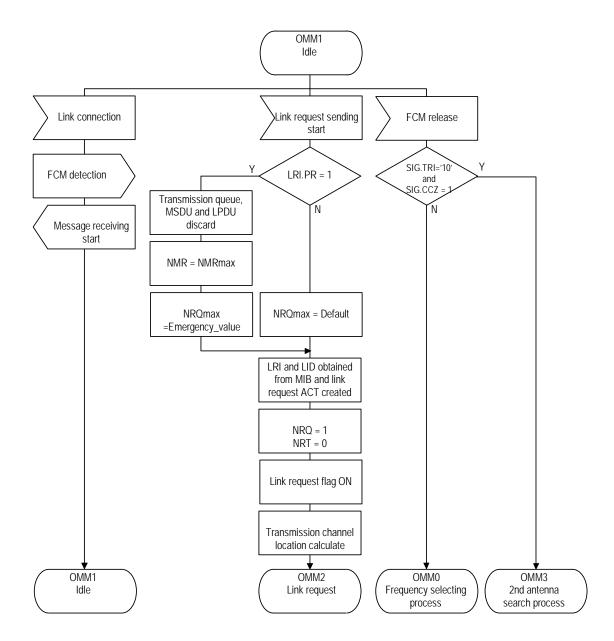
Attached Fig. F-37 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

## 2.2.5 MAC Management State Machine

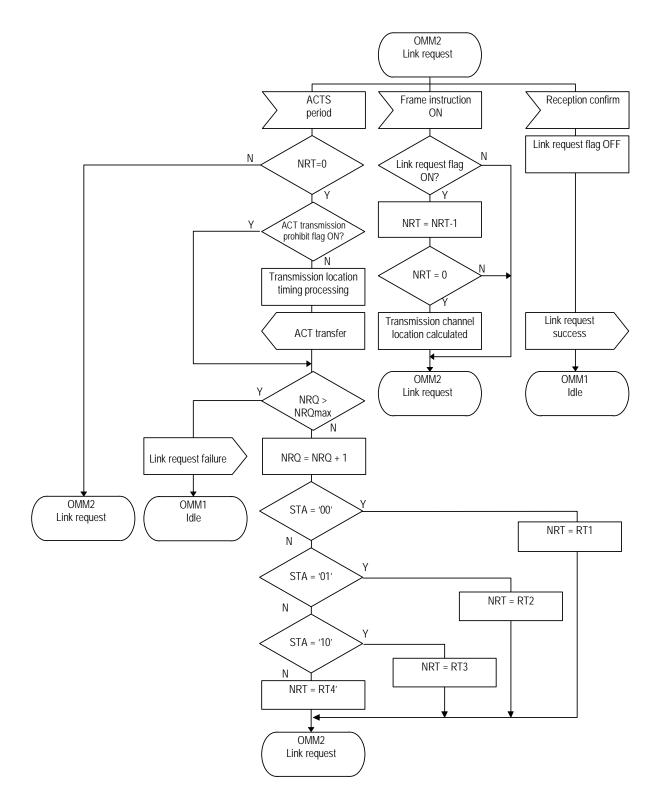


Attached Fig. F-38 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

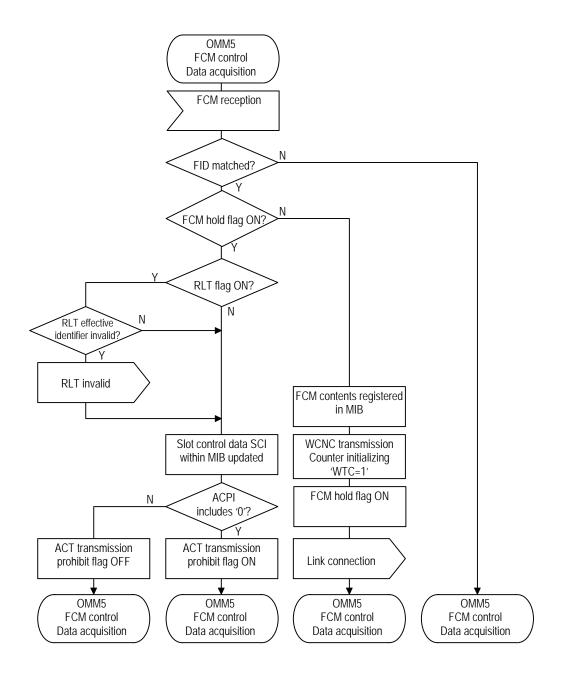
#### **ARIB STD-T55**



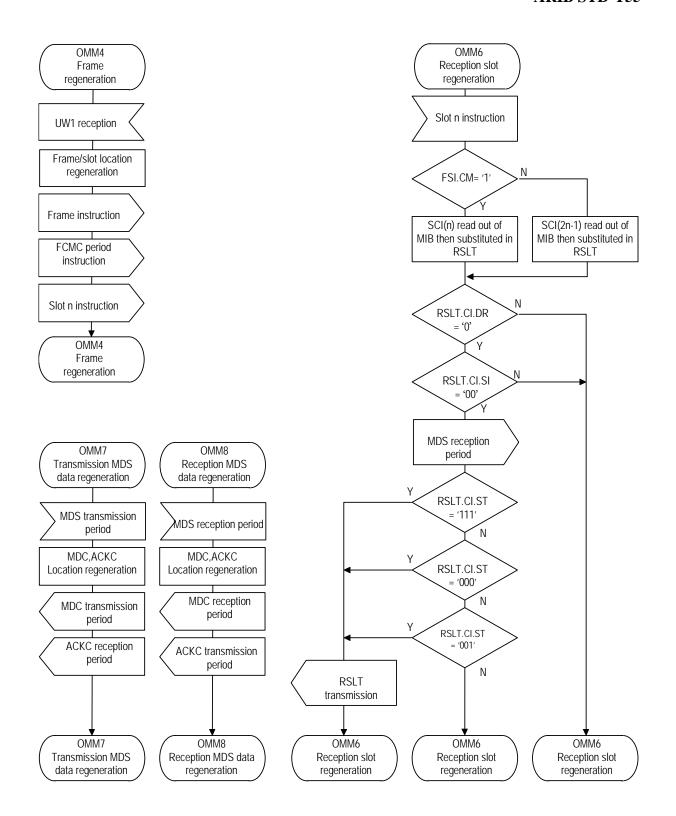
Attached Fig. F-39 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



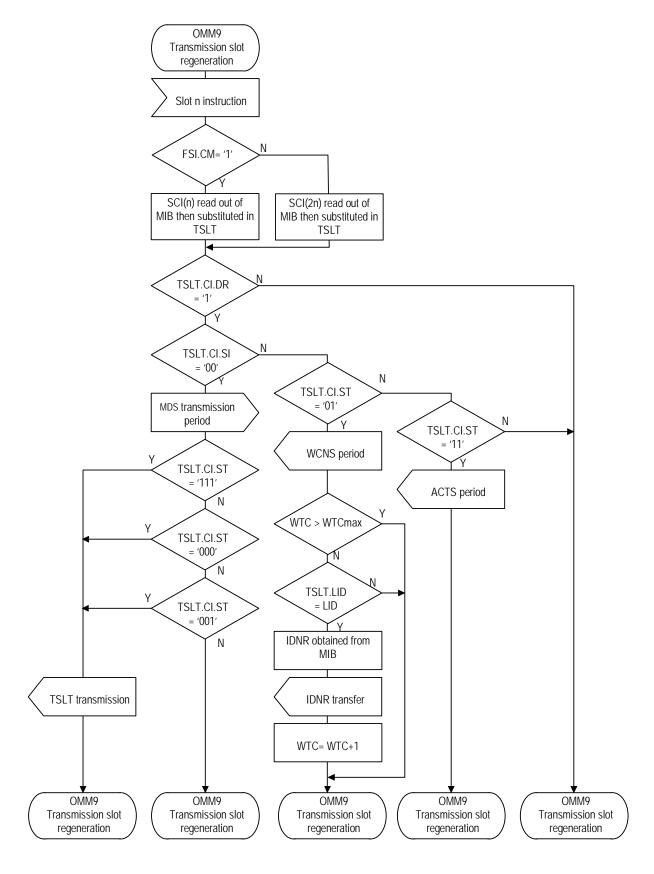
Attached Fig. F-40 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



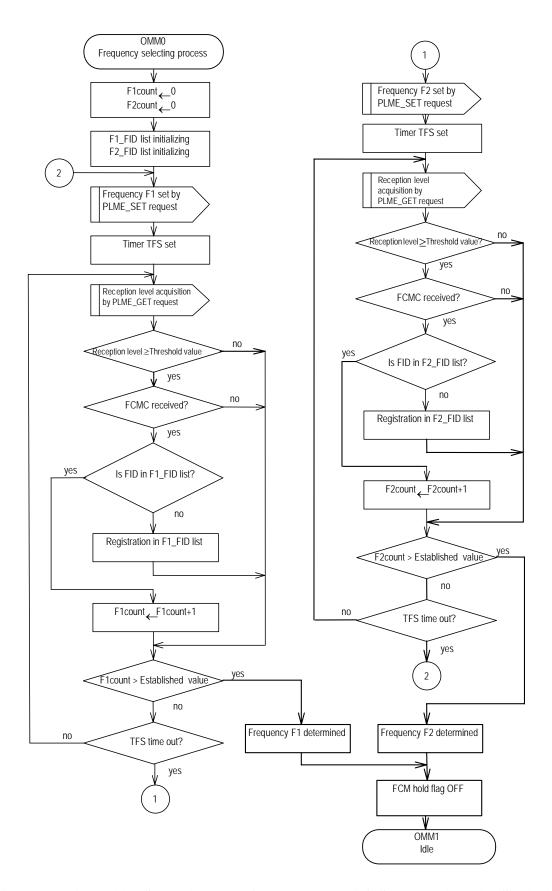
Attached Fig. F-41 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



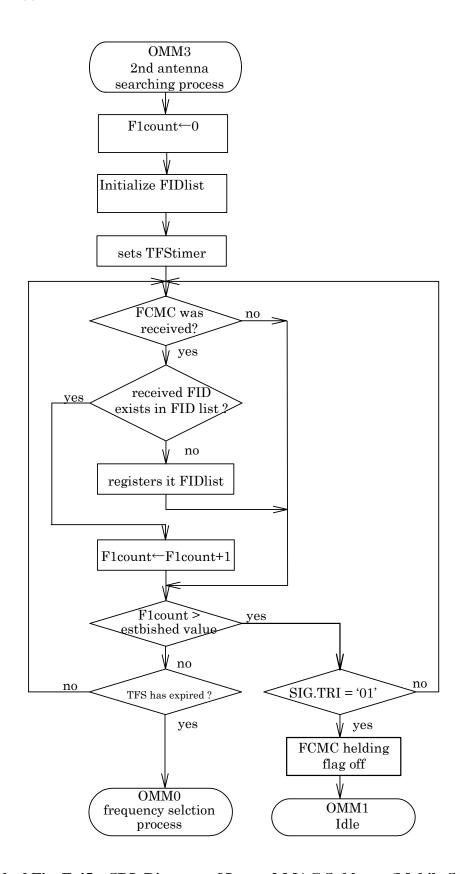
Attached Fig. F-42 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



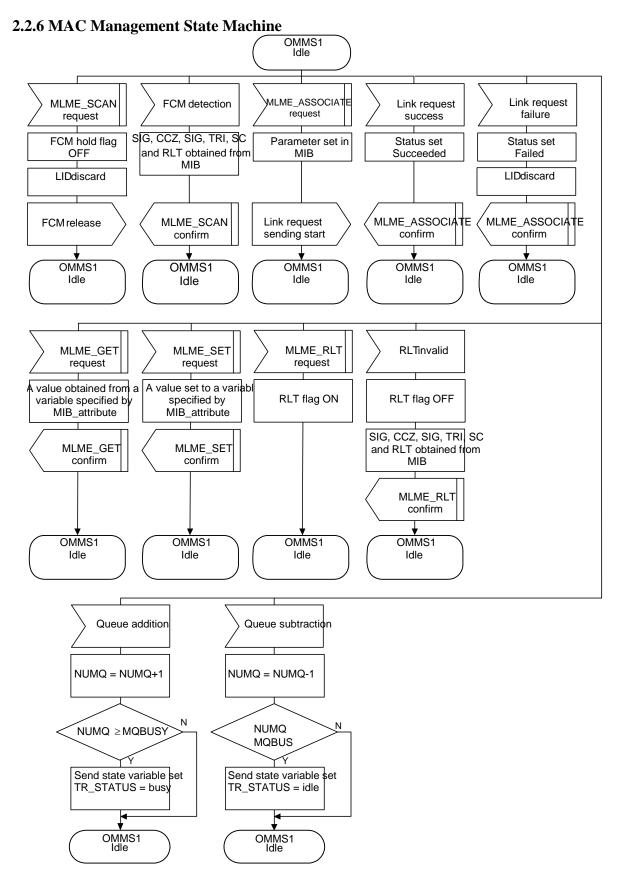
Attached Fig. F-43 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



Attached Fig. F-44 SDL Diagram of the layer 2 MAC Sublayer (Mobile Station)



Attached Fig. F-45 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)



Attached Fig. F-46 SDL Diagram of Layer 2 MAC Sublayer (Mobile Station)

# Annex G. Layer 7 SDL diagram

[Informative]

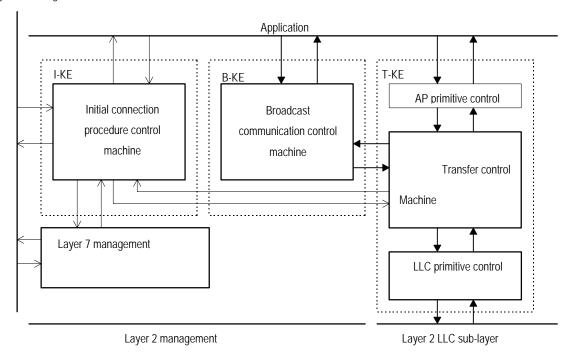
The following symbols and abbreviations are used in these descriptions. The symbols, their meanings and complete descriptions of their application methods are in ITU-T Z-Series recommendations.

Process
Procedure call
Signal reception within the layer 7
Signal transmission within the layer 7
Signal transmission within the layer 7 (Left: Direction of Application, Right: Direction of the layer 2)
Primitive transmission between layers (Left: Direction of Application, Right: Direction of theLayer 2)
State
Procedure definition
Judgement
Selection

#### 1. Overview

SDL diagrams of The layer 7 are shown in the following figures . Fig. 1 illustrates the outline of state machines of the layer 7.

System Management



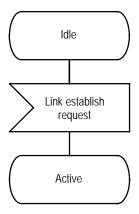
Attached Fig. 1 Outline of State Machines of the layer 7

Note) At least one KE is used. However either one of I-KE or B-KE may not be used. There are two cases for T-KE; one is that T-KE manages plural EIDs, and another one is that T-KE manages one EID. This SDL diagram describes the second case. In the same manner, it also describes the case that one I-KE in the base stations manages one base station.

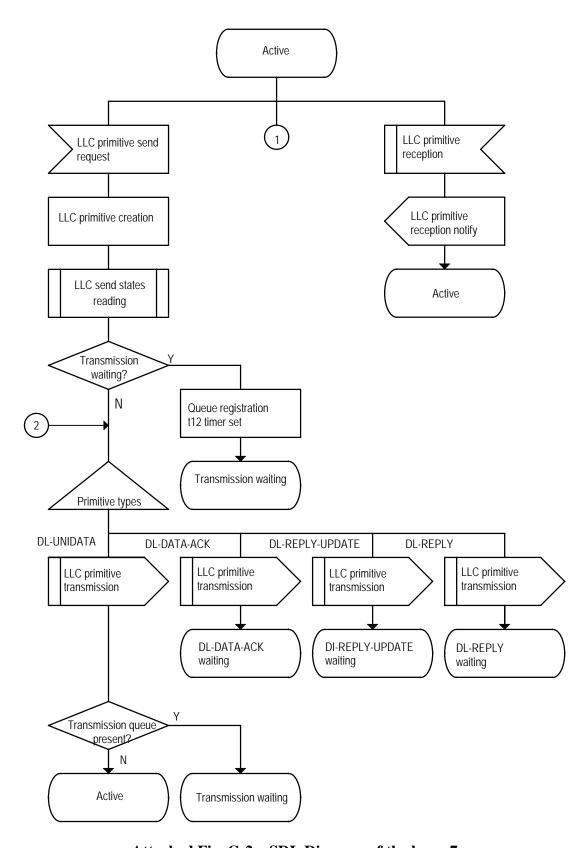
## **ARIB STD-T55**

# 2. Transfer Control Machine (T-KE)

# 2.1 LLC Primitive Control

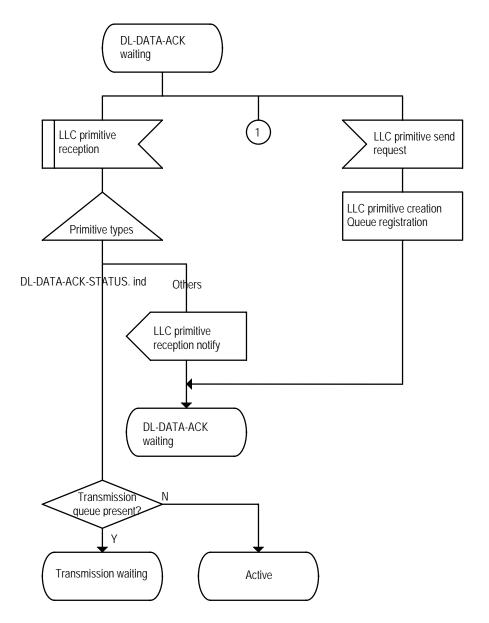


Attached Fig. G-1 SDL Diagram of the layer 7

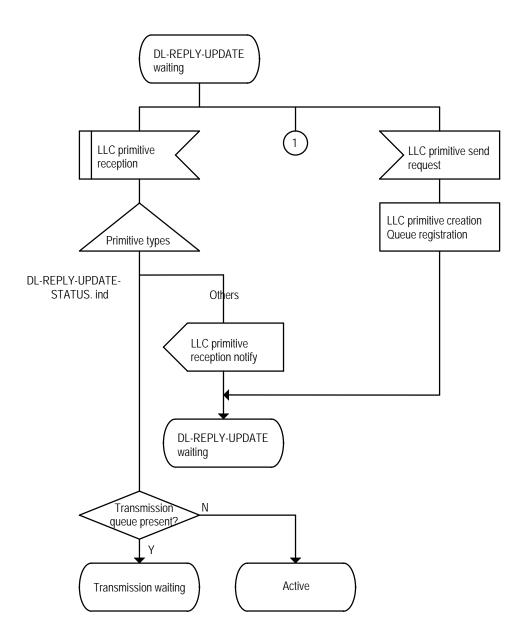


Attached Fig. G-2 SDL Diagram of the layer 7

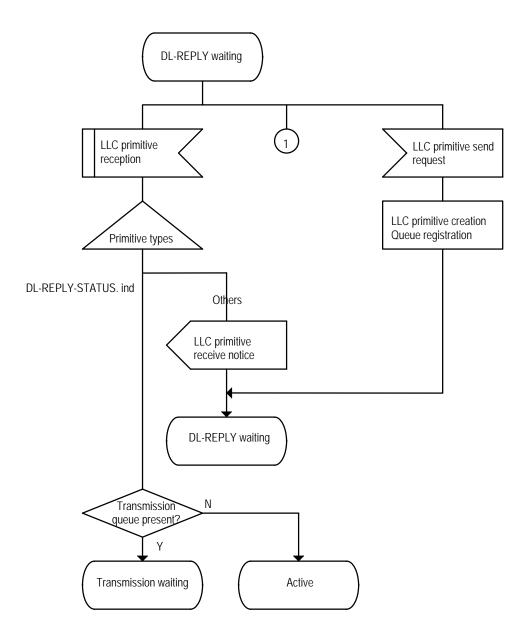
## **ARIB STD-T55**



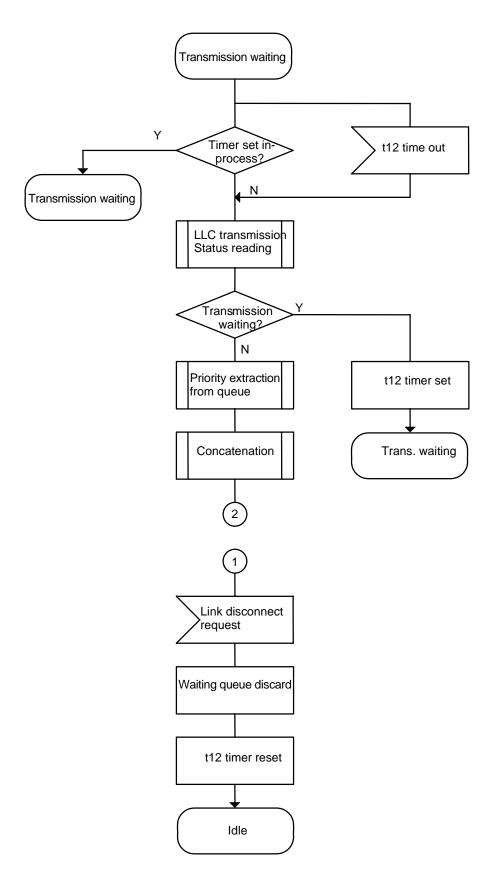
Attached Fig. G-3 SDL Diagram of the layer 7



Attached Fig. G-4 SDL Diagram of the layer 7

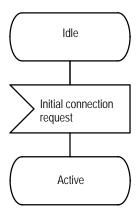


Attached Fig. G-5 SDL Diagram of the layer 7

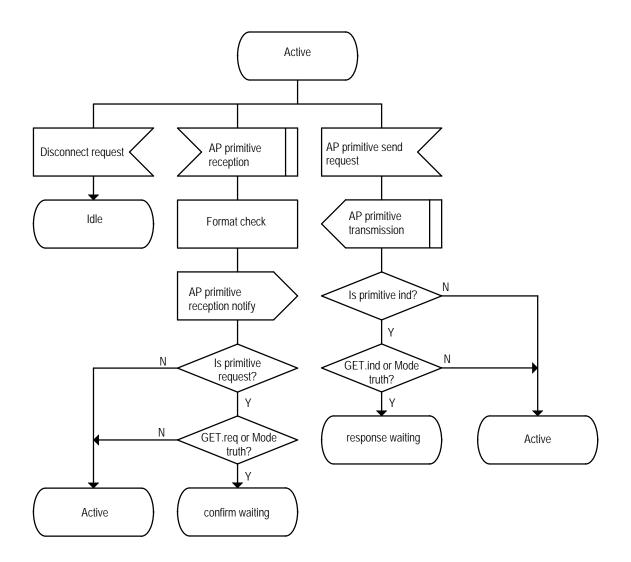


Attached Fig. G-6 SDL Diagram of the layer 7

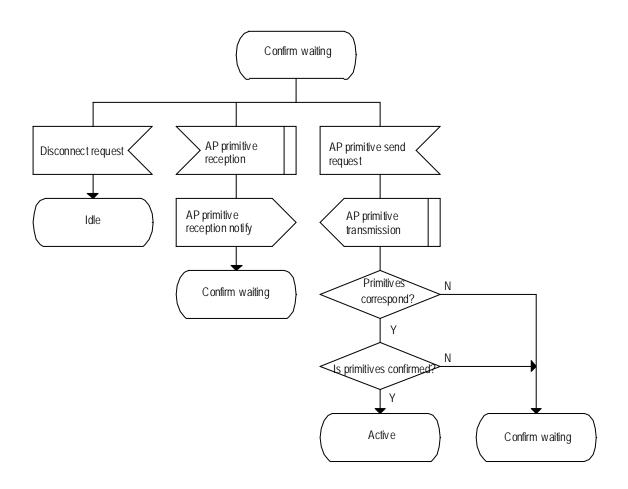
# 2.2 AP Primitive Control (T-KE)



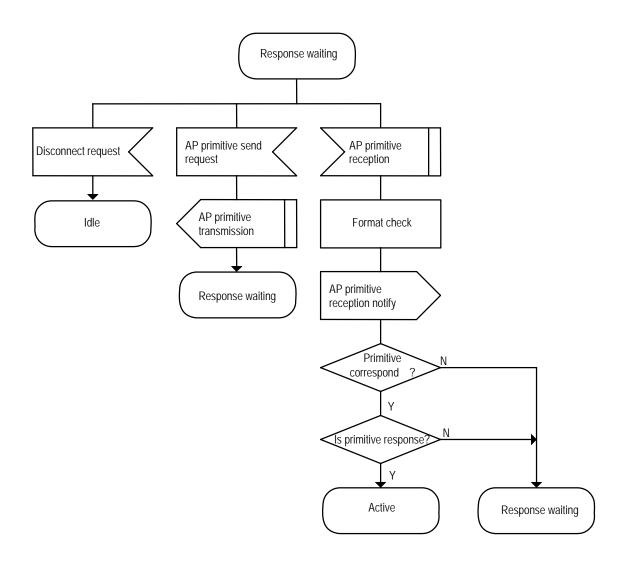
Attached Fig. G-7 SDL Diagram of the layer 7



Attached Fig. G-8 SDL Diagram of the layer 7

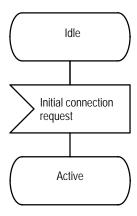


Attached Fig. G-9 SDL Diagram of the layer 7

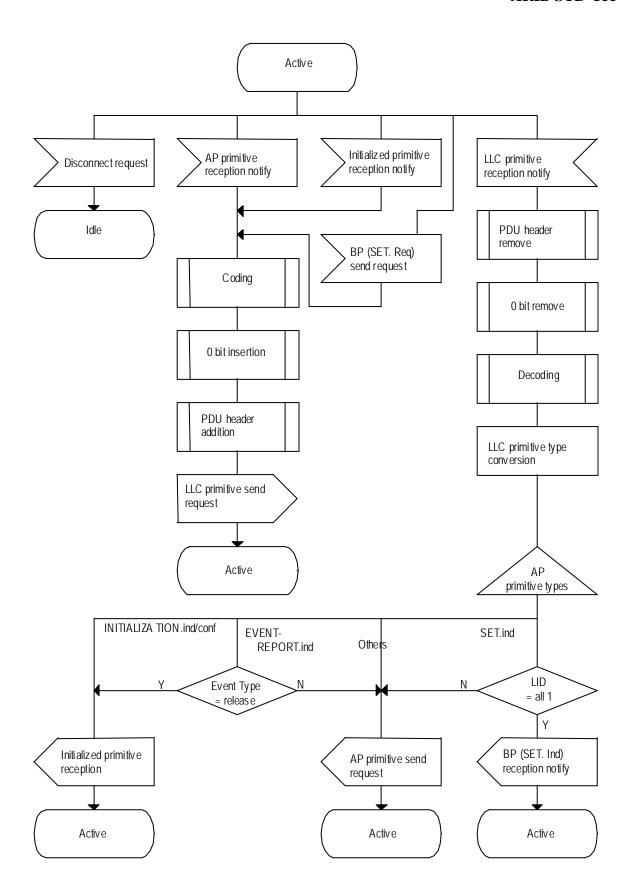


Attached Fig. G-10 SDL Diagram of the layer 7

# 2.3 Transfer Control (T-KE)



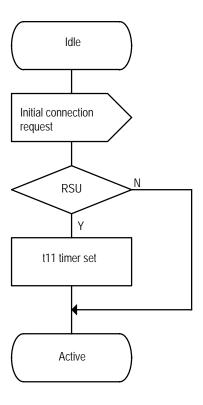
Attached Fig. G-11 SDL Diagram of the layer 7



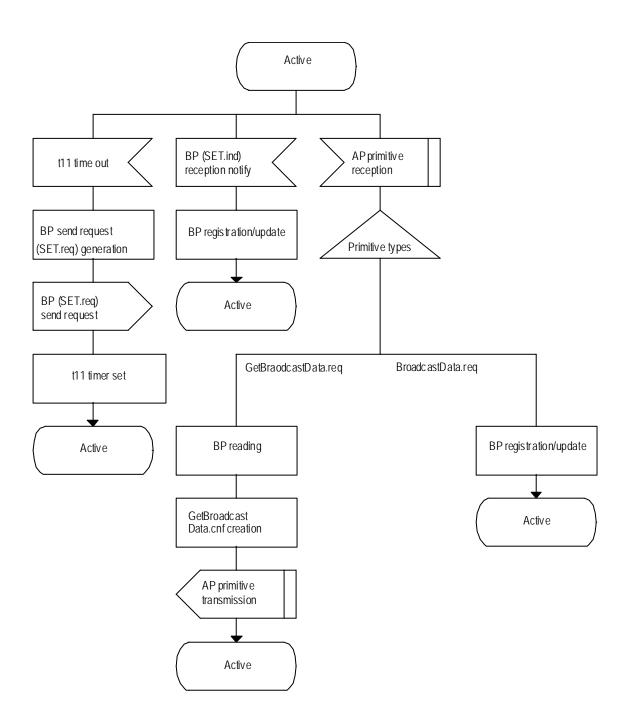
Attached Fig. G-12 SDL Diagram of the layer 7

# 3. Broadcast Communication Control Machine (B-KE)

# 3.1 Broadcast Communication Control

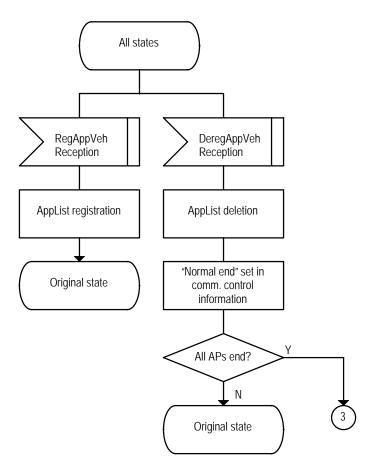


Attached Fig. G-13 SDL Diagram of the layer 7

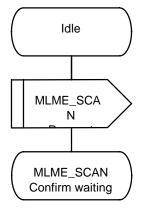


Attached Fig. G-14 SDL Diagram of the layer 7

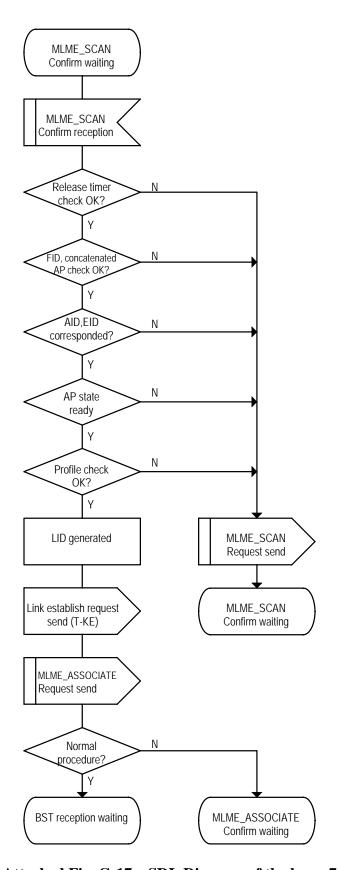
- 4. Initial Connection Machine (1-KE)
- 4.1 Initial Connection (Association) Control at Mobile Stations



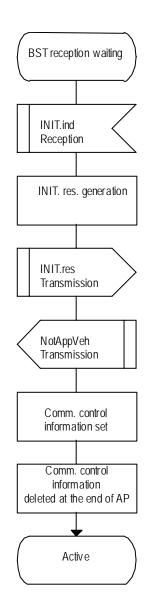
Attached Fig. G-15 SDL Diagram of the layer 7



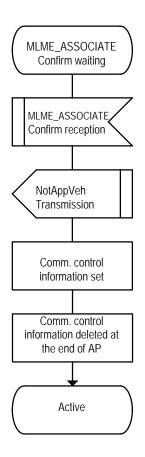
Attached Fig. G-16 SDL Diagram of the layer 7



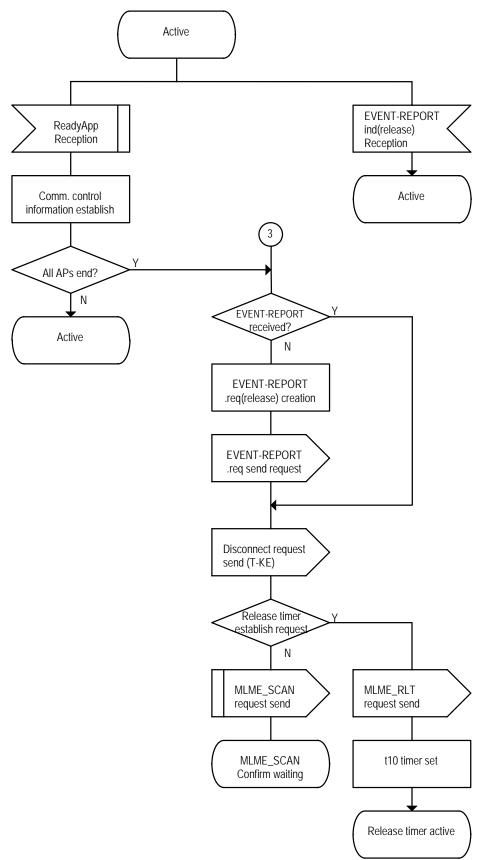
Attached Fig. G-17 SDL Diagram of the layer 7



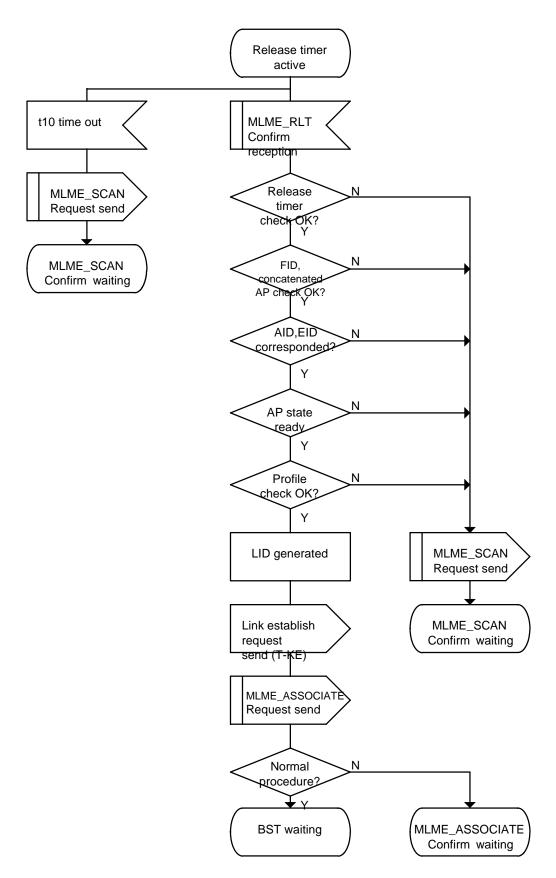
Attached Fig. G-18 SDL Diagram of the layer 7



Attached Fig. G-19 SDL Diagram of the layer 7

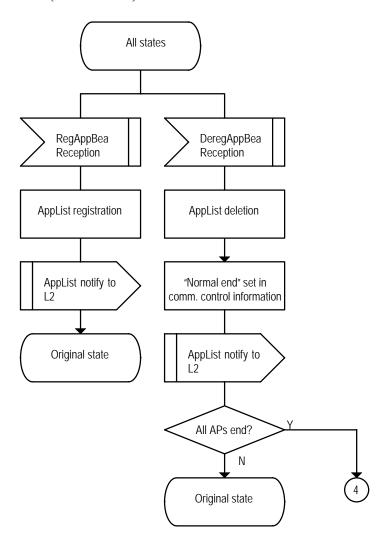


Attached Fig. G-20 SDL Diagram of the layer 7

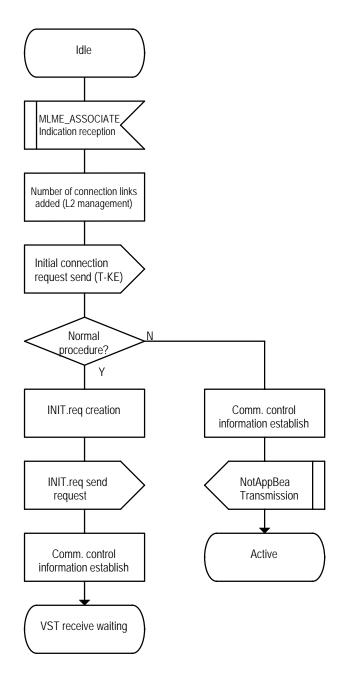


Attached Fig. G-21 SDL Diagram of the layer 7

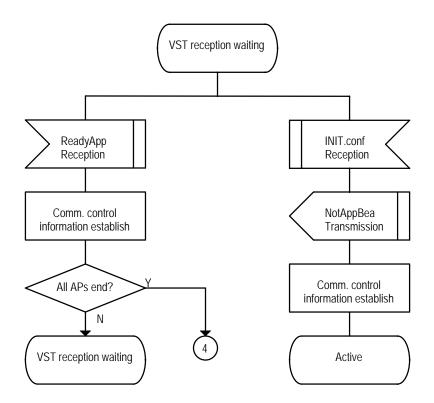
# 4.2 Initial Connection (Association) Control at RSU



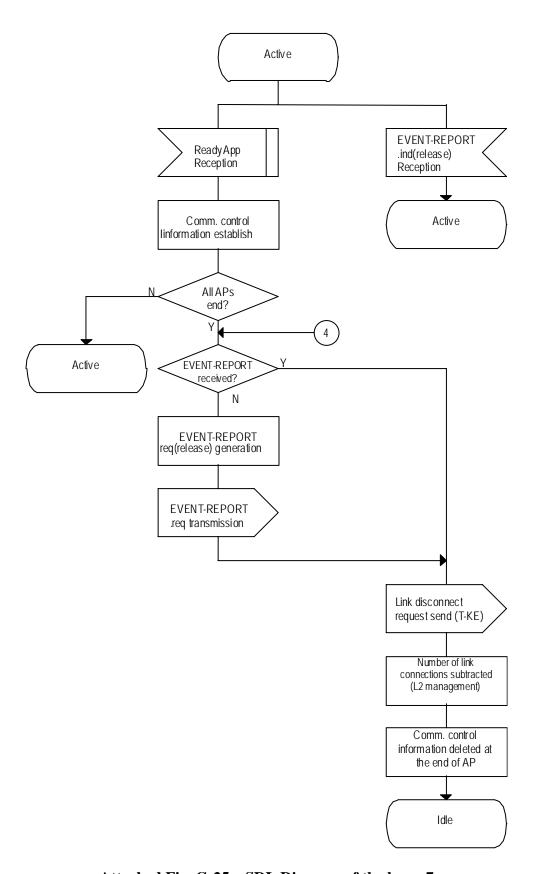
Attached Fig. G-22 SDL Diagram of the layer 7



Attached Fig. G-23 SDL Diagram of the layer 7



Attached Fig. G-24 SDL Diagram of the layer 7



Attached Fig. G-25 SDL Diagram of the layer 7

# **Annex H. Data Structures**

This annex specifies the data structures in the Layer 7.

Use of modules

The T-KE shall use a DSRCData-Px- and DSRCtransferData-Px-ASN-1-module where x shall be the number of used profile.

Note) IMPORT resp.EXPORT mechanism is standardized in[ISO 8824-1].

# 1.1 ASN.1modules

# 1.1.1 Modules for Profile 4 and 6

The modules are specified as follows.

TN	1	D(	)I	$\mathbf{Q}$	ΓS
111	VI.	ı١	. , ,	`	1 . 7

ContainerJ.y	FROM Applica	ationJthis line shall be givenfor each		
	application which defines data of type container, J and			
	y shall be replaced by an unambiguous suffix			
Container::=CHOICE{				
integer	[0]	INTEGER,		
bitstring	[1]	BIT STRING.		

integer [0] INTEGER,	
bitstring [1] BIT STRING,	
octetstring [2] OCTET STRING,	
universalString [3] UniversalString,	
beaconId [4] BeaconID,	
t-apdu [5] T-APDUs,	
dsrcApplicationEntityId [6] DSRCApplicationEnt	ityID,
dsrc-Ase-Id [7] Dsrc-EID,	
attrIdList [8] AttributeIdList,	
attrList [9] AttributeList,	
dummy1 [1016] NotUsed,	
dummy2 [17127] Reserved For Future,	

, contI.x [i] ContainerI.x --this line shall be given for each imported --ContainerI.x, where I.x is replaced by the

--related suffix and i is the registered tag starting

--with 0.

--Gaps shall be filled with contI.x [i] BIT

--STRING

}

-- at this place all ASN.1 type definitions assigned in table 1 shall be inserted. --

END

DSRCtransferData-P0 DEFINITIONS::= BEGIN

IMPORTS T-APDUs FROM DSRCData-P0

Message::= T-APDUs

**END** 

# 1.1.2 Modules for Profile 2

The modules are specified as follows.

Note) The modules for the profile 2 are not used in this standards at this time. Implementation of this module is reserved for future system.

#### DSRCData-P1 DEFINITIONS: = BEGIN

**IMPORTS** 

ContainerJ.y FROM ApplicationJ --this line shall be given for each

--applicationwhich defines data of--type container, J and y shall be--replaced by an unambiguous

--suffix

RecordJ.y FROM ApplicationJ --this line shall be given for each

--application which defines data of

--type record, J and yshall be --replaced by an unambiguous

--suffix

Container::=CHOICE{

integer [0] INTEGER,

bitstring [1] BIT STRING,

	aatatstring	[2]		OCTET STRING,	
	octetstring				
	universalString beaconId	[3]		UniversalString,	
		[4]		BeaconID,	
	t-apdu	[5]		T-APDUs,	
	dsrcApplicationEnt	•		DSRCApplicationEntityID,	
	dsrc-Ase-Id	[7]		Dsrc-EID,	
	attrIdList	[8]		AttributeIdList,	
	attrList	[9]		AttributeList,	
	broadcastPool	[10]		BroadcastPool,	
	directory	[11]		Directory,	
	file	[12]		File,	
	fileType	[13]		FileType,	
	record	[14]		Record,	
	time	[15]		Time,	
	vector	[16]		SEQUENCE (0255) OF	
				INTEGER(0127,),	
	dummy	[17.	.127]	Reserved For Future,	
	, contI.x [i] Co	ContainerI.xthis lir		ne shall be given for each imported	
			Conta	Container I.x, where I.x is replaced by the	
			related	d suffix and i is the registered tag	
			startin	g with 0 Gaps shall be filled with	
				x [i] BIT STRING	
}					
in thi	s place all ASN.1 tvp	e definition	ns identified	in table 1 shall be inserted	
END	s piace an ristar typ	o dellimitol	is racinities	in those I shan oo inserted	
21 (2					
DSRCtransferData-P1 DEFINITIONS::= BEGIN					
		-APDUs		DSRCData-P1	
		-APDUs	110111	= ~ <del>~</del> ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
	,	111100			
END	}				
END					

# 1.1.3 Modules for Profile 5 nad 7

The modules are specified as follows.

#### DSRCData-P2 DEFINITIONS::= BEGIN **IMPORTS** ContainerJ.y FROM ApplicationJ --this line shall be given for each --application which defines data of --type container, J and y shall be --replaced by an unambiguous --suffix RecordJ.y FROM ApplicationJ --this line shall be given for each --application which defines data of --type record, J and y shall be --replaced by an unambiguous --suffix Container::=CHOICE{ [0] integer INTEGER, bitstring [1] BIT STRING, octetstring [2] OCTET STRING, universalString [3] UniversalString, beaconId [4] BeaconID, t-apdu [5] T-APDUs, dsrcApplicationEntityId DSRCApplicationEntityID, [6] dsrc-Ase-Id [7] Dsrc-EID, attrIdList [8] AttributeIdList, attrList [9] AttributeList, broadcastPool BroadcastPool, [10] directory Directory, [11]file File, [12] fileType [13] FileType, record Record, [14] time [15] Time,

[16]

[17..127]

vector

dummy

SEQUENCE (0..255) OF

INTEGER(0..127,...),

Reserved For Future,

```
ContainerI.x
        , contI.x [i]
                                          --this line shall be given for each imported
                                          --ContainerI.x, where I.x is replaced by the
                                          --related suffix and i is the registered tag
                                          --starting with 0.
                                          --Gaps shall be filled with contI.x [i] BIT
                                          --STRING
}
-- in this place all ASN.1 type definitions identified in table 1 shall be inserted --
END
DSRCtransferData-P2 DEFINITIONS::= BEGIN
        IMPORTS
                         T-APDUs
                                          FROM DSRCData-P2
        Message::=
                         T-APDUs
        }
END
```

# 1.2 Common Definitions

# 1.2.1 Outline of Definition

ASN.1 types are illustrated in Table 1.

Table 1 ASN.1 types for predefined module.

ASN.1 type	Module 0	Module 1	Module 2
Action-Request	√	√	V
Action-Response	√	√	V
ApplicationList	3		3
AttributeIdList	√	√	V
AttributeList	√	√	V
Attributes	√	V	V
BeaconID	√	V	V
BroadcastPool		V	V
BST	√		V
Container	use of	specific	definition
Directory		V	V
Dsrc-EID	$\sqrt{}$	V	V
DSRCApplicationEntityI	V	V	V
Event-Report-Request	√	V	V
Event-Response	$\sqrt{}$	V	V
File		V	V
FileName		V	V
Get-Request	$\sqrt{}$	V	V
Get-Response	$\sqrt{}$	V	V
Initialisation-Request	√		V
Initialisation-Response	$\sqrt{}$		V
ObeConfiguration	√		V
Profile	$\sqrt{}$		V
Record		<b>√</b>	V
ReturnStatus		V	V
Set-Request			$\overline{}$
Set-Response			$\sqrt{}$
T-APDUs			V
Time		V	V
VST			

Note) The modules DSRCData-Px, x=0..3 are build in way, that which is encoded by a system using DSRCData-Px can be decoded by a sysytem using DSRCData-Py, if the related ASN.1 type is also defined for the second system.

#### 1.2.2 Detail of Definition

The ASN.1 type for predefined modules are specified as follows.

```
Action-Request::= SEQUENCE{
       mode
                              BOOLEAN,
       eid
                              Dsrc-EID,
       actionType
                              INTEGER(0..127,...),
                              OCTET STRINGOPTIONAL,
       accessCredentials
       actionParameter
                              Container
                                             OPTIONAL,
       iid
                              Dsrc-EID
                                             OPTIONAL
}
Action-Response::= SEQUENCE{
       fill
                              BIT STRING (SIZE(1)),
       eid
                              Dsrc-EID,
       iid
                              Dsrc-EID
                                              OPTIONAL,
       responseParameter
                              Container
                                              OPTIONAL,
                              ReturnStatus
       ret
                                              OPTIONAL
ApplicationList::=SEQUENCE (0..127,...) OF
       SEQUENCE {
       aid
                              DSRCApplicationEntityID,
       eid
                              Dsrc-EID
                                              OPTIONAL,
       parameter
                              Container
                                              OPTIONAL
}
```

AttributeIdList::=SEQUENCE (0.. 127,...) OF INTEGER(0..127,...)

```
AttributeList::=SEQUENCE (0..127,...) OF Attributes
Attributes::=SEQUENCE {
        attributeId
                                INTEGER (0..127,...),
        attributeValue
                                Container
BeaconID::=SEQUENCE{
        manufacturerid
                                INTEGER(0.. 65535),
                                INTEGER(0..2^{27}-1)
        individualid
}
BroadcastPool::=SEQUENCE{
        directoryvalue
                                Directory,
                                SEQUENCE (0..127,...) OF File
        content
}
Bst::=SEQUENCE{
        beacon
                                BeaconID,
        time
                                Time,
        profile
                                Profile,
        mandApplications
                                ApplicationList,
        nonmandApplications
                                ApplicationList
                                                                 OPTIONAL.
        profileList
                                SEQUENCE (0..127,...) OF Profile
}
Directory::= SEQUENCE (0..127,...) OF FileName
Dsrc-EID::=INTEGER(0..127, ...)
DSRCApplicationEntityID::=INTEGER {
        system
                                         (0),
        automatic-fee-collection
                                         (1),
        freight-fleet-management
                                         (2),
```

```
public-transport
                                        (3),
        traffic-traveller-information
                                        (4),
        traffic-control
                                        (5),
        parking-management
                                        (6),
        geographic-road-database
                                        (7),
        medium-range-preinformation
                                        (8),
        man-machine-interface
                                        (9),
        intersystem-interface
                                        (10),
        automatic-vehicle-identification
                                        (11),
        emergency-warning
                                        (12)
} (0..31, ...)
Note) The number 2 through 12 are reserved for future system.
Event-Report-Request::= SEQUENCE{
        mode
                                        BOOLEAN,
        eid
                                        Dsrc-EID,
        eventType
                                        INTEGER(0..127,...),
        accessCredentials
                                        OCTET STRING
                                                                 OPTIONAL,
        eventParameter Container
                                                                 OPTIONAL,
                                        Dsrc-EID
        iid
                                                                 OPTIONAL
}
Event-Report-Response::= SEQUENCE{
        fill
                                        BIT STRING (SIZE(2)),
        eid
                                        Dsrc-EID,
        iid
                                        Dsrc-EID
                                                                 OPTIONAL,
                                        ReturnStatus
                                                                 OPTIONAL
        ret
}
File::=SEQUENCE(0..127,...) OF Record
FileName::=SEQUENCE{
        aseID
                                        Dsrc-EID,
```

```
fileID
                                       INTEGER(0..127,...)
}
Get-Request::=SEQUENCE{
       fill
                                       BIT STRING (SIZE(1)),
       eid
                                       Dsrc-EID,
                                       OCTET STRING
       accessCredentials
                                                              OPTIONAL,
       iid
                                       Dsrc-EID
                                                               OPTIONAL,
       attrIdList
                                       AttributeIdList
                                                              OPTIONAL
}
Get-Response::=SEQUENCE{
       fill
                                       BIT STRING (SIZE(1)),
       eid
                                       Dsrc-EID,
       iid
                                       Dsrc-EID
                                                              OPTIONAL,
       attributelist
                                       AttributeList
                                                              OPTIONAL,
                                       ReturnStatus
                                                              OPTIONAL
       ret
}
Initialisation-Request::= BST
Initialisation-Response::= VST
NamedFile::=SEQUENCE{
                                       FileName,
       name
       file
                                       File
}
ObeConfiguration::=SEQUENCE{
       equipmentClass
                                       INTEGER(0..32767),
       manufacturerID
                                       INTEGER(0..65535),
       obeStatus
                                       INTEGER(0..65535)
                                                              OPTIONAL
}
```

```
Profile::= INTEGER(0..127,...)
Record::=CHOICE{...,
                [j] RecordJ.y,
        recJ.y
                                 --this line shall be given for each imported
                                 --Record J.y, where J.y is replaced by the related
                                 --suffix and j is the registered tag
}
ReturnStatus::=INTEGER {
        noError
                                         (0),
        accessDenied
                                         (1),
        argumentError
                                         (2),
        complexityLimitation
                                         (3),
        processingFailure
                                         (4),
        processing
                                         (5),
        reservedForFuture
                                         (6..127)
}(0..127,...)
Set-Request::=SEQUENCE{
        fill
                                         BIT STRING (SIZE(1)),
        mode
                                         BOOLEAN,
        eid
                                         Dsrc-EID,
                                         OCTET STRING
        accessCredentials
                                                                  OPTIONAL,
        attrList
                                         AttributeList,
        iid
                                         Dsrc-EID
                                                                  OPTIONAL
}
Set-Response::=SEQUENCE{
        fill
                                         BIT STRING (SIZE(2)),
        eid
                                         Dsrc-EID,
        iid
                                         Dsrc-EID
                                                                   OPTIONAL,
                                         ReturnStatus
                                                                   OPTIONAL
        ret
}
Time::=INTEGER(0..2^{32}-1)
```

```
T-APDUs::= CHOICE{
                                  [1] Action-Request,
        action.request
        action.response
                                  [2] Action-Response,
        event-report.request
                                  [3] Event-Report-Request,
        event-report.response
                                   [4] Event-Report-Response,
        set.request
                                  [5] Set-Request,
                                  [6] Set-Response,
        set.response
        get.request
                                  [7] Get-Request,
        get.response
                                   [8] Get-Response,
        initialisation.request
                                  [9] Initialisation-Request,
        initialisation.response
                                  [10] Initialisation-Response
}
VST::=SEQUENCE{
        fill
                                                    BIT STRING (SIZE(4)),
        profile
                                                    Profile,
        applications
                                                    ApplicationList,
        obe Configuration\\
                                                    ObeConfiguration
}
```

# Annex I. DSRCApplication Entity ID

# 1 AID

AID defined in the layer 2 and DSRC Application Layer is used as DSRCApplication identifier. In this standard DSRCApplication identifier shall be set to 0 or 1. AID which is from 0 to 12 are defined within Annex H and AID from 2 to 12 are reserved as shown in Table 1.

**Table 1 Classifications of AID (DSRCApplication Entity ID)** 

AID	Application	Note
0	system	
1	automatic-fee-collection	
2	[freight-fleet-management]	Reserved
3	[public-transport]	Reserved
4	[traffic-traveller-information]	Reserved
5	[traffic-control]	Reserved
6	[parking-management]	Reserved
7	[geographic-road-database]	Reserved
8	[medium-range-preinformation]	Reserved
9	[man-machine-interface]	Reserved
10	[intersystem-interface]	Reserved
11	[automatic-vehicle-identification]	Reserved
12	[emergency-warning]	Reserved
~	Reserved for system improvement in the future.	
31		

Note) This table refers to Annex H. It depends on a system operator which of classification the application belongs to and the definition of the classification is outside of the scope of this standard.

# **2 EID**

# 2.1 Definition of EID

EID is an identifier to identify the elements that compose the application, but EID is not defined autonomously. Consequently, EID shall be used with the information of the element definition (ContextMark) which identifies the element. The application shall identify the elements of the other station by means of ContextMark and accesses to the element using the corresponding EID.

The contents of an EID with ContextMark shall be exchanged between a base station and a

mobile station by means of the association procedures.

ContextMark shall be stored in parameters of RegisterApplicationBeacon-service of the base station and parameter of RegisterApplicationVehicle-service of the mobile station.

The details of ContextMark are outside of the scope of this standard.

#### 2.2 EID Establishment

- (1) The number of EID shall be set not to be overlapped within a station. The EID of 1 through 3 are reserved and the EID of 1 shall be used at the time of the emergency reporting. (See Annex K about the emergency reporting.)
- (2) If the application has plural elements (i.e. plural EID respond to an AID), ContextMark shall be given to each EID.
- (3) If the application consists of a single element (i.e. an EID respond to an AID) and the information of the element is known, ContextMark can be omitted.
- (4) If the application of abase station consists of a single element, EID can be omitted.
- (5) Even if the application of a mobile station consists of a single element, EID can not be omitted.

# 2.3 Examples of EIDs use

[Informative]

Examples of EIDs use are shown as follows.

(1) Association procedures and data exchange procedures Examples of EIDs use are shown in Fig. 2.3-1, Fig 2.3-2, and Fig 2.3-3.

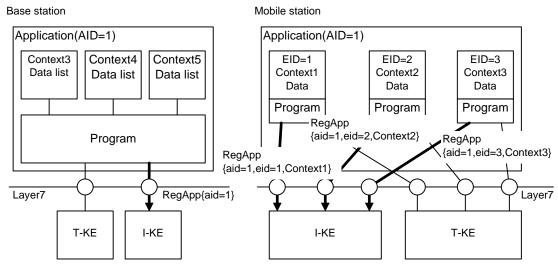


Fig 2.3-1 Example of RegisterApplication-service

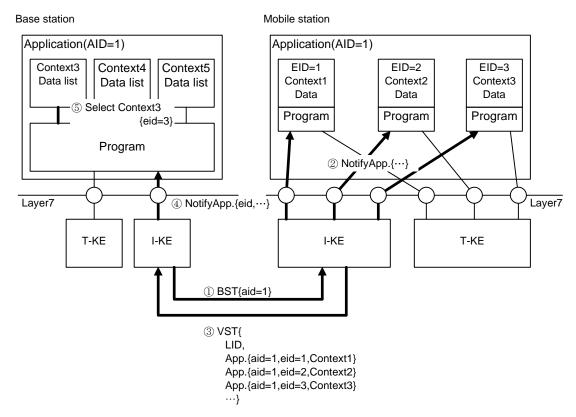


Fig 2.3-2 Example of Association procedures (Exchange of BST, VST)

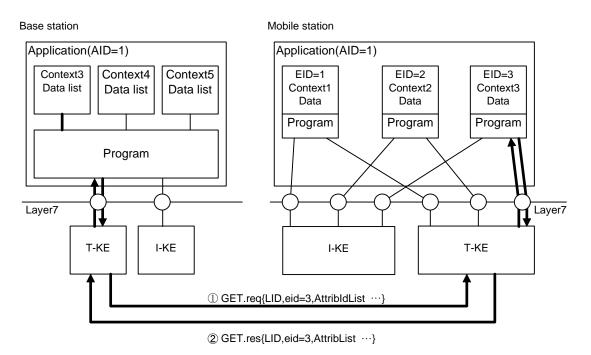


Fig 2.3-3 Example of Data Exchange procedures

(2) Reference of identifier in data exchange procedures Examples of data exchange using EID (AID) are shown in Fig. 2.3-4 and Fig. 2.3-5.

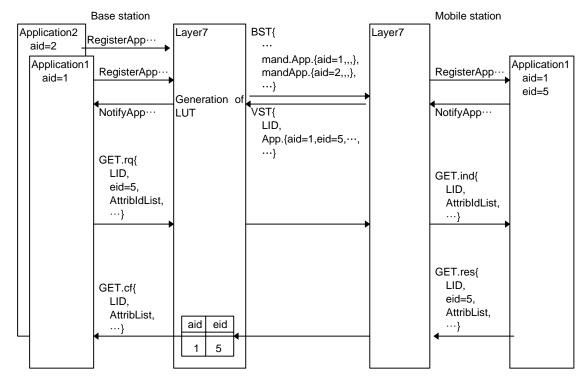


Fig 2.3-4 Example of Single EID

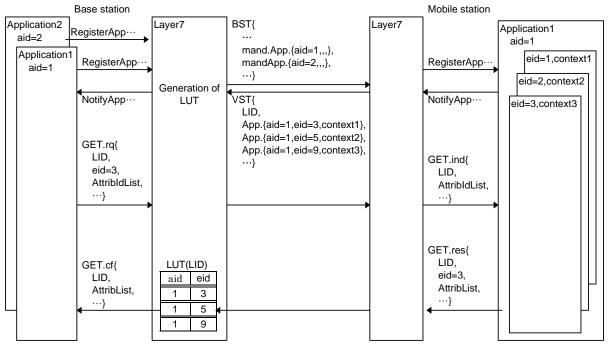


Fig 2.3-5 Example of Plural EID

#### Annex J. Protocol Version Identifier

#### [Informative]

A protocol version identifier (PVI) means a revision of communication transaction protocol between a variety of base stations (BSs) and mobile stations (MSs). On replacing the current version, if another version of protocol is adopted, the underlying assumption is that the new version of protocol is able to handle some versions of old protocols (initial protocol version defined in this standard may be indispensable). Therefore, the BS or MSs implemented new version of protocol retain the initial version and newest version at the least.

In the case of revision of protocol, the following conditions should be considered.

- (1) The BS and MS have benefit of the improvement of communication facilities by adopting the newest version of protocol.
- (2) The BS or MS is able to communicate using the previous version of protocol.
- (3) The distinguishment of protocol version between the BS and the MS is made in the association procedures (link entry procedures) prior to the communication.

Basic underlying assumption of the association procedure using the PVI code is as follows:

- (1) An MS interprets the PVI code within FCMC from BS.
- (2) In the case where the PVI code of FCMC is the same PVI code in the MS, the MS transmits an ACTC, which set its state in a PVI response field and starts the association procedure.
- (3) If the PVI code within FCMC is not the same PVI code in the MS and the MS is able to alternate its own version to the BS's version, the MS transmits an ACTC in which a PVI response field sets that version and then starts the association procedures.
- (4) If the PVI code within FCMC is not the same PVI code in the MS and the MS can not alternate its own version to the BS's version, the MS transmits an ACTC in which a PVI response field sets that different version from BS's and then starts the association procedures.

Note 1) A revision means the revision of the communications protocol in the layer 2 at the time of issuing the current standard. Whether or not the revision covers Physical Medium Layer, Application Layer or the type of communication system, it depends on anyone who will intend to revise this standard using PVI code.

Note 2) the communications between BS and MSs implemented with different types of protocol version simultaneously in the same frame is not assumed.

Furthermore, the alternating the protocol version according to the MSs protocol version in the BS is subject for future studying and is not assumed at the time of issuing the current standard.

# Annex K. Emergency reporting from the mobile station

[Informative]

The request of the assignment with priority from an MS (Mobile Station) is assumed to apply to the emergency reporting that let a BS (Base Station) know an emergency at the MS.

The procedures of the emergency reporting are explained as follows. An example of a connection sequence is shown in Fig. 1.

# (1) Procedures in MS

- (a) In the case where the emergency reporting is needed (this judgment is outside of the scope of this standard), an MS shall notify the I-KE of the layer 7 by means of RegisterApplicationVehicle ().
- (b) The I-KE shall interpret AID as the emergency reporting and shall indicate the association request of the assignment with priority (the assign demand bit of LRI is "1") to the layer 2 by way of the layer management.
- (c) At the same time the I-KE shall notify the emergency reporting application to NotifyApplicationVehicle () and shall start the emergency reporting application.
- (d) The emergency reporting application shall transmit the data of EID=1 immediately after starting.
- (e) The transmitted data shall be held until the uplink slot is assigned in the layer 2 by way of the T-KE.
- (f) When the uplink slot assignment is detected, the layer 2 shall transmit the data to be held and shall do the emergency reporting.

#### (2) Procedures in BS

- (a) When the layer 2 accepts the association request of the assignment with priority (the assign demand bit of LRI is "1"), Data Link Layer shall assign an uplink slot with priority and shall notify the I-KE of the layer 7 by way of the layer management.
- (b) The I-KE shall interpret the assign demand bit of LRI and shall start the emergency reporting application by means of NotifyApplicationVehicle (). EID shall generate the value arranged beforehand (EID=1) at the I-KE of the layer 7.
- (c) The emergency reporting application shall be kept waiting for a reception of the datum after starting.

# (3) Attention

Even in the case where the association has been already completed and another application is under communication, the data of the emergency reporting must be transferred with priority. The layer 7 transmits the data based on the rank of the priority but there is a possibility of being late to transfer when there are the data that stagnate to be re-transmitted in the layer 2.

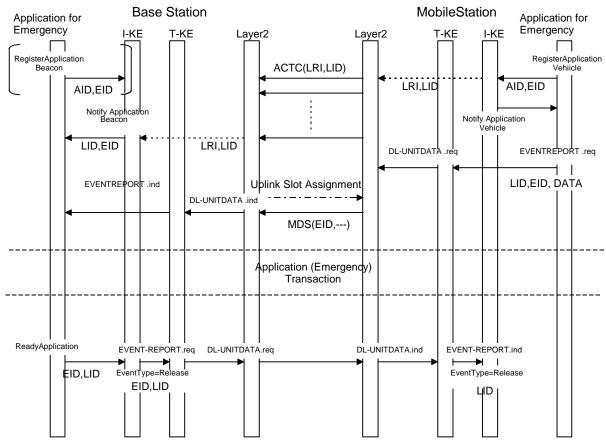


Fig. 1 Example of Connection Sequence of the Emergency Reporting

# Annex L. Unique Word

A unique word is used for TDMA frame synchronization. Two types of unique words, UW1 and UW2, are used in this standard and defined in subclause 4.2.4 in this version (Ver. 1.0). The definition is shown again as follows.

Even if a standard version changes, the length of field must be the same, but use of another types of unique words are not restricted.

# 1. Unique word defined in version 1.0

### (1) UW1

The UW1 shall be 32bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

LSB MSB [00011011101010000100101100111110]

#### (2) UW2

The UW2 shall be 16 bits in length as follows. This field shall be transmitted least significant bit (LSB) first in a bit string in the expressed sequence.

LSB MSB [0100101100111110]

# Annex M. Fixed Equipment ID (FID)

[Informative]

The fixed equipment ID (FID) is an ID number of a base station used in association between a base station and a mobile station. This FID is not supposed to be given the same number in the next communication zone.

To number base stations is outside of the scope of this standard, but the numbering regulation is to be considered by the system operator.

The FID [11111111] shall not be permitted for the time being.

# Annex N. Link Address

#### (1) When generated

A mobile station shall generate a new random number as a link address when the operation starts and shall hold the value until the operation is finished.

Note 1) the start of an operation is defined as a time when the mobile station comes into operation by means of a user's turning on its power. The end of an operation is defined as a time when the mobile station stops by means of a user's turning off the power.

Note 2) Even if a mobile station is operated in the power-save mode, a link address shall be considered not to be expired.

# (2) Generation Algorithm

Link addresses are not supposed to be overlapped between mobile stations within the same communication zone at the same time. Therefore the generation algorithm which has the low probability of generating the duplicate link addresses between mobile stations shall be adopted in consideration of not only the randomness of a link address within a mobile station but also the randomness between mobile stations.

The generation algorithm will be determined in future.

# (3) Handling procedure of Base Station with Duplicate LIDs

A base station shall consider the duplicate of LIDs in some probability. The examination of the duplication of LIDs and the procedure are defined as follows and are applied to layer 7.

- (a) A base station shall examine the duplication of LIDs after receiving the association request from mobile stations.
- (b) In the case where the duplicate LIDs are detected, a base station shall immediately transmit the release using the LID and shall release the connection with a mobile station that has the duplicate LID.

#### Annex O. Multicast Link Address

[Informative]

A multicast link address that is a SAP of a link is defined and supposed to do a communication service to both this system operator and the specific user (a mobile station).

But the full-duplex communication using a multicast link address is not supposed in this standard. The numbering regulation of a multicast link address is also outside of the scope of this standard.

# DEDICATED SHORT RANGE COMMUNICATION (DSRC) for TRANSPORT INFORMATION AND CONTROL SYSTEMS (TICS)

# ARIB STANDARD

# **ARIB STD-T55**

# Published History of Japanese Versions ISSUED NOVEMBER 1997

Published by

Association of Radio Industries and Businesses

1-4-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-0013 Japan

TEL 81-3-3592-1101 FAX 81-3-3592-1103

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ARIB STD-T55 English Printed in August 1998